

# Neural net for lattice QCD, and quantum methods for finite-temperature and density

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Based on arXiv:  
arXiv: 2103.11965,  
2205.08860 etc

PI: Grant-in-Aid for Transformative Research Areas (A)



Grant-in-Aid for Early-Career Scientists

CI: Grant-in-Aid for Scientific Research (C), etc

# Happy “Kann-reki”(full circle) birthday

Akio Tomiya

Onogi-san and me

## 還暦おめでとうございます!

When I entered to master course in Osaka university in 2010 (12 years ago!), Tetsuya was the second year as a professor in Osaka university (My supervisor Fukaya-san also joined HEP group as an assistant prof. in 2010)

We had a lot of study groups: String theory, lattice field theory, RG



# Happy “Kann-reki”(full circle) birthday

Akio Tomiya

## Onogi-san and me

2015



おおししょう

Onogi-san = Supervisor's Supervisor's of me = Great master (大師匠)!

And a mentor of me (he always encouraged me).

He taught me quantum field theory (perturbation theory/SM/RG/GWW trs), lattice field theory, algorithms, how to read a code, general relativity, etc  
In particular, my master thesis about many flavor QCD.

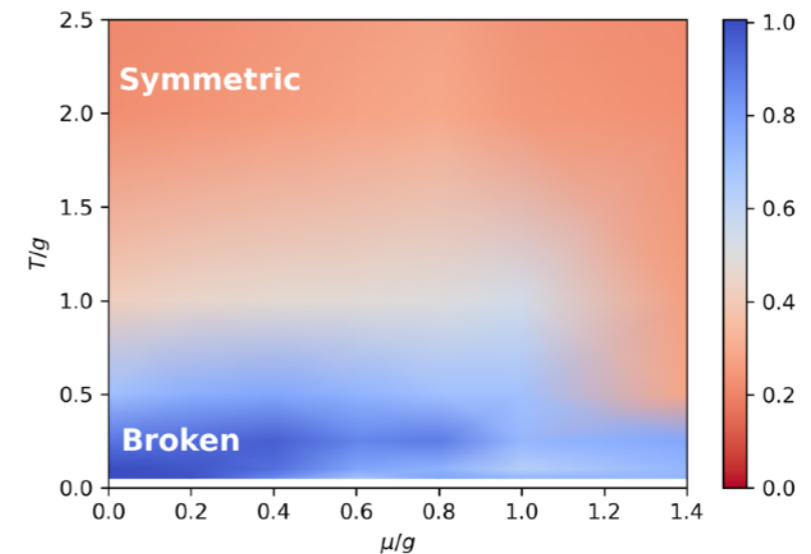
Thank you and congratulations Onogi-san!

# Outline

## Two exotic topics

1. What and why QCD/lattice QCD?
2. Lattice QCD + Machine learning
  1. “Neural net = Smearing”
3. Lattice QCD + Quantum algorithm
  1. Finite temp/dens for QFT

$$\frac{dU_{\mu}^{(t)}(n)}{dt} = \mathcal{G}^{\bar{\theta}}(U_{\mu}^{(t)}(n))$$



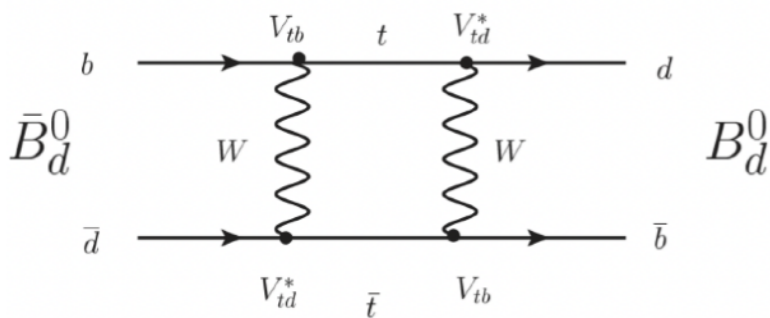
## QCD: a fundamental theory of particles inside of nuclei

**QCD (Quantum Chromo-dynamics) in 3 + 1 dimension**

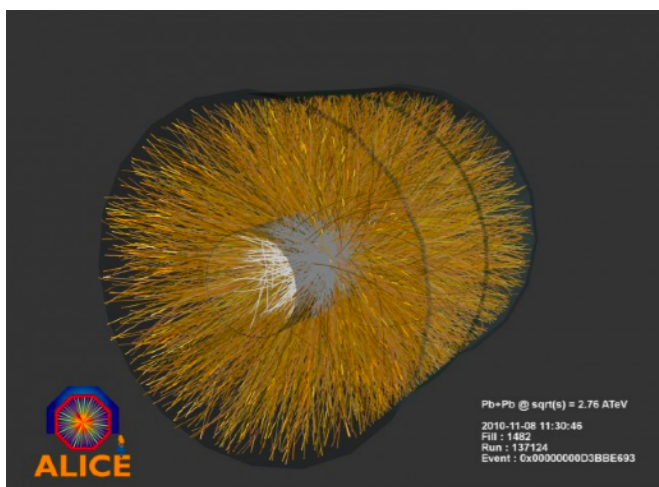
$$S = \int d^4x \left[ -\frac{1}{2} \text{tr} F_{\mu\nu} F^{\mu\nu} + \bar{\psi} (i\cancel{\partial} + gA - m) \psi \right]$$

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu - ig[A_\mu, A_\nu]$$

Quantization:  $|\psi(t)\rangle = e^{-iHt} |\psi(0)\rangle$        $[A_\mu, E_\nu] = i\hbar\delta_{\mu\nu}$



- QCD enables us to calculate (in principle):
  - Equation of state of neutron star, Tc
  - Scattering of quarks and gluons, Parton distributions
  - Hadron spectrum!
- Strongly coupled quantum system
- Use lattice QCD + Monte-Carlo



## Lattice path integral > 1000 dim, Trapezoidal int is impossible

K. Wilson 1974

Imaginary time  
 $t = -i\tau$

$$S = \int d^4x \left[ + \frac{1}{2} \text{tr} F_{\mu\nu} F_{\mu\nu} + \bar{\psi} (\not{D} - igA + m) \psi \right]$$

Lattice regularization  
 $U_\mu = e^{aigA_\mu}$

$$S[U, \psi, \bar{\psi}] = a^4 \sum_n \left[ - \frac{1}{g^2} \text{Re tr} U_{\mu\nu} + \bar{\psi} (\not{D} + m) \psi \right] \quad \text{cutoff} = a^{-1}$$

Both S give same expectation value for long range  $\text{Re} U_{\mu\nu} \sim \frac{-1}{2} g^2 a^4 F_{\mu\nu}^2 + O(a^6)$

Path integral formalism

$$\langle \mathcal{O} \rangle = \frac{1}{Z} \int \mathcal{D}U \mathcal{D}\bar{\psi} \mathcal{D}\psi e^{-S} \mathcal{O}(U) = \frac{1}{Z} \int \mathcal{D}U e^{-S_{\text{gauge}}[U]} \det(D + m) \mathcal{O}(U)$$

$$= \frac{1}{Z} \int \mathcal{D}U e^{-S_{\text{eff}}[U]} \mathcal{O}(U)$$

$$= \prod_{n \in \{\mathbb{Z}/L\}^4} \prod_{\mu=1}^4 dU_\mu(n)$$

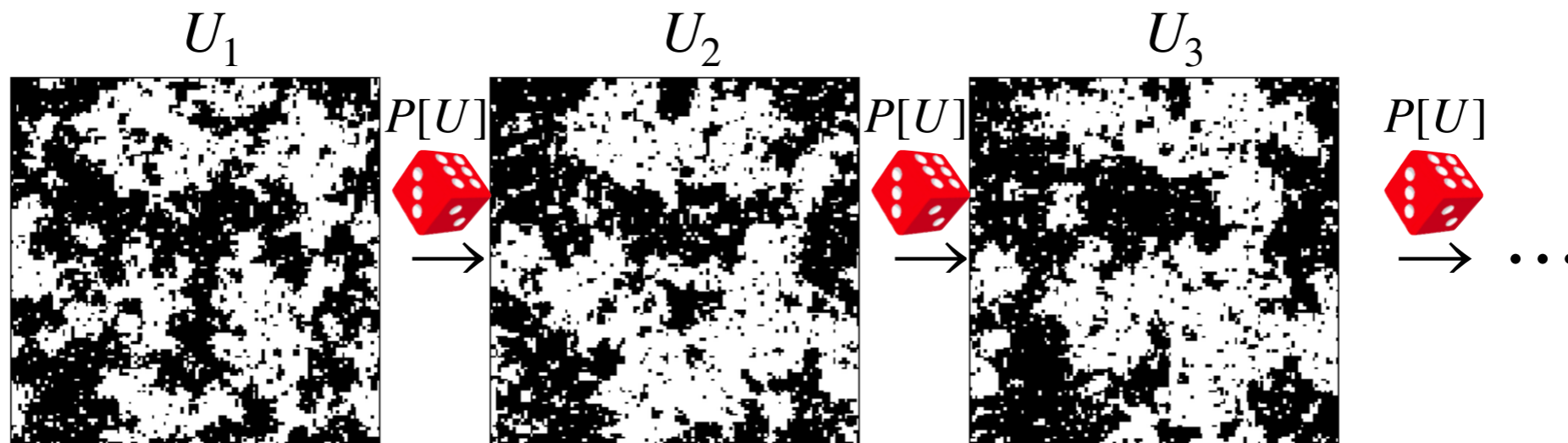
>1000 dim. We cannot use Newton-Cotes type integral like Trapezoid, Simpson etc. We cannot control numerical error

## Monte-Carlo integration is available

M. Creutz 1980

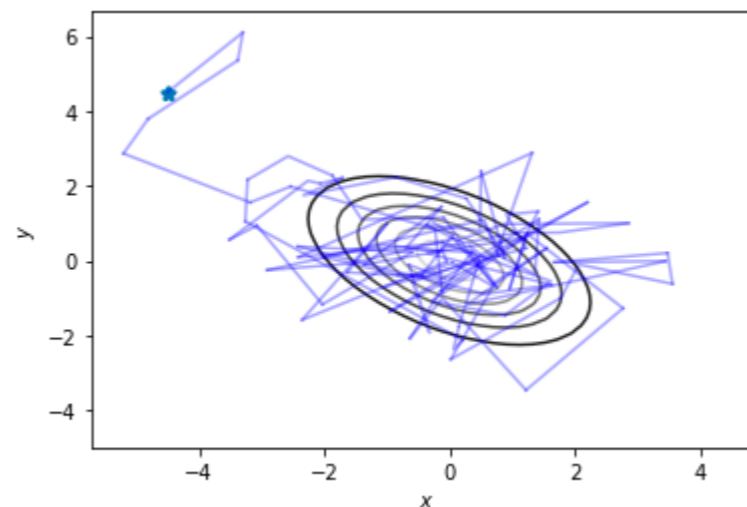
$$\langle \mathcal{O} \rangle = \frac{1}{Z} \int \mathcal{D}U e^{-S_{\text{eff}}[U]} \mathcal{O}(U) \quad S_{\text{eff}}[U] = S_{\text{gauge}}[U] - \log \det(\mathcal{D}[U] + m)$$

**Monte-Carlo: Generate field configurations with “ $P[U] = \frac{1}{Z} e^{-S_{\text{eff}}[U]}$ ”. It gives expectation value**



HMC: Hybrid (Hamiltonian) Monte-Carlo  
De-facto standard algorithm

$$S(x, y) = \frac{1}{2}(x^2 + y^2 + xy)$$

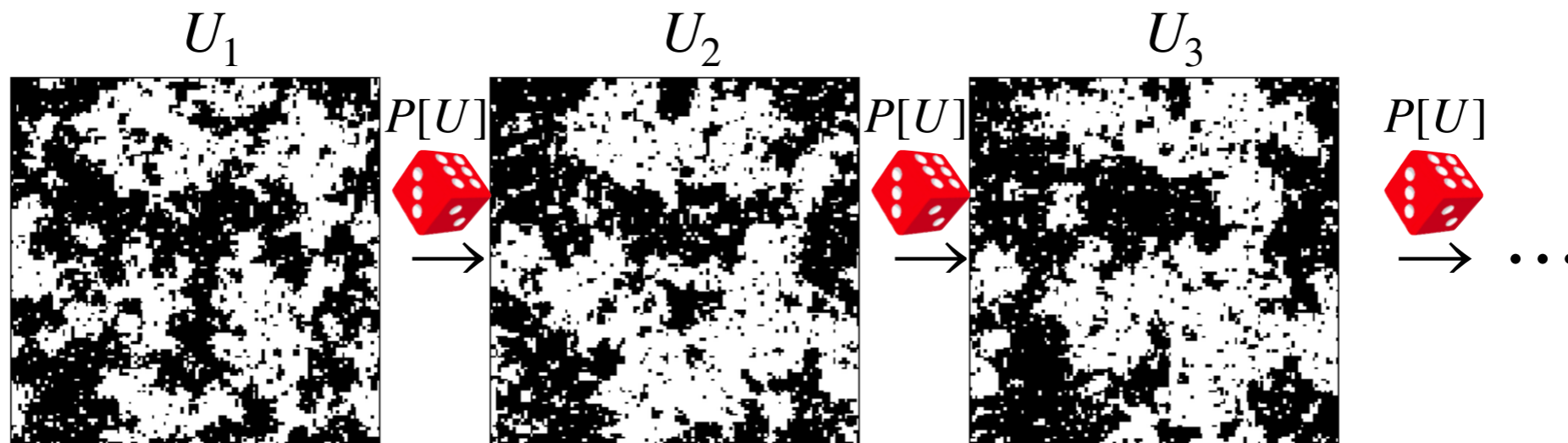


## Monte-Carlo integration is available

M. Creutz 1980

$$\langle \mathcal{O} \rangle = \frac{1}{Z} \int \mathcal{D}U e^{-S_{\text{eff}}[U]} \mathcal{O}(U) \quad S_{\text{eff}}[U] = S_{\text{gauge}}[U] - \log \det(\mathcal{D}[U] + m)$$

**Monte-Carlo: Generate field configurations with “ $P[U] = \frac{1}{Z} e^{-S_{\text{eff}}[U]}$ ”. It gives expectation value**



**Error of integration is determined by the number of sampling**

$$\langle \mathcal{O} \rangle = \frac{1}{N_{\text{sample}}} \sum_k^{N_{\text{sample}}} \mathcal{O}[U_k] \pm O\left(\frac{1}{\sqrt{N_{\text{sample}}}}\right)$$



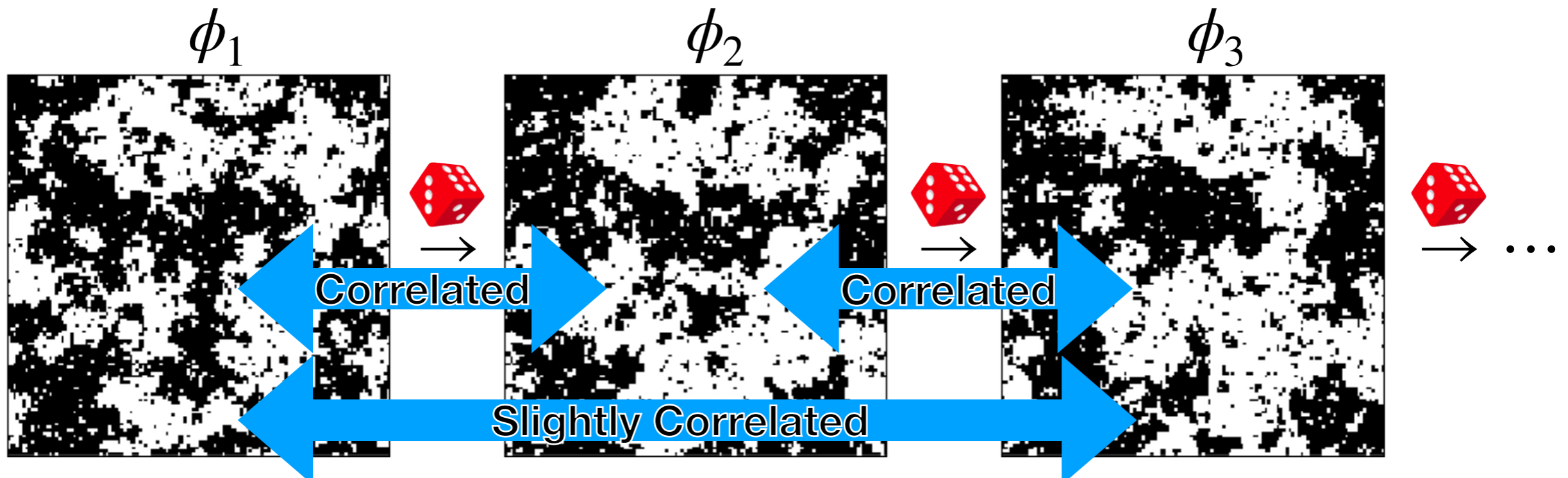
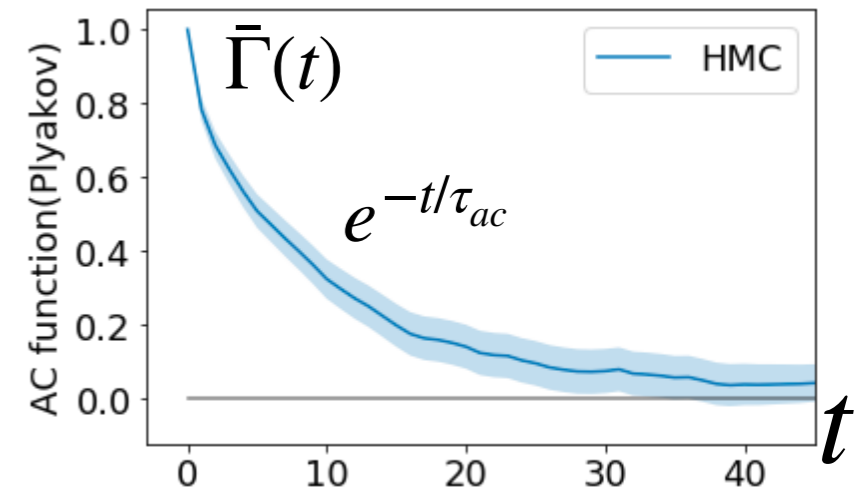
# Introduction

Correlation between samples = inefficiency of calculation

$$\langle O[\phi] \rangle = \frac{1}{N} \sum_k^N O[\phi_k] \pm O\left(\frac{1}{\sqrt{N_{\text{indep}}}}\right)$$

$$N_{\text{indep}} = \frac{N_{\text{sample}}}{2\tau_{ac}}$$

$$\bar{\Gamma}(t) = \frac{1}{N-t} \sum_k (O[\phi_{k+t}] - \bar{O})(O[\phi_k] - \bar{O}) \sim e^{-t/\tau_{ac}}$$



Large  $\tau_{ac}$  means, such simulation is inefficient

# Introduction

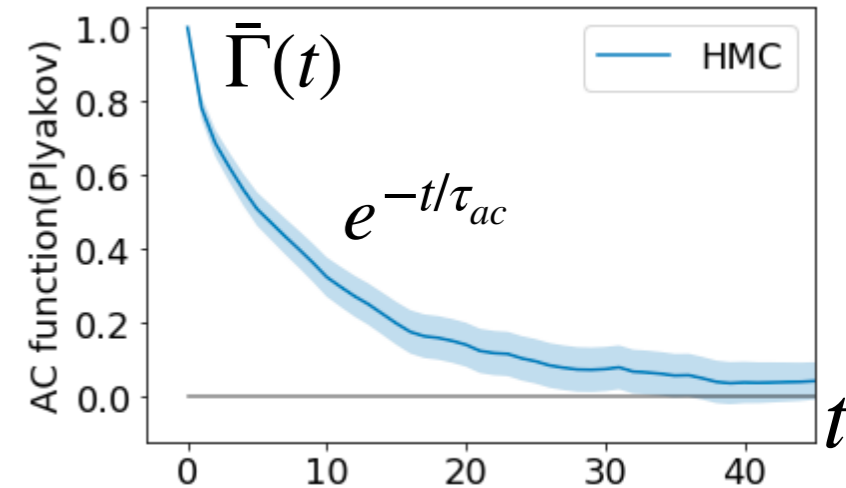
Summary for now: long autocorrelation = inefficiency

$$\langle O[\phi] \rangle = \frac{1}{N} \sum_k^N O[\phi_k] \pm O\left(\frac{1}{\sqrt{N_{\text{indep}}}}\right)$$

$$N_{\text{indep}} = \frac{N_{\text{sample}}}{2\tau_{ac}}$$

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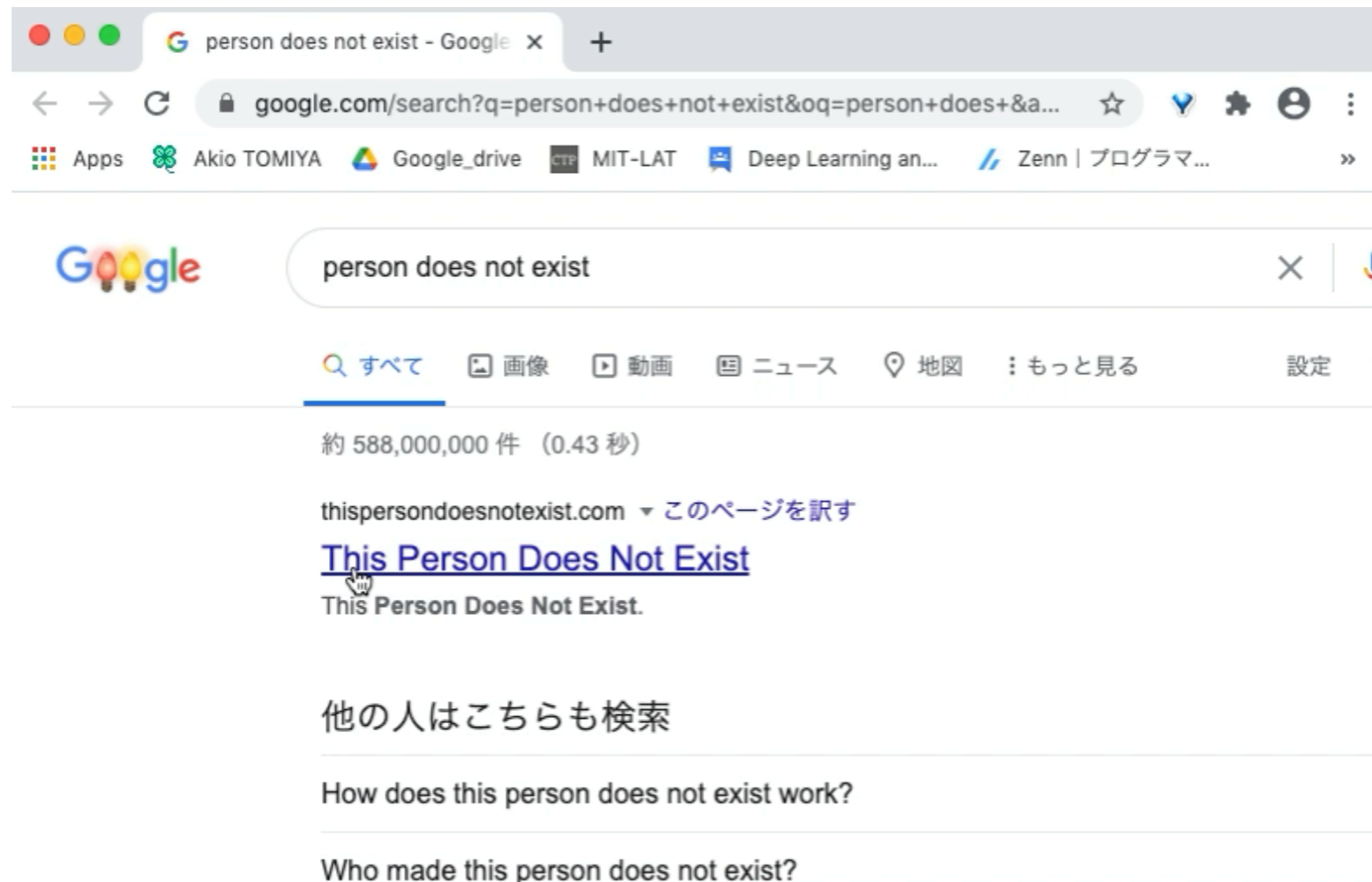
$\tau_{ac}$  is given by an update algorithm (N. Madras et. al 1988)



- Autocorrelation time  $\tau_{ac}$  quantifies similarity between samples
- $\tau_{ac}$  is algorithm dependent quantity
- If  $\tau_{ac}$  becomes half, we can get doubly precise results in the same time cost

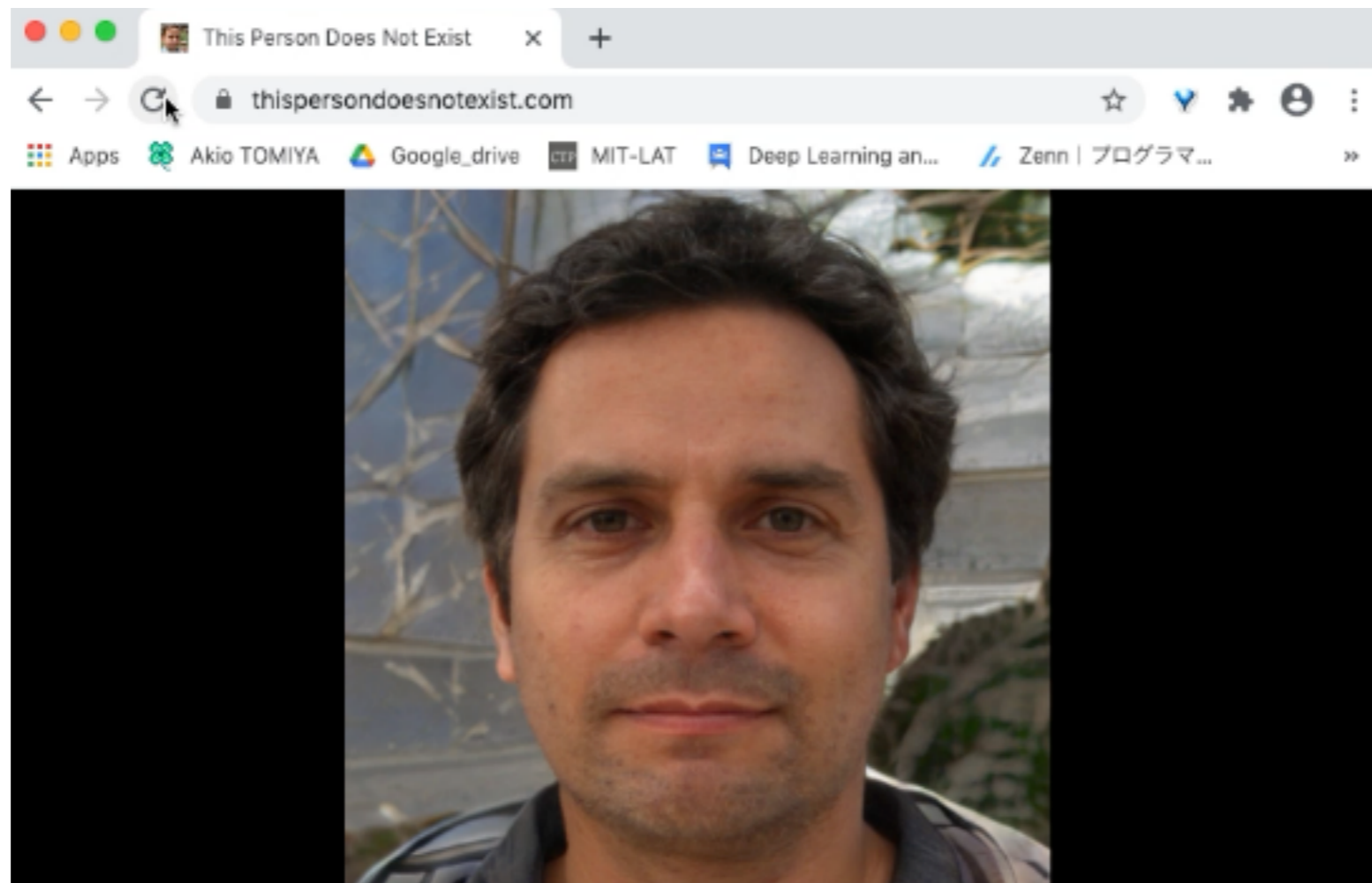
**Can we make this mild using machine learning?**

## Neural net can make human face images



## Neural net can make human face images

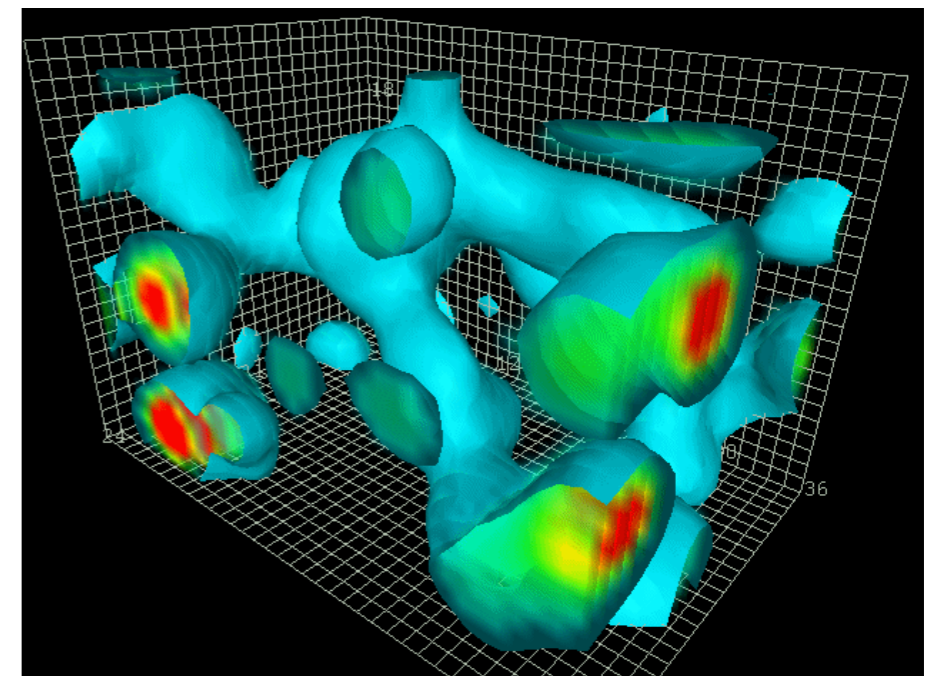
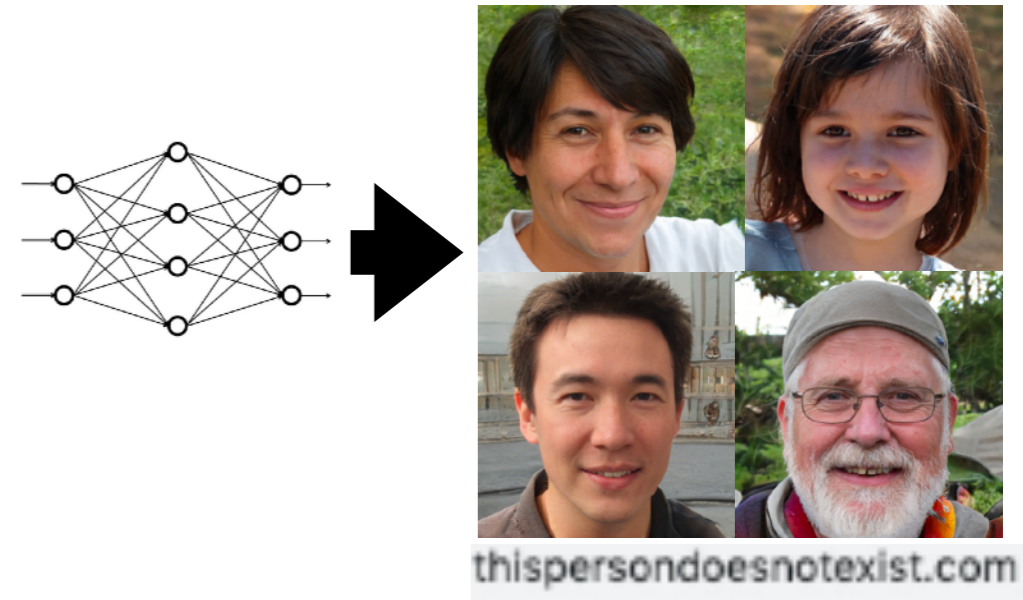
Neural nets can generate realistic human faces (Style GAN2)



Realistic Images can be generated by machine learning!  
Configurations as well? (configuration ~ images?)

# ML for LQCD is needed

- Machine learning/ Neural networks
  - Data processing techniques for 2d image in daily life (pictures = pixels = a set of real #)
  - Neural network can generate images! (approximately)
- Lattice QCD is more complicated than pictures
  - 4 dimension
  - **Non-abelian gauge d.o.f. and symmetry**
  - Fermions
  - Exactness of algorithm is necessary
- Q. How can we deal with?



<http://www.physics.adelaide.edu.au/theory/staff/leinweber/VisualQCD/QCDvacuum/>

## Configuration generation with machine learning is developing

Year	Group	ML	Dim.	Theory	Gauge sym	Exact?	Fermion?	Lattice2021/ref
2017	<b>AT, Akinori Tanaka</b>	RBM + HMC	2d	Scalar	-	No	No	arXiv: 1712.03893
2018	K. Zhou+	<b>GAN</b>	2d	Scalar	-	No	No	arXiv: 1810.12879
2018	J. Pawłowski +	GAN +HMC	2d	Scalar	-	Yes?	No	arXiv: 1811.03533
2019	MIT+	<b>Flow</b>	2d	Scalar	-	Yes	No	arXiv: 1904.12072
2020	MIT+	<b>Flow</b>	2d	U(1)	Equivariant	Yes	No	arXiv: 2003.06413
2020	MIT+	<b>Flow</b>	2d	SU(N)	Equivariant	Yes	No	arXiv: 2008.05456
2020	<b>AT, Akinori Tanaka +</b>	<b>SLMC</b>	<b>4d</b>	SU(N)	Invariant	Yes	Partially	arXiv: 2010.11900
2021	M. Medvidović+	A-NICE	2d	Scalar	-	No	No	arXiv: 2012.01442
2021	S. Foreman	L2HMC	2d	U(1)	Yes	Yes	No	
<b>2021</b>	<b>AT+</b>	<b>SLHMC</b>	<b>4d</b>	<b>QCD</b>	<b>Covariant</b>	<b>Yes</b>	<b>YES!</b>	<b>This talk</b>
2021	L. Del Debbio+	<b>Flow</b>	2d	Scalar, O(N)	-	Yes	No	
2021	MIT+	<b>Flow</b>	2d	Yukawa	-	Yes	Yes	
2021	<b>S. Foreman, AT+</b>	Flowed HMC	2d	U(1)	Equivariant	Yes	No but compatible	arXiv: 2112.01586
2021	XY Jing	Neural net	2d	U(1)	Equivariant	Yes	No	
2022	J. Finkenrath	Flow	2d	U(1)	Equivariant	Yes	Yes (diagonalization)	arxiv: 2201.02216
2022	MIT+	Flow	2d, 4d	U(1), QCD	Equivariant	Yes	Yes	arXiv:2202.11712 +
2022	<b>AT+</b>	Flow	2d, 3d	Scalar		Yrs		

+ ...

# **LQCD + Machine learning**

## **How to deal gauge sym.**

# Introduction

## Neural network is a universal approximator of functions

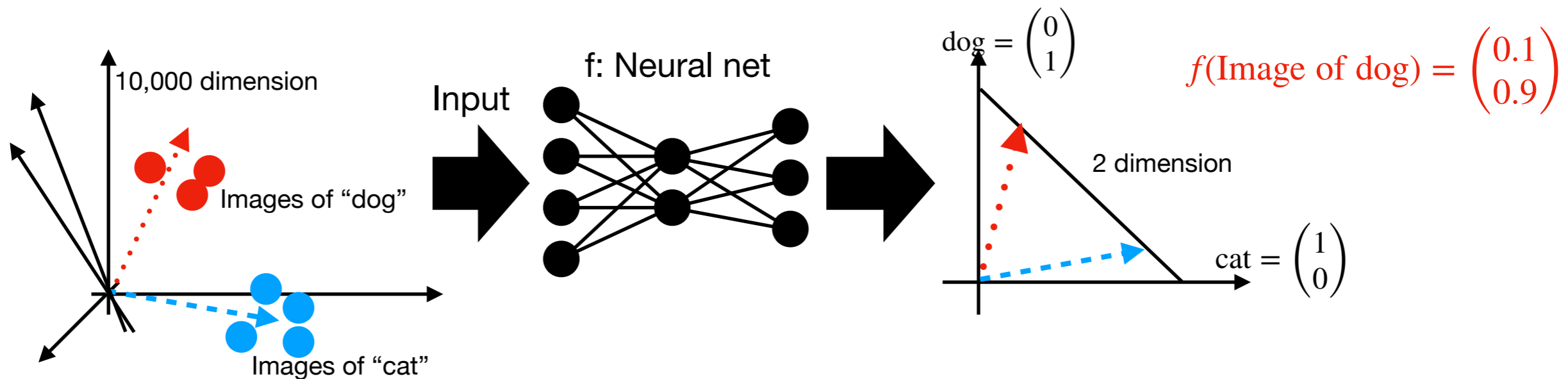
### Image classification, cats and dogs

100x100



Flatten  $\Rightarrow$   $\begin{pmatrix} 0.000 \\ 0.000 \\ 0.8434 \\ 0.756 \\ 0.3456 \\ \vdots \end{pmatrix}$  Image is a vector  
(this is 10,000 dimension)

dog =  $\begin{pmatrix} 0 \\ 1 \end{pmatrix}$  Label is 2 dim vector  
(cat =  $(1, 0)^t$ )





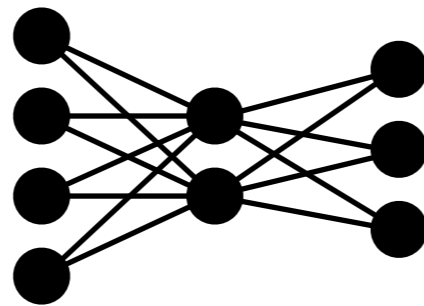
# Introduction

## Affine transformation + element-wise transformation

### Fully connected neural networks

$$f_{\theta}(\vec{x}) = \sigma^{(l=2)}(W^{(l=2)}\sigma^{(l=1)}(W^{(l=1)}\vec{x} + \vec{b}^{(l=1)}) + \vec{b}^{(l=2)})$$

$\theta$  represents a set of parameters: eg  $w_{ij}^{(l)}, b_i^{(l)}, \dots$  (throughout this talk!)



Component of neural net:  $l = 2, 3, \dots$  and  $\vec{u}^{(1)} = \vec{x}$

$$\begin{cases} z_i^{(l)} = \sum_j w_{ij}^{(l)} u_j^{(l-1)} + b_i^{(l)} \\ u_i^{(l)} = \sigma^{(l)}(z_i^{(l)}) \end{cases}$$

Matrix product  
vector addition  
(w, b determined in  
the training)

element-wise (**local**)  
Non-linear transf.  
Typically  $\sigma \sim \tanh$  shape

**Neural network = (Variational) map between vector to vector**

# Introduction

## Neural network is a universal approximator of functions

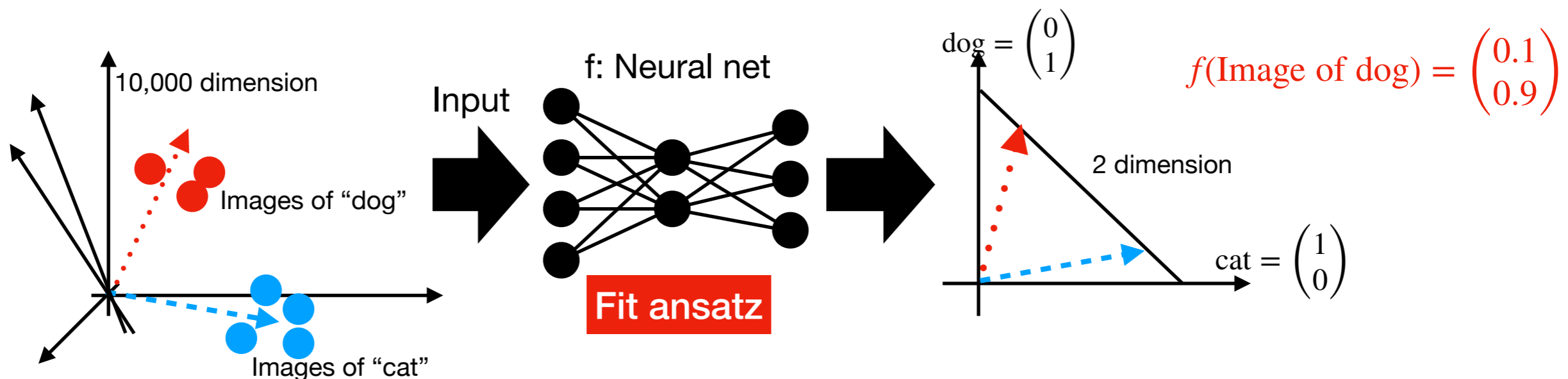
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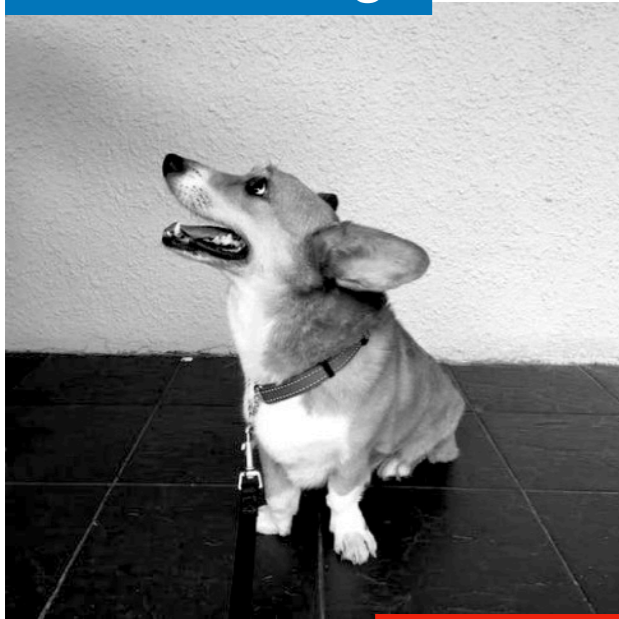
**Fact: neural network can mimic any function! (universal app. thm)**

In this example, neural net mimics a map between image (10,000-dim vector) and label (2-dim vector)

# What is the neural networks?

## Convolution layer = trainable filter

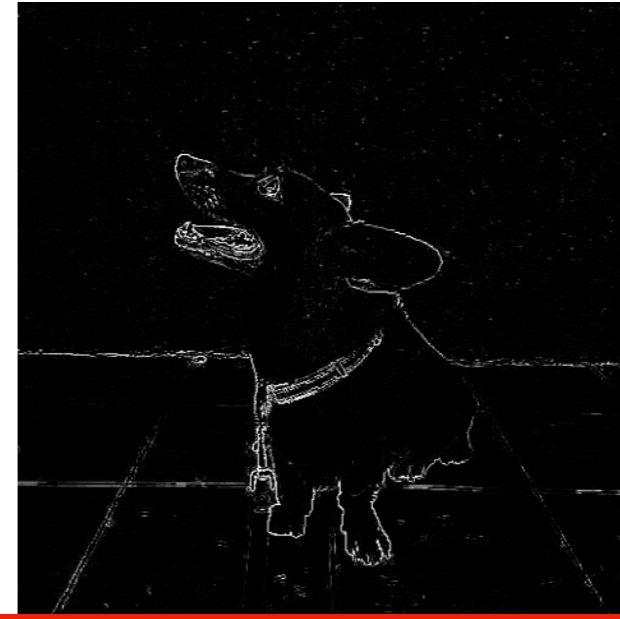
Filter on image



Laplacian filter

$$\ast \begin{matrix} 0 & 1 & 0 \\ 1 & -2 & 1 \\ 0 & 1 & 0 \end{matrix} =$$

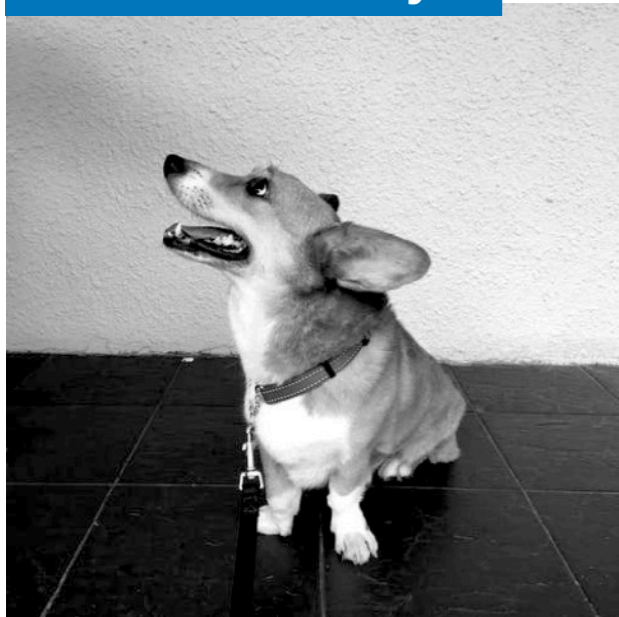
(Discretization of  $\partial^2$ )



Edge detection

**IMPORTANT: If inputs are shifted to right, outputs are shifted to right**  
**= translationally equivariant (similar to covariance, operation just commute)**

Convolution layer



Trainable filter

$$\ast \begin{matrix} W_{11} & W_{12} & W_{13} \\ W_{21} & W_{22} & W_{23} \\ W_{31} & W_{32} & W_{33} \end{matrix} \rightarrow$$

Edge detection

Smoothing  
(Gaussian filter)

...

Fukushima, Kunihiko (1980)  
Zhang, Wei (1988) + a lot!

Gaussian filter

$$\frac{1}{16} \begin{matrix} 1 & 2 & 1 \\ 2 & 4 & 2 \\ 1 & 2 & 1 \end{matrix}$$

**This can be any filter which helps feature extraction but still translationally equivariant!**

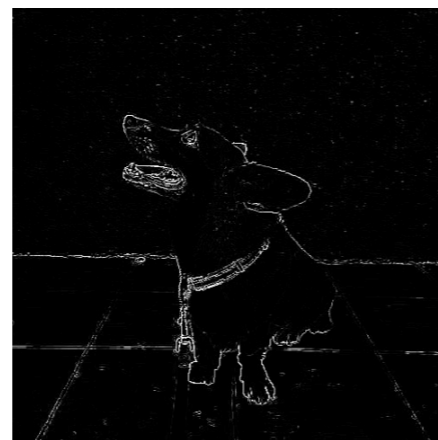
# Convolution neural network

## Training can be done with back propagation

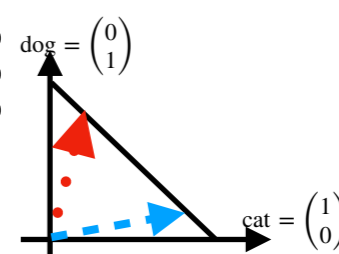
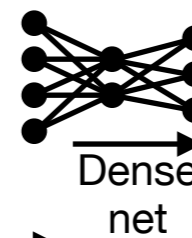
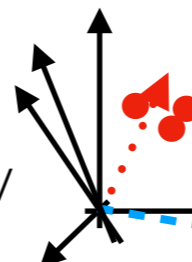


$W_{11}$	$W_{12}$	$W_{13}$
$W_{21}$	$W_{22}$	$W_{23}$
$W_{31}$	$W_{32}$	$W_{33}$

Translation equivariant map with trainable parameters



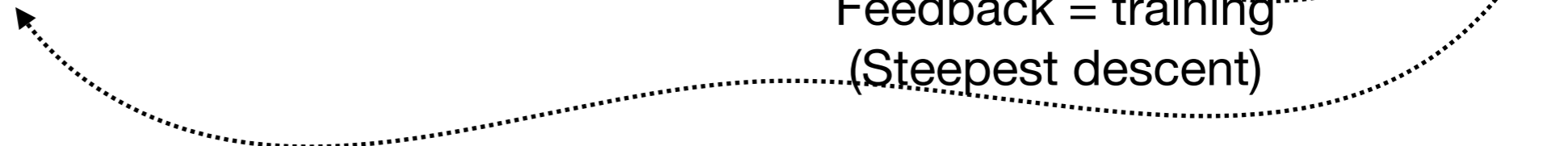
G.A.  
Pooling/  
flatten



loss function quantifies error of output

feed  $L$

Feedback = training (Steepest descent)



## Smoothing improves global properties

Eg.

Coarse image



Numerical derivative is unstable

Gaussian filter

$$\frac{1}{16} \begin{array}{|c|c|c|} \hline 1 & 2 & 1 \\ \hline 2 & 4 & 1 \\ \hline 1 & 2 & 1 \\ \hline \end{array}$$


Smoothened image



Numerical derivative is stable

We want to smoothen gauge configurations with keeping gauge symmetry

**Two types:**

**APE-type smearing**

**Stout-type smearing**

M. Albanese+ 1987  
R. Hoffmann+ 2007  
C. Morningster+ 2003

## Smoothing with gauge symmetry, APE type

M. Albanese+ 1987  
R. Hoffmann+ 2007

### APE-type smearing

$$U_\mu(n) \rightarrow U_\mu^{\text{fat}}(n) = \mathcal{N} \left[ (1 - \alpha)U_\mu(n) + \frac{\alpha}{6} V_\mu^\dagger[U](n) \right]$$

Covariant sum

Normalization

$$\mathcal{N}[M] = \frac{M}{\sqrt{M^\dagger M}} \quad \text{Or projection}$$

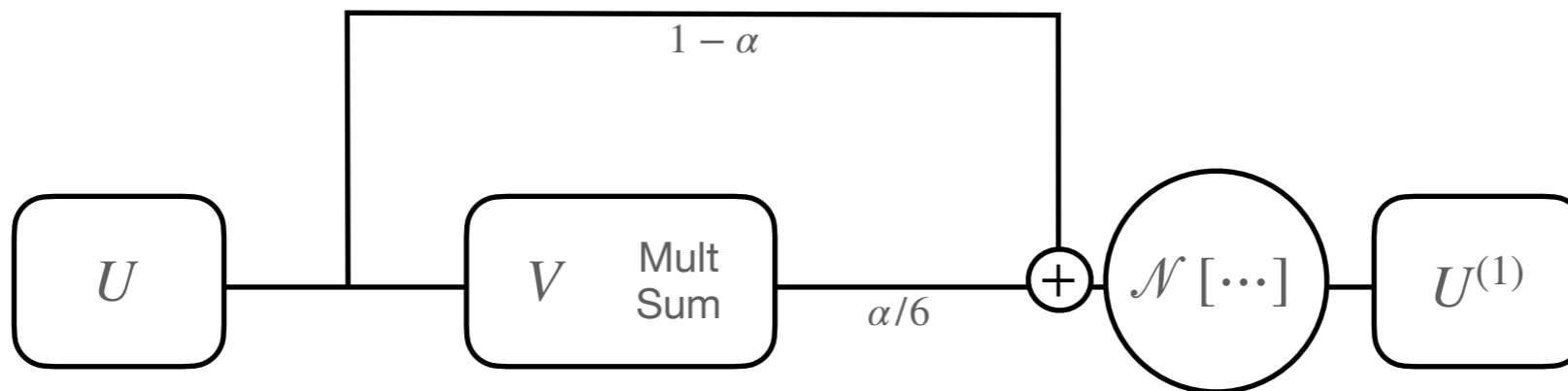
$$V_\mu^\dagger[U](n) = \sum_{\nu \neq \mu} U_\nu(n) U_\mu(n + \hat{\nu}) U_\nu^\dagger(n + \hat{\mu}) + \dots$$

$V_\mu^\dagger[U](n)$  &  $U_\mu(n)$  shows same transformation  
→  $U_\mu^{\text{fat}}[U](n)$  is as well

Schematically,

$$\Rightarrow \Rightarrow \Rightarrow = \mathcal{N} \left[ (1 - \alpha) \Rightarrow \Rightarrow \Rightarrow + \frac{\alpha}{6} \sum_\nu \left( \begin{array}{c} \nearrow \\ \uparrow \end{array} \begin{array}{c} \searrow \\ \downarrow \end{array} \right) + \left( \begin{array}{c} \searrow \\ \downarrow \end{array} \begin{array}{c} \nearrow \\ \uparrow \end{array} \right) \right]$$

In the calculation graph,



# Smearing

## Smoothing with gauge symmetry, stout type

C. Morningster+ 2003

### Stout-type smearing

$$U_\mu(n) \rightarrow U_\mu^{\text{fat}}(n) = e^Q U_\mu(n)$$

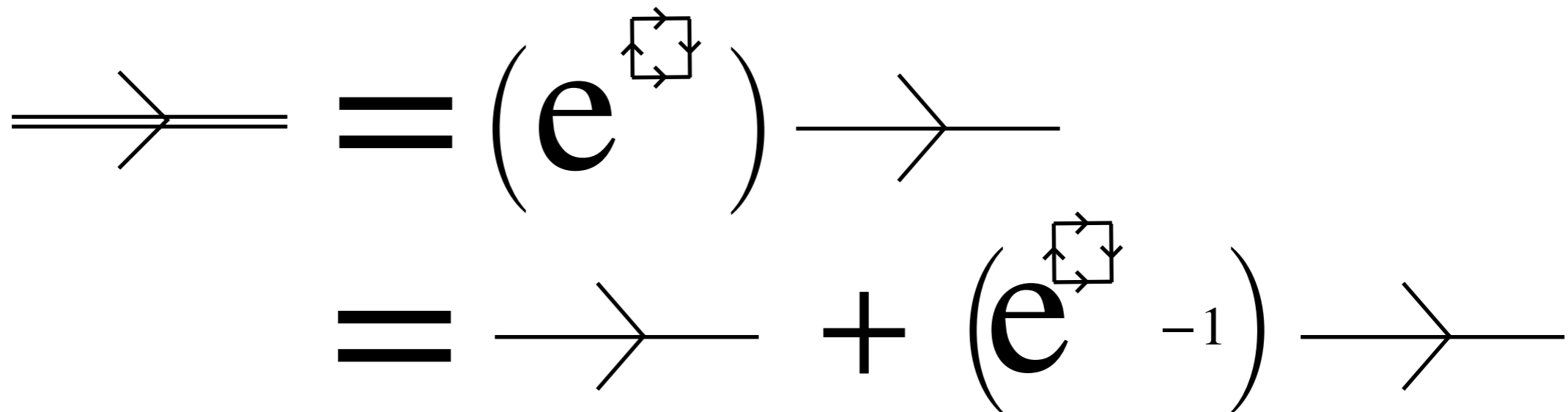
Covariant sum

$$= U_\mu(n) + (e^Q - 1)U_\mu(n)$$

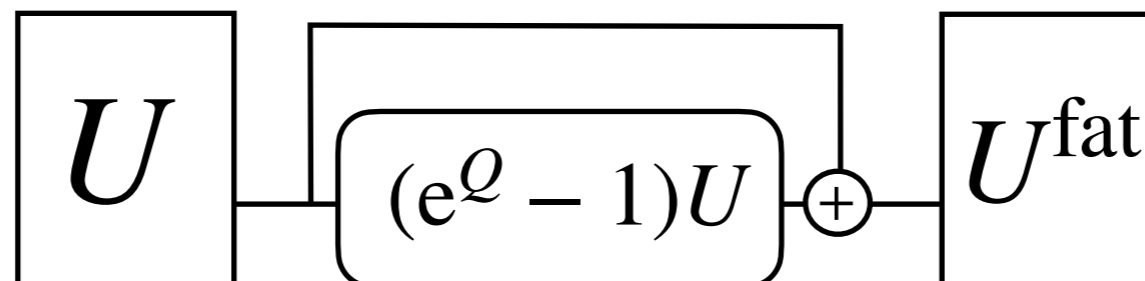
 $Q$ : anti-hermitian traceless plaquette

This is less obvious but this actually obeys same transformation

Schematically,



In the calculation graph,



# Smearing

## Smearing decomposes into two parts

General form of smearing (covariant transformation)

$$\left\{ \begin{array}{l} z_{\mu}(n) = w_1 U_{\mu}(n) + w_2 \mathcal{G}[U] \\ U_{\mu}^{\text{fat}}(n) = \mathcal{N}(z_{\mu}(n)) \end{array} \right. \quad \begin{array}{l} \text{Gauge covariant sum} \\ \text{A local function} \end{array}$$



# Smearing

## Smearing $\sim$ neural network with fixed parameter!

AT Y. Nagai arXiv: 2103.11965

### General form of smearing (covariant transformation)

$$\left\{ \begin{array}{l} z_{\mu}(n) = w_1 U_{\mu}(n) + w_2 \mathcal{G}[U] \\ U_{\mu}^{\text{fat}}(n) = \mathcal{N}(z_{\mu}(n)) \end{array} \right. \quad \begin{array}{l} \text{Gauge covariant sum} \\ \text{A local function} \end{array}$$

It has similar structure with neural networks,

$$\left\{ \begin{array}{l} z_i^{(l)} = \sum_j w_{ij}^{(l)} u_j^{(l-1)} + b_i^{(l)} \\ u_i^{(l)} = \sigma^{(l)}(z_i^{(l)}) \end{array} \right. \quad \begin{array}{l} \text{Matrix product} \\ \text{vector addition} \\ \\ \text{element-wise (local)} \\ \text{Non-linear transf.} \\ \text{Typically } \sigma \sim \text{tanh shape} \end{array}$$

**Actually, we can find a dictionary between them**

# Gauge covariant neural network

= trainable smearing

AT Y. Nagai arXiv: 2103.11965

Dictionary

	(convolutional) Neural network	Smearing in LQCD
<b>Input</b>	Image (2d data, structured)	gauge config (4d data, structured)
<b>Output</b>	Image (2d data, structured)	gauge config (4d data, structured)
<b>Symmetry</b>	Translation	Translation, rotation(90°), Gauge sym.
<b>with Fixed param</b>	Image filter	(APE/stout ...) Smearing
<b>Local operation</b>	Summing up nearest neighbor with weights	Summing up staples with weights
<b>Activation function</b>	Tanh, ReLU, sigmoid, ...	projection/normalization in Stout/HYP/HISQ
<b>Formula for chain rule</b>	Backprop	“Smearred force calculations” (Stout)
<b>Training?</b>	Backprop + Delta rule	AT Nagai 2103.11965

Well-known

(Index  $i$  in the neural net corresponds to  $n$  &  $\mu$  in smearing. Information processing with NN is evolution of scalar field)

Takeaway message

**Gauge Covariant Neural networks**  
**= trainable smearing, training for  $SU(N)$  fields**

**Gauge covariant neural network = general smearing with trainable parameters  $w$**

$$U_{\mu}^{(l+1)}(n) [U^{(l)}] : \begin{cases} z_{\mu}^{(l+1)}(n) = w_1^{(l)} U_{\mu}^{(l)}(n) + w_2^{(l)} \mathcal{G}_{\bar{\theta}}^{(l)} [U] \\ \mathcal{N}(z_{\mu}^{(l+1)}(n)) \end{cases}$$

(Weight “ $w$ ” can be depend on  $n$  and  $\mu$  = fully connected like. Less symmetric, more parameters)

e.g. 
$$U_{\mu}^{\text{NN}}(n) [U] = U_{\mu}^{(3)}(n) \left[ U_{\mu}^{(2)}(n) \left[ U_{\mu}^{(1)}(n) \left[ U_{\mu}(n) \right] \right] \right]$$

Good properties: Obvious gauge symmetry. Translation, rotational symmetries.

(Analogous to convolutional layer, this fully uses information of the symmetries)

$$U_{\mu}(n) \mapsto U_{\mu}^{\text{NN}}(n) = U_{\mu}^{\text{NN}}(n) [U]$$

1. Gauge covariant composite function:

Input = gauge field, Output = gauge field

2. Parameters in the network can be trainable using ML techniques.

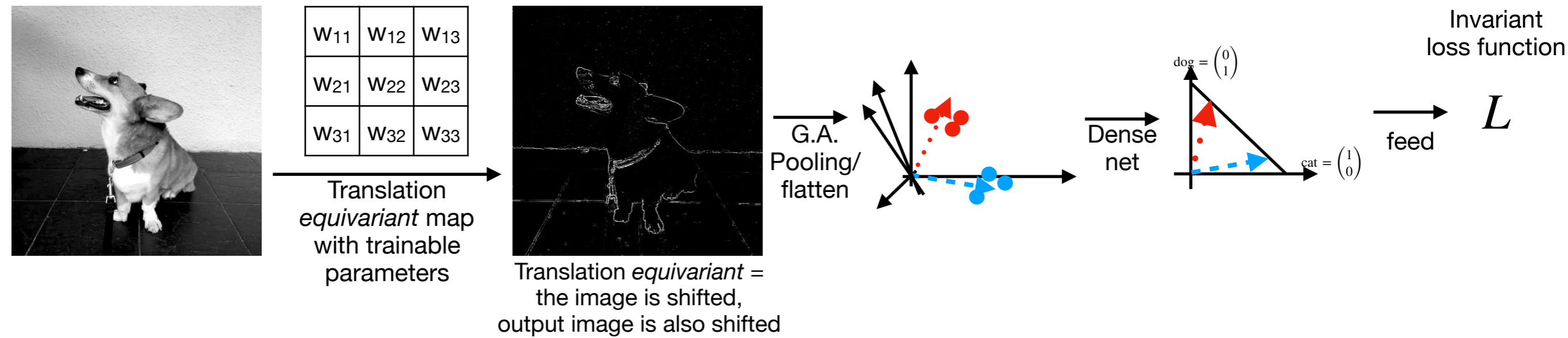
# Gauge covariant neural network

Training can be done with (extended) back propagation

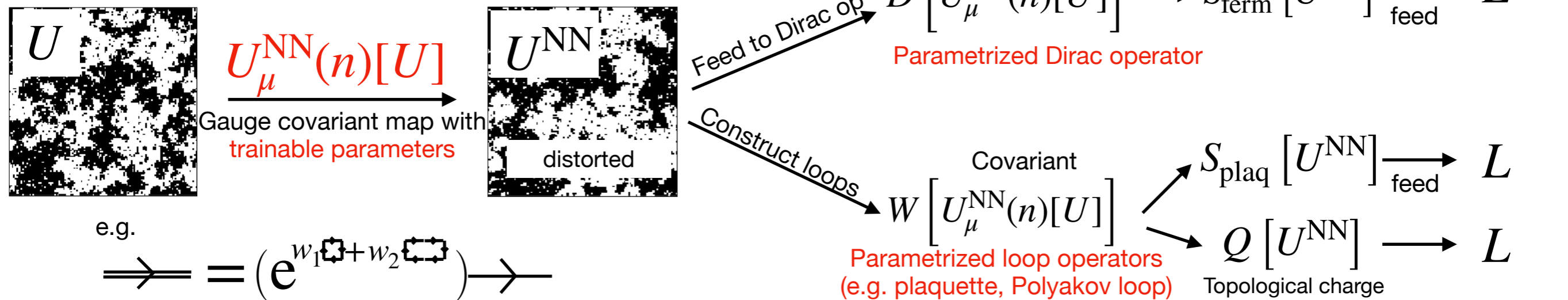
AT Y. Nagai arXiv: 2103.11965

Gauge inv. loss function can be constructed by gauge invariant actions

## Usual neural network



## Covariant neural networks

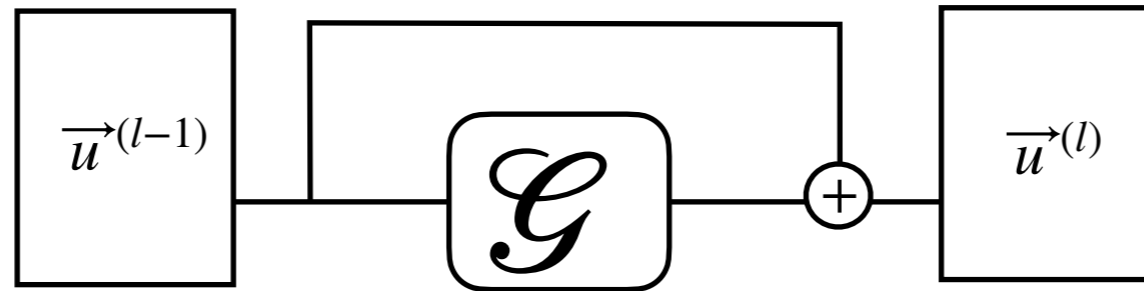


cf. Gauge equivariant neural net (M Favoni+)

# Gauge covariant neural network

Neural ODE of Cov-Net = “gradient flow”

ResNet  
↓ Continuum Layer Limit  
Neural ODE



$$\frac{d\vec{u}^{(t)}}{dt} = \mathcal{G}(\vec{u}^{(t)})$$

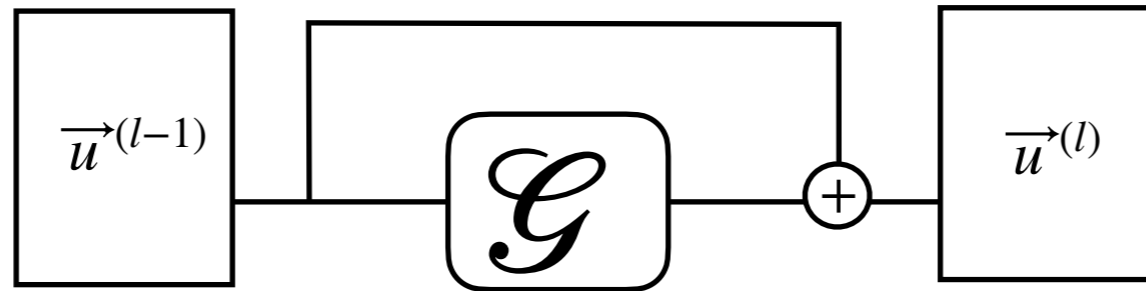
arXiv: 1512.03385

arXiv: 1806.07366  
(Neural IPS 2018 best paper)

# Gauge covariant neural network

## Neural ODE of Cov-Net = “gradient flow”

ResNet



arXiv: 1512.03385

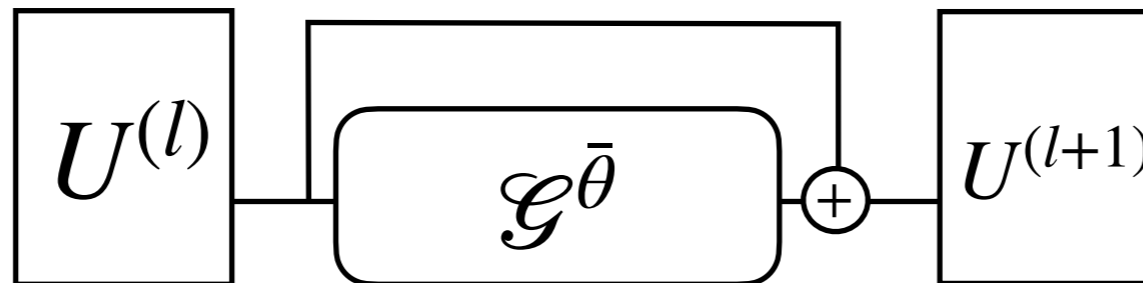
Continuum  
Layer  
Limit

Neural ODE

$$\frac{d\vec{u}^{(t)}}{dt} = \mathcal{G}(\vec{u}^{(t)})$$

arXiv: 1806.07366  
(Neural IPS 2018 best paper)

Gauge-cov net



AT Y. Nagai arXiv: 2103.11965

Continuum  
Layer  
Limit

Neural ODE

$$\frac{dU_{\mu}^{(t)}(n)}{dt} = \mathcal{G}^{\bar{\theta}}(U_{\mu}^{(t)}(n))$$

“Gradient” flow  
(not has to be gradient of S)

for Gauge-cov NN

“Continuous stout smearing is the Wilson flow”

2010 M. Luscher

# Gauge covariant neural network

## Short summary

	Symmetry	Fixed parameter	Continuum limit of layers	How to Train
<b>Conventional neural network</b>	Convolution: Translation	Convolution: Filtering (e.g Gaussian/ Laplacian)	ResNet: Neural ODE	Delta rule and backprop Gradient opt.
<b>Gauge cov. net</b> <small>AT Y. Nagai arXiv: 2103.11965</small>	Gauge covariance Translation equiv, 90° rotation equiv	Smearing	“Gradient flow”	Extended Delta rule and backprop Gradient opt.

Re-usable stout  
force subroutine  
(Implementation is easy &  
no need to use ML library)

Next, I show a demonstration



# **An application**

# **Self-learning HMC**

## Problems to solve

arXiv: 2103.11965

Our neural network enables us to **parametrize** gauge symmetric action covariant way. **It can be used in variational ansatz in gauge theory.**

e.g.

$$S^{\text{NN}}[U] = S_{\text{plaq}} \left[ U_{\mu}^{\text{NN}}(n)[U] \right]$$
$$S^{\text{NN}}[U] = S_{\text{stag}} \left[ U_{\mu}^{\text{NN}}(n)[U] \right]$$

### Test of our neural network?

Can we mimic a **different** Dirac operator using neural net?

**Artificial** example for HMC:

$$\left\{ \begin{array}{l} \text{Target action} \quad S[U] = S_g[U] + S_f[\phi, U; m = 0.3], \\ \text{Action in MD} \quad S_{\theta}[U] = S_g[U] + S_f[\phi, \underline{U_{\theta}^{\text{NN}}[U]}; m_h = 0.4], \end{array} \right.$$

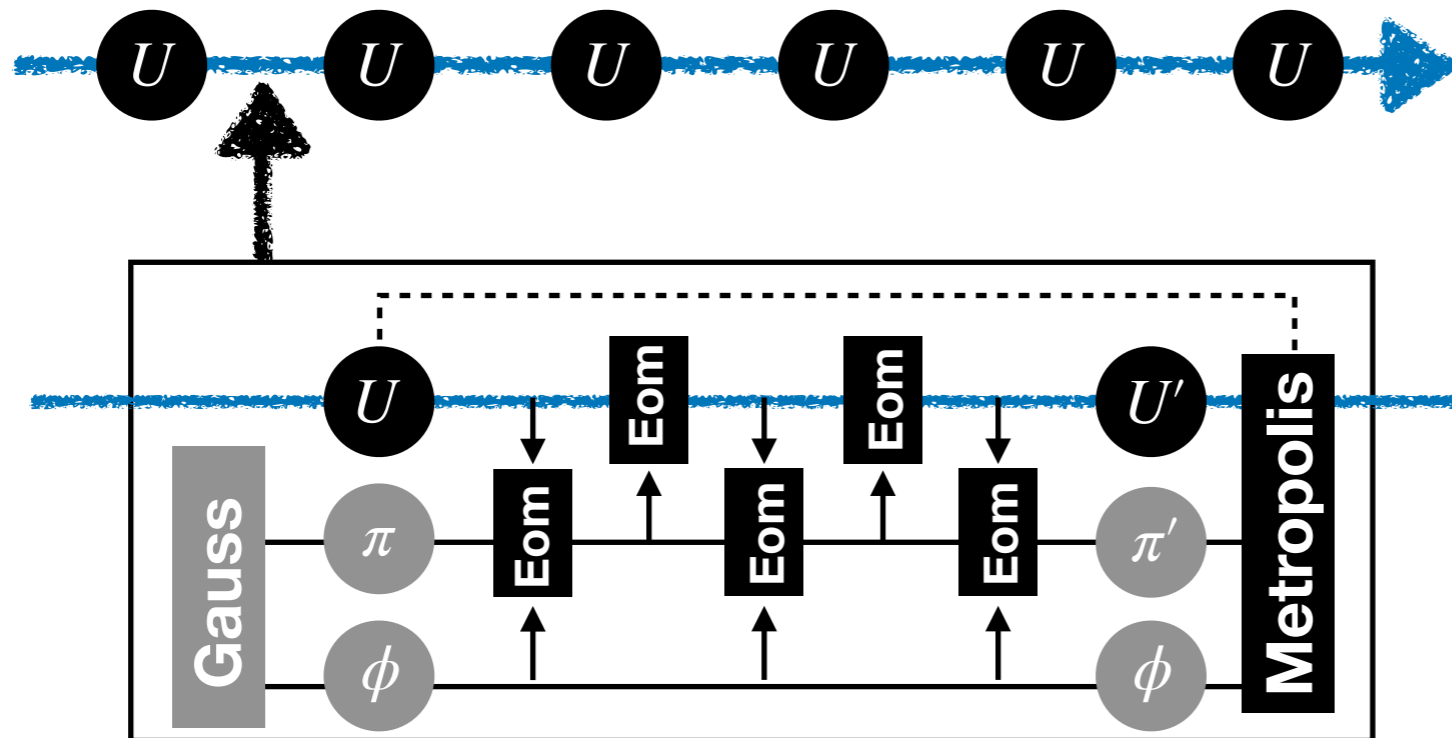
Q. Simulations with approximated action can be exact?  
-> Yes! with SLHMC (Self-learning HMC)

# SLHMC = Exact algorithm with ML

## SLHMC for gauge system with dynamical fermions

arXiv: 2103.11965 and reference therein

### HMC



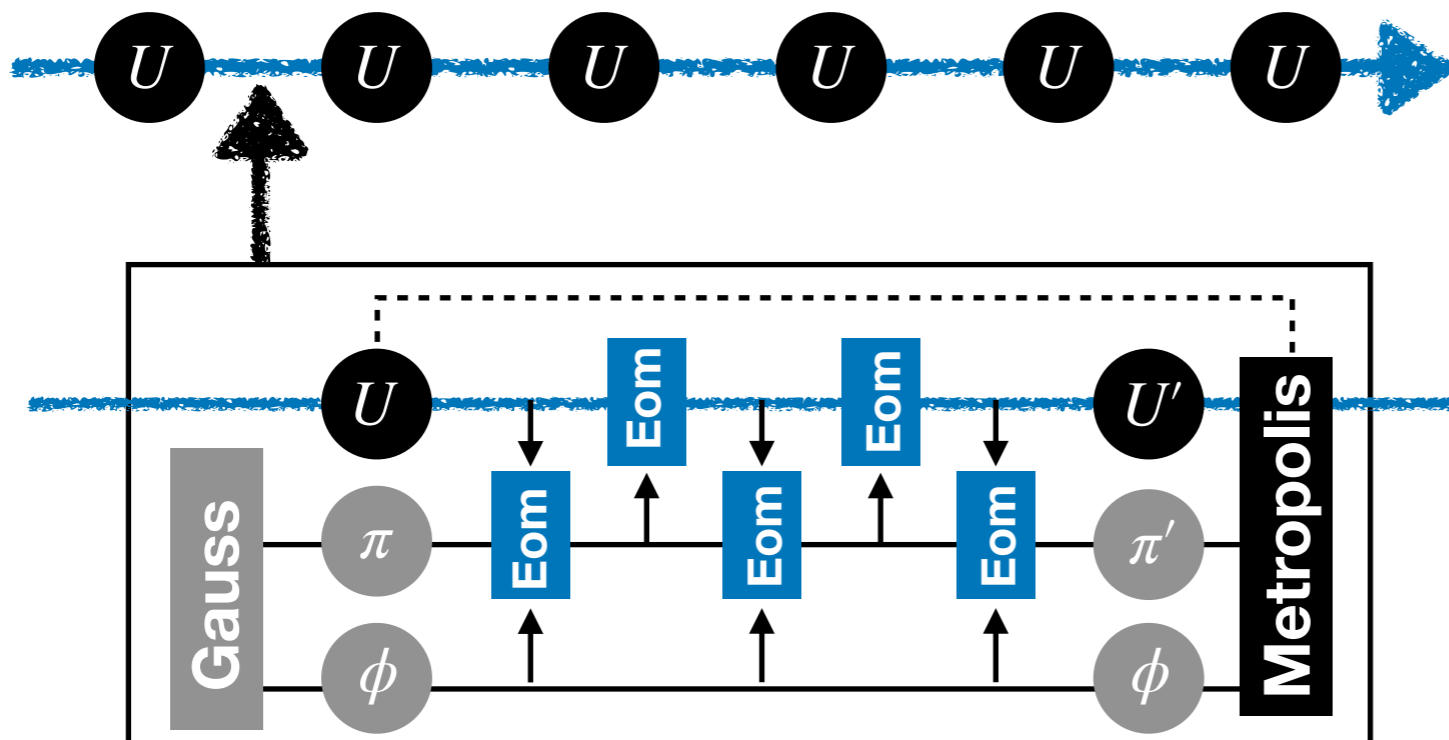
### Eom Metropolis

Both use

$$H_{\text{HMC}} = \frac{1}{2} \sum \pi^2 + S_g + S_f$$

Non-conservation of H cancels since the molecular dynamics is reversible

### Self Learning HMC



### Metropolis

$$H = \frac{1}{2} \sum \pi^2 + S_g + S_f[U]$$

### Eom

$$H = \frac{1}{2} \sum \pi^2 + S_g + S_f[U^{\text{NN}}[U]]$$

Neural net approximated fermion action but exact

SLHMC works as an adaptive reweighting!

## Lattice setup and question

arXiv: 2103.11965

**Target** Two color QCD (plaquette + staggered)

**Algorithms** SLHMC, HMC (comparison)

**Parameter** Four dimension, L=4, m = 0.3, beta = 2.7, Nf=4 (non-rooting)

**Target action**  $S[U] = S_g[U] + S_f[\phi, U; m = 0.3],$

**For Metropolis Test**

**Action in MD (for SLHMC)**  $S_\theta[U] = S_g[U] + S_f[\phi, U_\theta^{\text{NN}}[U]; m_h = 0.4],$

**Observables** Plaquette, Polyakov loop, Chiral condensate  $\langle \bar{\psi}\psi \rangle$

**Code** Full scratch,  
fully written in Julia lang.

 **LatticeQCD.jl**

(But we added some functions on the public version)

AT+ (in prep)

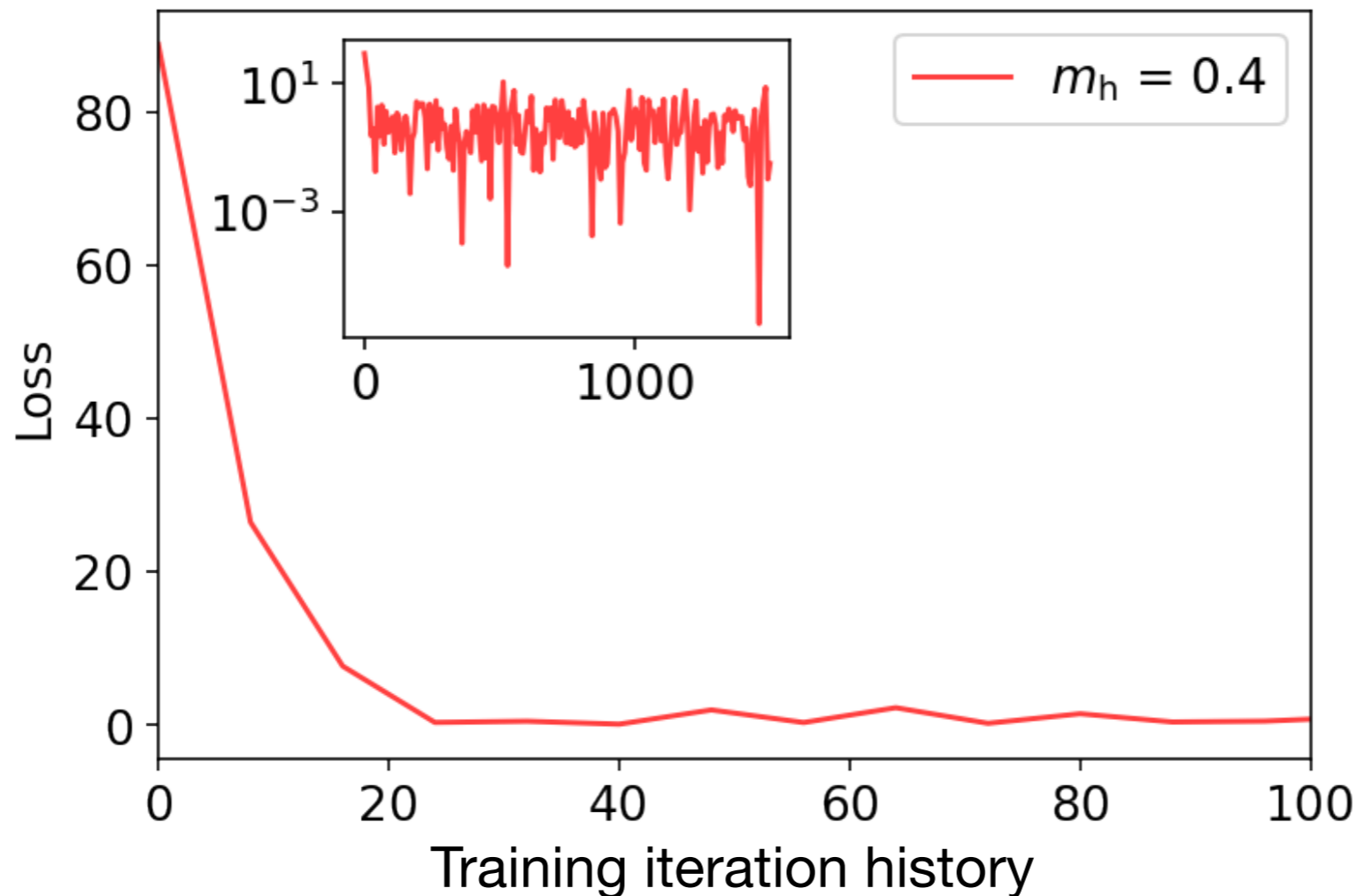


# Details (skip)

## Results: Loss decreases along with the training

arXiv: 2103.11965

**Loss function:**  $L_{\theta}[U] = \frac{1}{2} \left| S_{\theta}[U, \phi] - S[U, \phi] \right|^2, \sim -\log(\text{reweighting factor})$



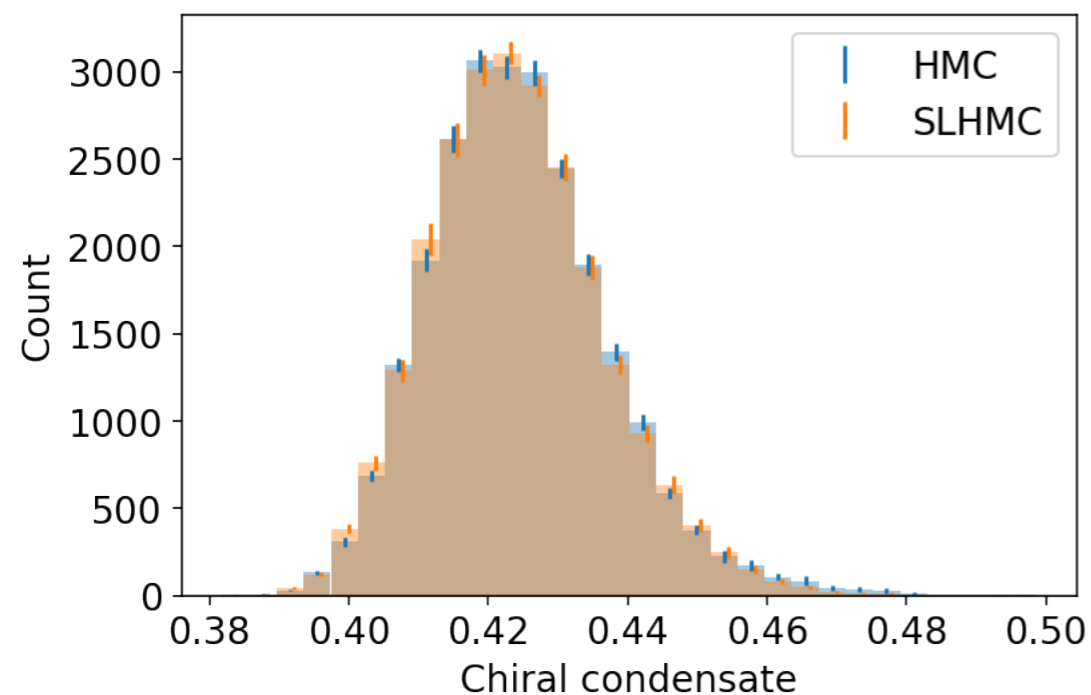
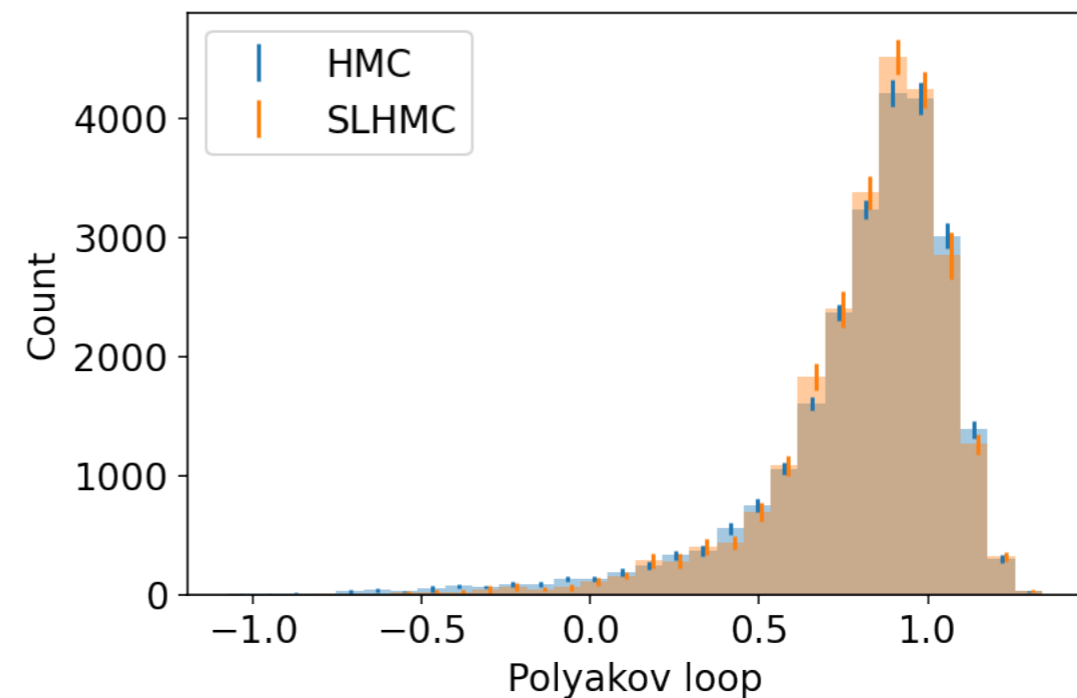
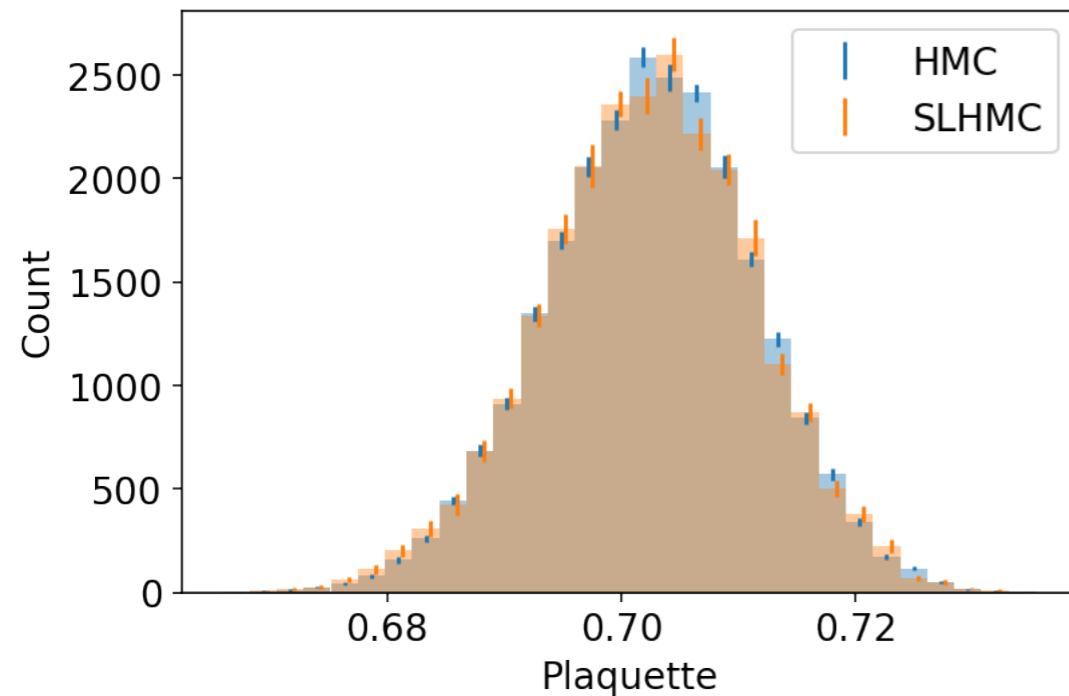
Without training,  $e^{(-L)} \ll 1$ , this means that candidate with approximated action never accept.

After training,  $e^{(-L)} \sim 1$ , and we get practical acceptance rate!

# Application for the staggered in 4d

## Results are consistent with each other

arXiv: 2103.11965



Expectation value		
Algorithm	Observable	Value
HMC	Plaquette	0.7025(1)
SLHMC	Plaquette	0.7023(2)
HMC	Polyakov loop	0.82(1)
SLHMC	Polyakov loop	0.83(1)
HMC	Chiral condensate	0.4245(5)
SLHMC	Chiral condensate	0.4241(5)

Acceptance = 40%

Future work: Domain-wall/Overlap SLHMC (?)

# **Other architecture: Flow based sample algorithm**



# Related works

## Gradient flow as a trivializing map

Trivializing map for lattice QCD has been demanded...

$$\langle \mathcal{O} \rangle = \frac{1}{Z} \int \cdots \int \prod_{x \in 100} \prod_{y \in 100} \prod_{z \in 100} \prod_{t \in 100} d\phi_{x,y,z,t} e^{-S(\phi)} \mathcal{O}[\phi_{x,y,z,t}]$$

$$\tilde{\phi} = \mathcal{F}_\tau(\phi) \quad \text{Flow equation (change variable)}$$

If the solution satisfies  $S(\mathcal{F}_\tau(\phi)) + \ln \det(\text{Jacobian}) = \sum_n \tilde{\phi}_n^2$ ,

# Flow based sampling algorithm

## Normalizing flow ~ Change of variables

### Simplest example: Box Muller

$$\int_{-\infty}^{\infty} dx \int_{-\infty}^{\infty} dy e^{-\frac{1}{2}x^2 - \frac{1}{2}y^2} = \frac{1}{2} \int_0^{2\pi} d\theta \int_0^1 dz$$

Original integral: hard Easy

Change  
of variables

$$\begin{cases} z = e^{-\frac{1}{2}(x^2+y^2)} \\ \tan \theta = y/x \end{cases}$$

Point: Make problem easier with change of variables (make the measure flat)

RHS is flat measure  
→ We can sample like right eq.

$$\begin{cases} \xi_1 \sim (0, 2\pi) \\ \xi_2 \sim (0, 1) \end{cases}$$

We can reconstruct  
a "field config"  $x, y$   
for original theory  
like right eq.

$$\begin{cases} x = r \cos \theta & \theta = \xi_1 \\ y = r \sin \theta & r = \sqrt{-2 \log \xi_2} \end{cases}$$

A change of variable which  $D\phi e^{-S[\phi]}$  makes flat = **Trivializing map**

# Related works

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$$\langle \mathcal{O} \rangle = \frac{1}{Z} \int \cdots \int \prod_{x \in 100} \prod_{y \in 100} \prod_{z \in 100} \prod_{t \in 100} d\tilde{\phi} \mathcal{O}[\mathcal{F}_\tau(\phi)] e^{-\sum \tilde{\phi}_n^2}$$

It becomes Gaussian integral! Easy to evaluate!!

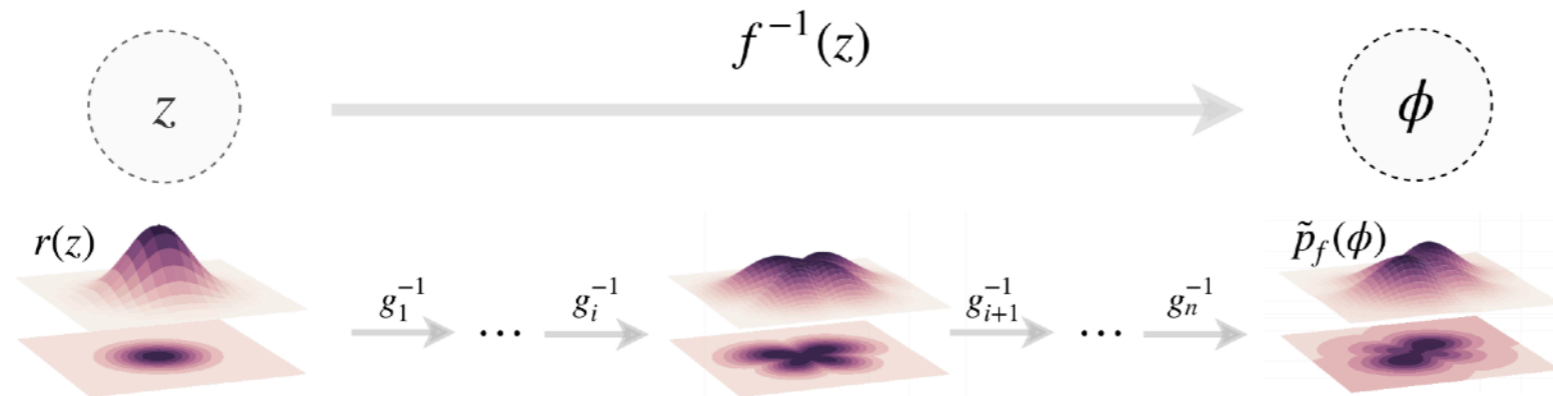
However, the Jacobian cannot evaluate easily, so it is not practical.  
Life is hard.

M. Luscher arXiv:0907.5491

arxiv 1904.12072, 2003.06413, 2008.05456

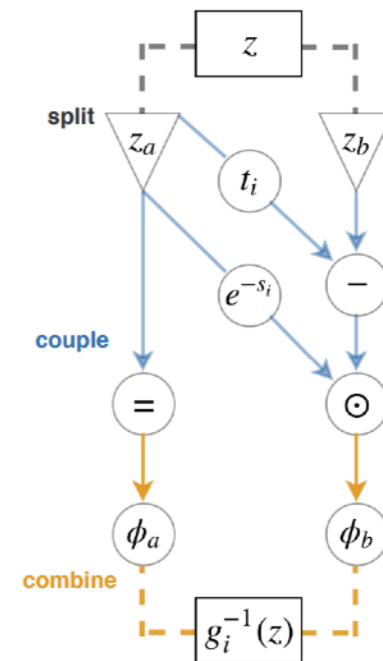
# Related works

Flow based algorithm = neural net represented flow algorithm



(a) Normalizing flow between prior and output distributions

MIT + Google brain 2019~



(b) Inverse coupling layer

FIG. 1: In (a), a normalizing flow is shown transforming samples  $z$  from a prior distribution  $r(z)$  to samples  $\phi$  distributed according to  $\tilde{p}_f(\phi)$ . The mapping  $f^{-1}(z)$  is constructed by composing inverse coupling layers  $g_i^{-1}$  as defined in Eq. (10) in terms of neural networks  $s_i$  and  $t_i$  and shown diagrammatically in (b). By optimizing the neural networks within each coupling layer,  $\tilde{p}_f(\phi)$  can be made to approximate a distribution of interest,  $p(\phi)$ .

**Train a neural net as a “flow”  $\tilde{\phi} = \mathcal{F}(\phi)$**

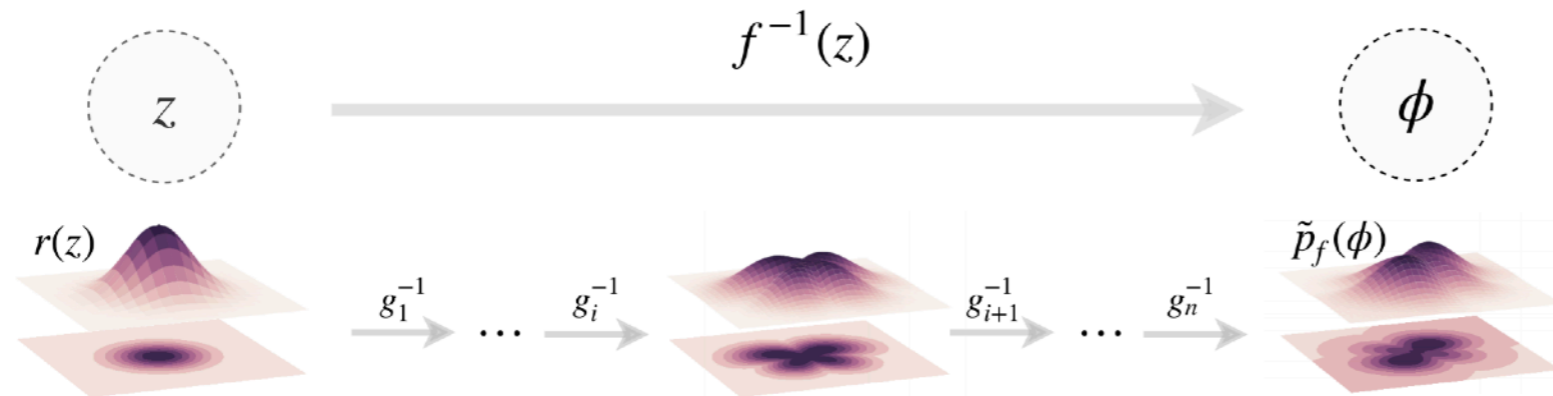
**If it is well approximated, we can sample from a Gaussian**

**It can be done “Normalizing flow” (Real Non-volume preserving map)**

**Moreover, Jacobian is tractable!**

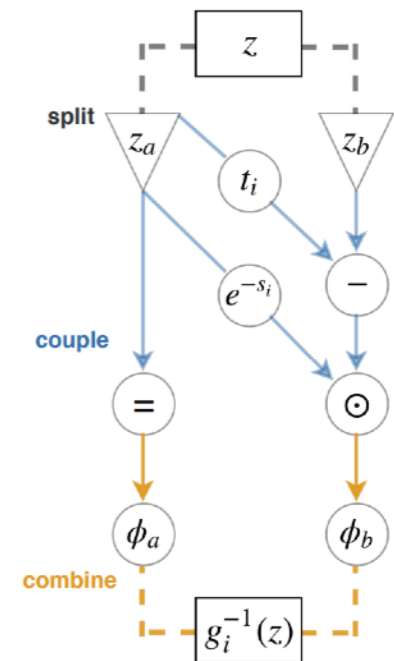
# Related works

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## Their sampling strategy

sample gaussian  $\rightarrow$  inverse trivializing map  $\rightarrow$  QFT configurations

Tractable Jacobian (by even-odd strategy)

After sampling, Metropolis-Hastings test (Detailed balance)  $\rightarrow$  exact!

# Flow based sampling algorithm

## Flow based ML for QFT

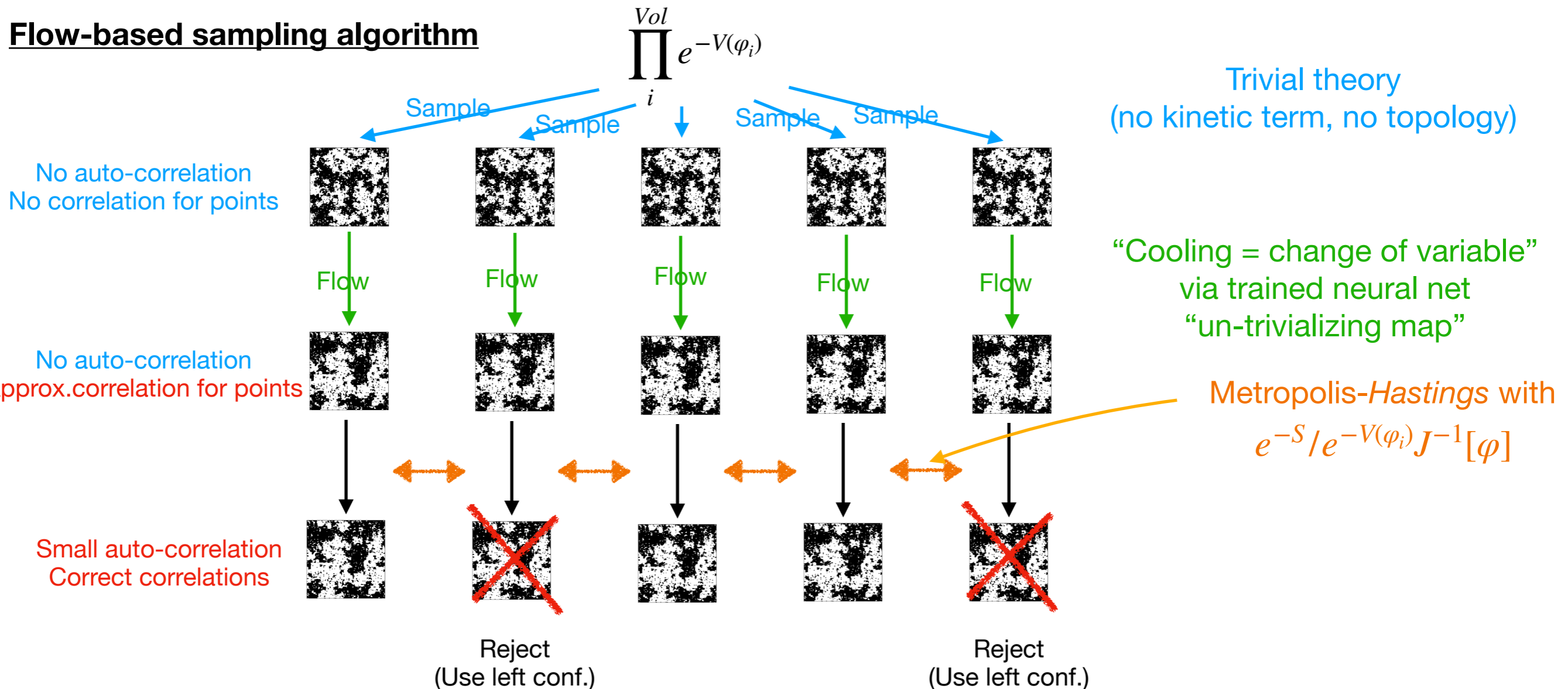
MIT + Deepmind + ...

$$\int D\phi e^{-S[\phi]} O[\phi] \propto \prod_i \int d\varphi_i e^{-V(\varphi_i)} J^{-1}[\varphi] O[F[\varphi]]$$

Original integral: hard

Easy

### Flow-based sampling algorithm



# Normalizing flow in Julia

## We made a public code in Julia Language



### GomalizingFlow.jl: A Julia package for Flow-based sampling algorithm for lattice field theory

Akio Tomiya

*Faculty of Technology and Science, International Professional University of Technology,  
3-3-1, Umeda, Kita-ku, Osaka, 530-0001, Osaka, Japan*

Satoshi Terasaki

*AtelierArith, 980-0004, Miyagi, Japan*

<https://arxiv.org/abs/2208.08903>

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#### Abstract

GomalizingFlow.jl: is a package to generate configurations for quantum field theory on the lattice using the flow based sampling algorithm in Julia programming language. This software serves two main purposes: to accelerate research of lattice QCD with machine learning with easy prototyping, and to provide an independent implementation to an existing public Jupyter notebook in Python/PyTorch. GomalizingFlow.jl implements, the flow based sampling algorithm, namely, RealNVP and Metropolis-Hastings test for two dimension and three dimensional scalar field, which can be switched by a parameter file. HMC for that theory also implemented for comparison. This package has Docker image, which reduces effort for environment construction. This code works both on CPU and NVIDIA GPU.

*Keywords:* Lattice QCD, Particle physics, Machine learning, Normalizing flow, Julia

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A public code for  
Flow-based sampling  
algorithm  
not only 2d but also 3d

arXiv:2208.08903v1 [hep-lat] 18 Aug 2022

# **LQCD + Quantum algorithm**

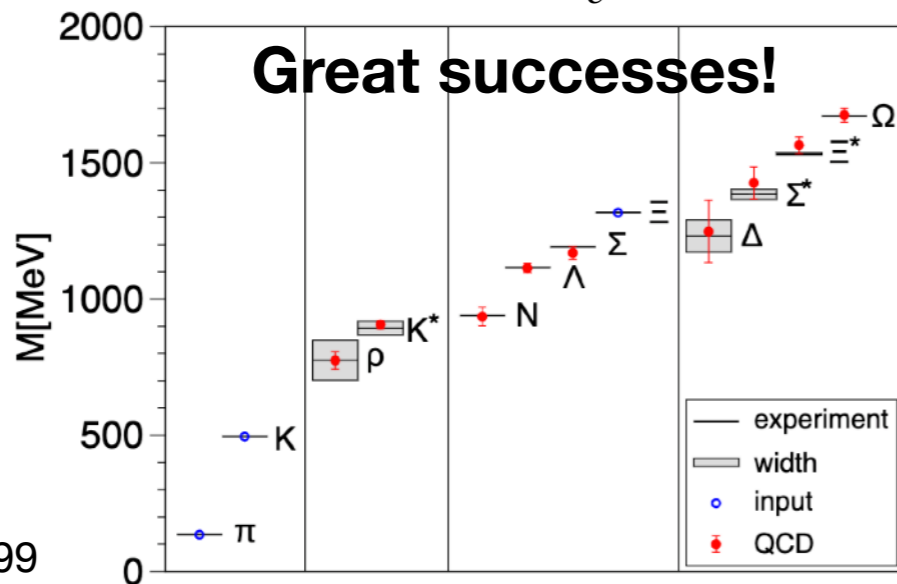


# Motivation

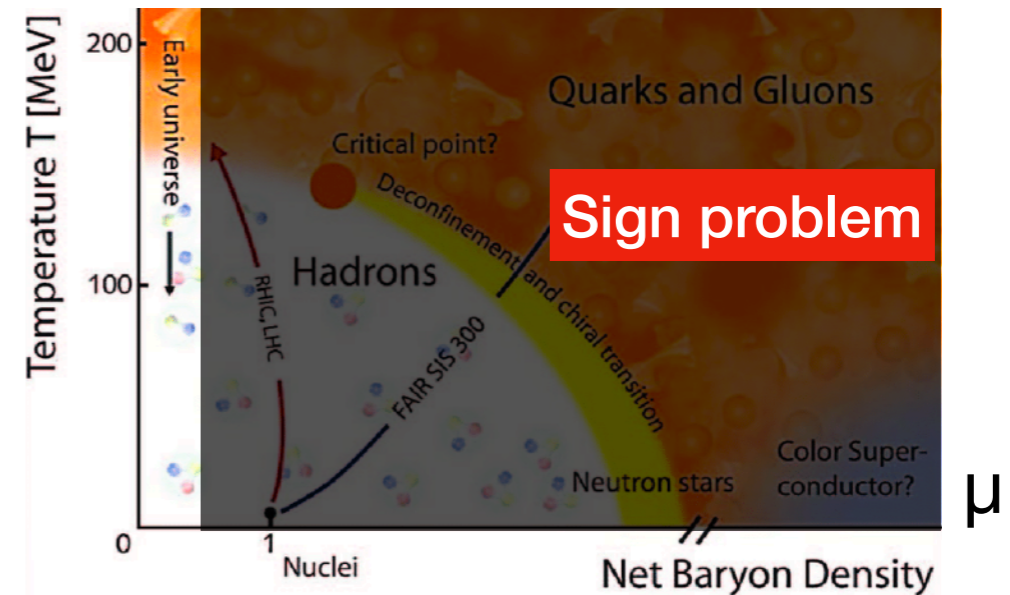
## Sign problem prevents using Monte-Carlo

- Monte-Carlo enables us to evaluate expectation values for “statistical system”, like lattice QCD in imaginary time

$$\langle O[U] \rangle = \frac{1}{N_{\text{conf}}} \sum_c O[U_c] + \mathcal{O} \left( \frac{1}{\sqrt{N_{\text{conf}}}} \right) \quad U_c \leftarrow P(U) = \frac{1}{Z} e^{-S[U]} \in \mathbb{R}_+$$



arXiv:0906.3599



- If we turn on **the baryon chemical potential  $\mu$** , **Monte-Carlo methods do not work** because  $e^{-S[U]}$  becomes complex. This is no more probability. (sign problem)
- Operator formalism does not have such problem! But it requires huge memory to store quantum states, which cannot be realized even on supercomputer.
- **Quantum states should be stored on quantum device (Feynman)**

# Motivation

$\mu = 0$  is good for Classical,  $T=0$  is good for Quantum

Classical machine: Lattice field theory calculations rely on

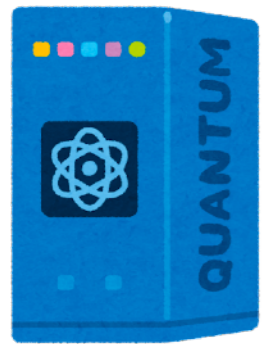


$$P(U) = \frac{1}{Z} e^{-S[U]} \det(D[U] + m)^2 \in \mathbb{R}_+$$

Since 1980 (M. Creutz)~

- This  $P(U)$  cannot be regarded as probability if  $\mu \neq 0$  (sign problem)

Quantum machines can realize (any) unitary evolutions (Solovay Kitaev theorem),



$$U(t) = e^{-i\hat{H}t}$$

*Phys.Rev.D* 105 (2022) 9, 094503  
and references therein

- No problem for  $\mu \neq 0$  because we can only use unitary gates (operators)
- “Short time evolution” (shallow circuit) is preferred for near-term devices

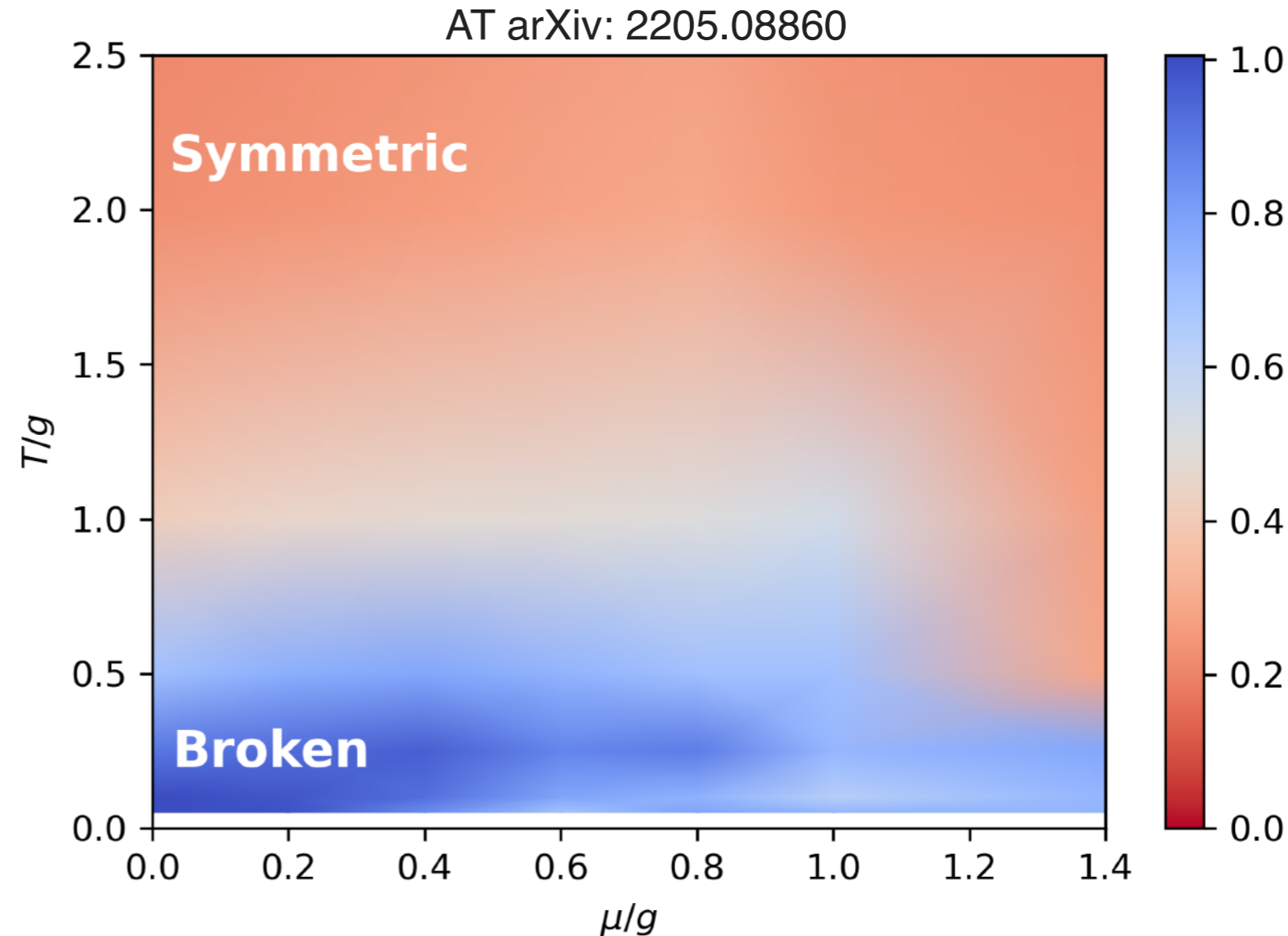
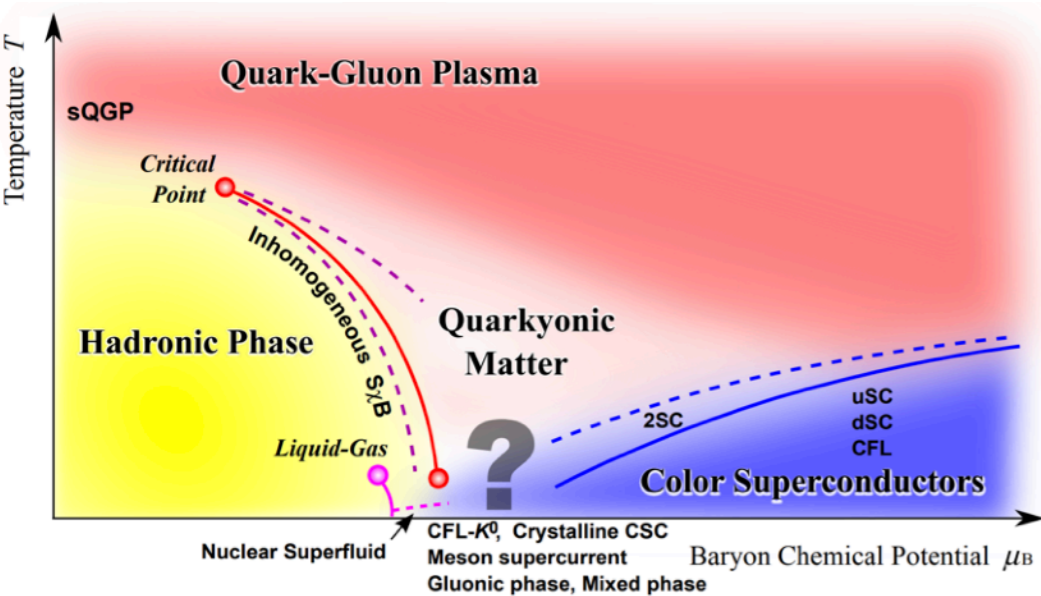
	Classical Computers	Quantum Computers
Finite Density	Sign Problem	✓
Finite Temperature	✓	Challenging *

We need a method to calculate  $T > 0$  and  $\mu \neq 0$  for QCD  
and for near-term quantum devices

# Summary of this talk

Hybrid = Quantum algorithm + machine learning

Fukushima, Hatsuda  
Rept.Prog.Phys.74:014001,2011



I investigated T- $\mu$  phase diagram using a quantum algorithm & neural network ( $\beta$ -VQE, No sign problem) for Schwinger model (toy model of QCD)

## Hamiltonian vs Lagrangian

### Operator formalism (This work)

$H$  : Hamiltonian in QFT

Real time

Finite temperature/imaginary time

Minkowski in  $M^{d+1}$

$$U(t) = e^{-itH}$$

Euclid( $t \rightarrow \tau$ )

$$t = -i\tau$$

Minkowski( $\tau \rightarrow t$ )

Euclid in  $S^1 \times M^d$

$$U(\tau) = e^{-\tau H}$$

$$\langle OO(\tau) \rangle = \text{Tr}[O(0)O(\tau)\rho]$$

$$\rho = U(\tau)/Z$$



- Typical use case of quantum algorithm is for real-time. Unitary.
  - Time evolution: Correlators (e.g. 2pt on light-cone), etc
  - Main interest:  $\langle \Omega | O | \Omega \rangle$ , where  $|\Omega\rangle$  is the exact ground state
- Difficulty: State preparation for exact ground state of  $H$

## State preparation is hard

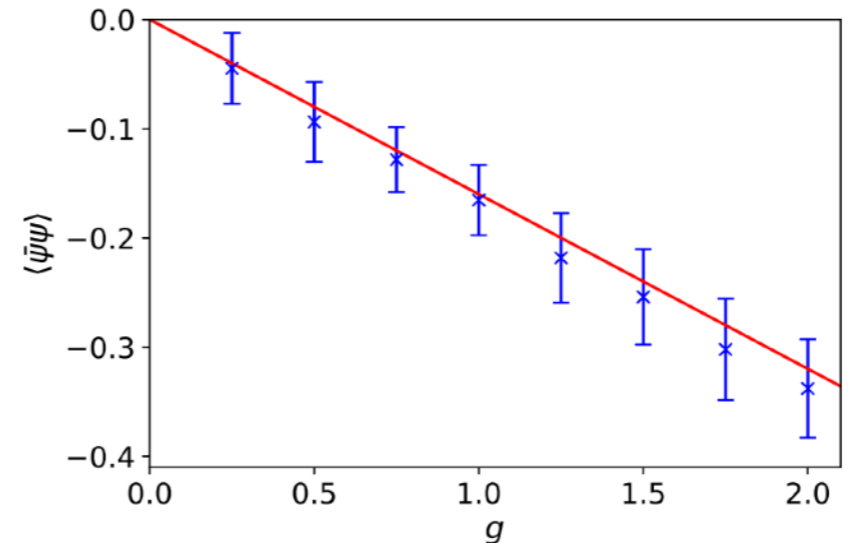
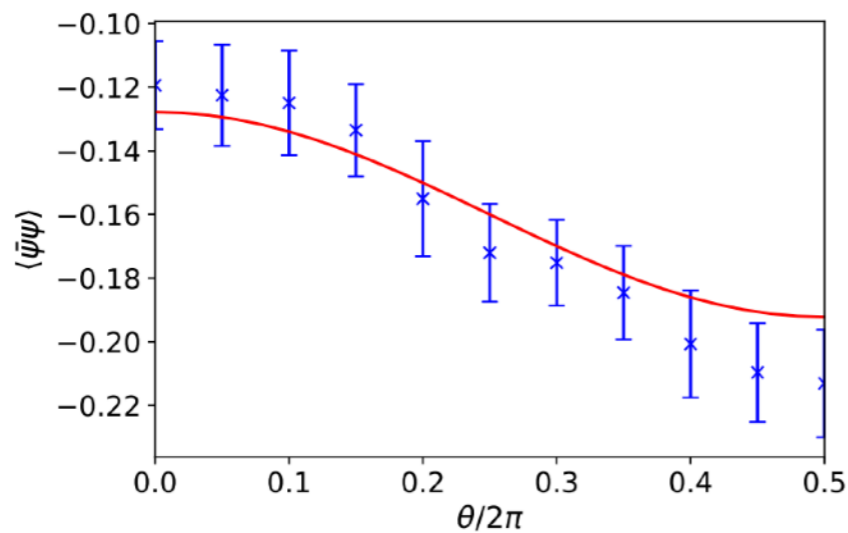
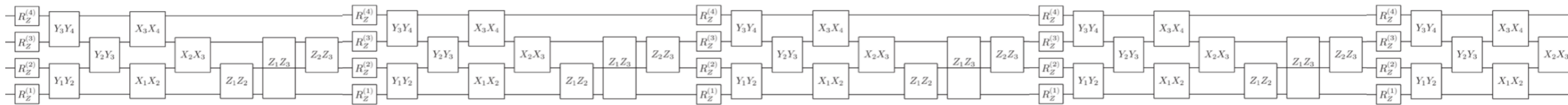
We are interested in expectation value with true ground state for Hamiltonian

$$\langle O \rangle = \langle \Omega | O | \Omega \rangle$$

For the actual ground state  $H | \Omega \rangle = E_0 | \Omega \rangle$

The exact ground state can be prepared using adiabatic state preparation = long unitary evolution with gradually changing Hamiltonian

$$e^{-iHt} \approx (e^{-iH_{\text{kin}}t/N} e^{-iH_{\text{mass}}t/N} \dots)^N$$



B Chakraborty, M Honda, T Izubuchi, Y Kikuchi, **AT**  
*Phys.Rev.D* 105 (2022) 9, 094503

BUT, Near term quantum devices are only capable to deal with simple (short) circuit!

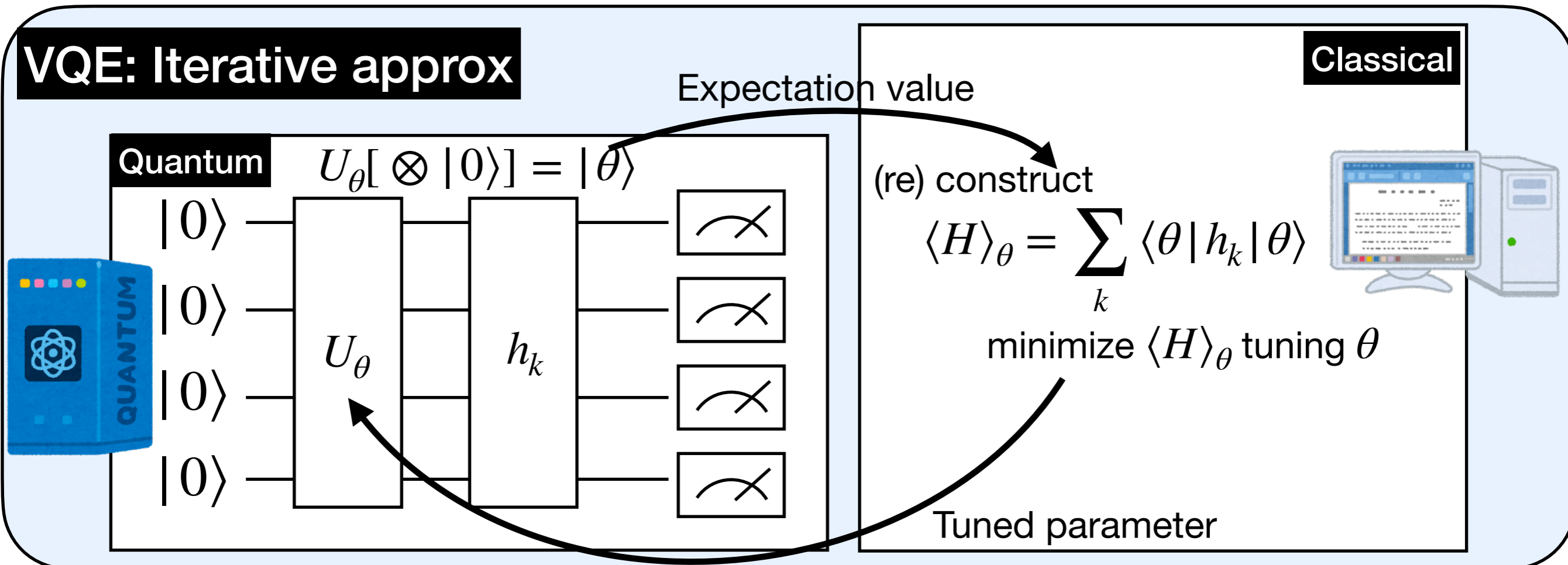
Variational approaches help to evaluate the ground state to evaluate the expectation value = Variational Quantum Eigen-solver (VQE), a quantum-classical hybrid algorithm

# VQE and Beta VQE 1/2

## Background: VQE is a variational method

- Quantum machine: Exact ground state  $|\Omega\rangle$  preparation is hard. In particular, it is difficult on near term devices
- Variational method for a pure state** with a short circuit (VQE, variation quantum eigen-solver).
  - Quantum/Classical hybrid algorithm, iterative.  $U_\theta$  is a short circuit.
  - Parametrized unitary circuit (~parametrized state  $|\theta\rangle$ ,  $\theta$ : a set of parameters)**

### VQE: Iterative approx



- Systematic error since  $|\theta\rangle = U_\theta[\otimes |0\rangle] \neq |\Omega\rangle$  but cheap

## Hamiltonian vs Lagrangian

Operator formalism (This work)

$H$  : Hamiltonian in QFT

Real time

Finite temperature/imaginary time

Minkowski in  $M^{d+1}$

$$U(t) = e^{-itH}$$

Euclid( $t \rightarrow \tau$ )

$$t = -i\tau$$

Minkowski( $\tau \rightarrow t$ )

$$U(\tau) = e^{-\tau H}$$

Euclid in  $S^1 \times M^d$

$$\langle OO(\tau) \rangle = \text{Tr}[O(0)O(\tau)\rho]$$

$$\rho = U(\tau)/Z$$



- Thermal state in quantum system?
- > Density matrix formalism

# Density matrix

## unifies description of pure states and mixed states

**Pure states:** System is purely quantum

$$\rho_{\text{pure}} = |\Psi\rangle\langle\Psi| \quad \langle O \rangle = \text{Tr}[O\rho_{\text{pure}}] = \langle\Psi|O|\Psi\rangle$$

**Mixed states:** States are classically mixed ( $\neq$  superposition)

$$\rho_{\text{mixed}} = \sum_i w_i |\psi_i\rangle\langle\psi_i| \quad \langle O \rangle = \text{Tr}[O\rho_{\text{mixed}}] = \sum_i w_i \langle\psi_i|O|\psi_i\rangle$$

$w_i \in \mathbb{R}_+$  represents probability to find a pure state  $|\psi_i\rangle$

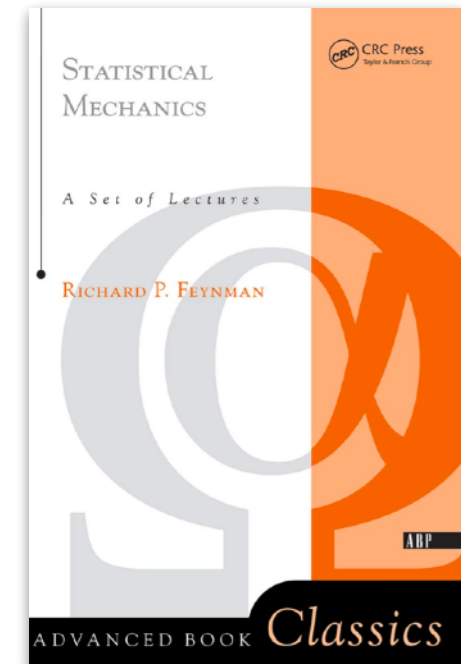
**Thermal states (Grand-canonical):**

$$\rho_{T,\mu} = \frac{1}{Z} e^{-\frac{1}{T}(\hat{H} - \mu\hat{N})} \quad \langle O \rangle_{T,\mu} = \text{Tr}[O\rho_{T,\mu}]$$

(Alternative approach TPQ: AT Yuki Nagai APLAT, 2020)

**Thermal-quantum average in general**

$$\langle O \rangle = \text{Tr}[O\rho]$$





# Density matrix

## Quantum version of probability distribution

Thermal-quantum average in general

$$\langle O \rangle = \text{Tr}[O\rho]$$

### General Properties of density matrix $\rho$

- It unifies discretions of pure states and mixed states
- Normalized as  $\text{Tr}[\rho] = 1$
- $\rho$  can be regarded as quantum version of probability distribution  $p(x)$ 
  - e.g.)  $S = - \int dx p(x) \log p(x)$  (Shannon entropy)
  - $\longleftrightarrow S = - \text{Tr}[\rho \log \rho]$  (Von-Neumann entropy)
- Distance between two density matrices = quantum relative entropy (next)

# VQE and Beta VQE 2/2

## Beta VQE is a variational method for mixed states

- KL divergence for  $\rho$  = Kullback–Leibler *Umegaki* divergence (Pseudo-distance for  $\rho$ )
- Classical ver:  $D(p | q) = \int dx p(x) \log p(x)/q(x)$  (KL divergence)
  - Relative entropy. Difference of two distributions (~distance)
  - Positive definite, Used in machine learning
  - $D=0$  if and only if  $p, q$  are equal
- **Quantum**  $D(\rho_1 | \rho_2) = \text{Tr}[\rho_1 \log \rho_1 / \rho_2]$  (KL-Umegaki divergence ~ distance)
  - Positive definite
  - $D=0$  if and only if  $\rho_1, \rho_2$  are equal
- Kullback–Leibler *Umegaki* divergence can be used for variational approaches

Ansatz for  $\rho$ ?

# VQE and Beta VQE

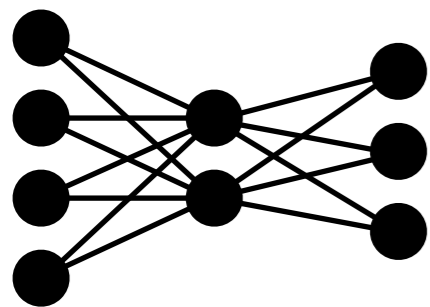
## Beta VQE is a variational method for mixed states

J. -Guo Liu+ 1902.02663

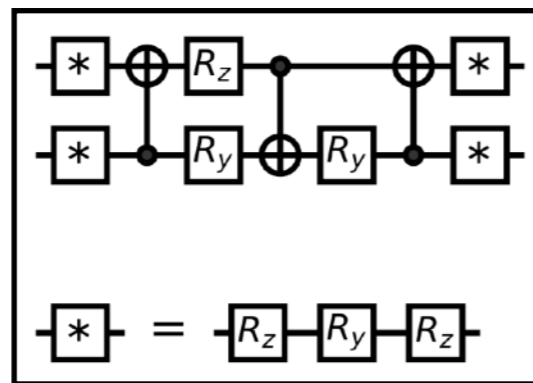
\*M. Germain+ 1502.03509

- Variational ansatz for thermal quantum system:

$$\rho_{\Theta} = \sum_{\{\vec{x}\}} p_{\phi}[\vec{x}] U_{\theta} |\vec{x}\rangle \langle \vec{x}| U_{\theta}^{\dagger}, \quad \Theta = \theta \cup \phi \text{ (parameters)}$$



**Neural  
network  
(Thermal)**



**Variational  
quantum circuit  
(Entanglement)**

- $\vec{x} = (x_1, x_2, x_3, \dots, x_k, \dots)^{\top}$ , and  $x_k \in \{0, 1\}$  : (roughly) fermion occupation

$$|\vec{x}\rangle = |x_1\rangle \otimes |x_2\rangle \otimes |x_3\rangle \otimes \dots :$$

**Product state  
(Easy to prepare)**

## Extended VQE for mixed states

Jin-Guo Liu+ 1902.02663

- We minimize  $\mathcal{L}(\Theta) = D(\rho_{\Theta} | \rho_{T,\mu}) - \ln Z_{T,\mu} = \text{Tr}[\rho_{\Theta} \ln \rho_{\Theta}] + \frac{1}{T} \text{Tr}[\rho_{\Theta}(\hat{H} - \mu \hat{N})]$
- Variational bound:  $\mathcal{L}(\Theta) \geq -\log Z_{T,\mu}$
- *Advantage* of beta VQE
  - No sign problem, even with the chemical potential
  - Bounded variational approximation
- *Disadvantage*
  - Systematic error
  - Need numerical resource if we use a classical machine

# Simulation results

## Simulation setup (mostly skip)

- We apply beta-VQE for Schwinger model (= QED in 1+1d).  
Toy model of QCD, confinement, chiral symmetry breaking

$$S = \int d^2x \left[ -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \bar{\psi} (i\partial - gA - m) \psi \right] \longleftrightarrow H = \int dx \left[ -i\bar{\psi} \gamma^1 (\partial_1 + igA_1) \psi + m\bar{\psi} \psi + \frac{1}{2} \Pi^2 \right]$$

$$\partial_x E = g\bar{\psi} \gamma^0 \psi$$

- **Staggered fermion**

- Jordan-Wigner transformation. Open Boundary condition.
- $g = 1$ ,  $N_x = (4, 6), 8, 10$ ,  $1/T = [0.5-20.0]$ ,  $\mu = [0-1.4]$ , 4 lattice spacings  $1/2a = [0.5-0.35]$
- We do not take large volume limit but take continuum limit
  - (Practically,  $N_x > 10$  cannot be calculated on our numerical resources)
  - (My previous work shows data from  $N_x > 12$  are essential to take stable large volume limit though)
- Setup for beta VQE:
  - Unitary part = SU(4) ansatz
  - Classical weight = Masked Auto-Encoder for Distribution Estimation (MADE)
- Training epoch is 500. Sampling = 5000 for classical distribution

- **Observables**

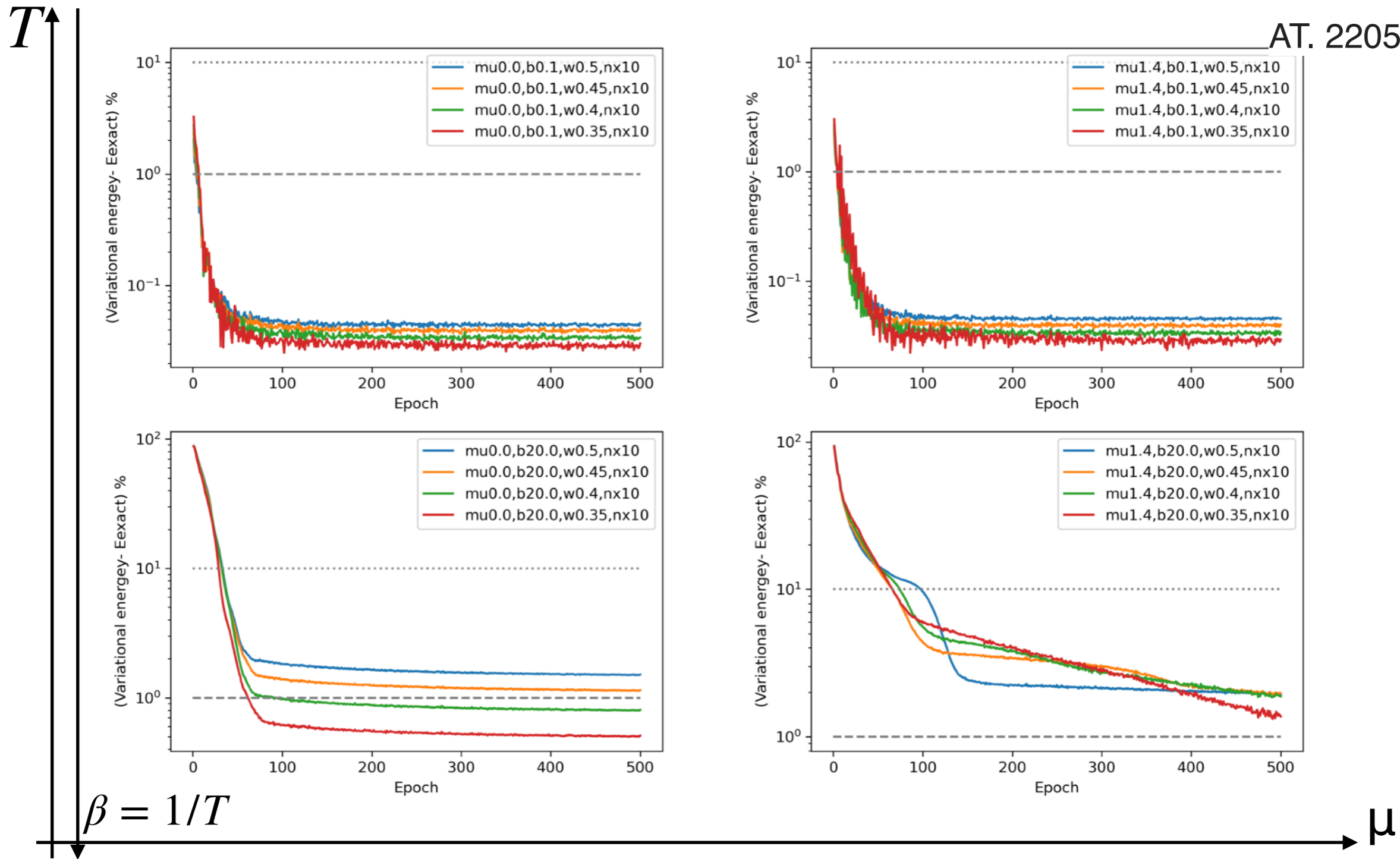
- Variational free energy (exact and variational one)
- (Translationally invariant) Chiral condensate
- **Check point: Dependence of variational error on temperature and mu**



# Simulation results

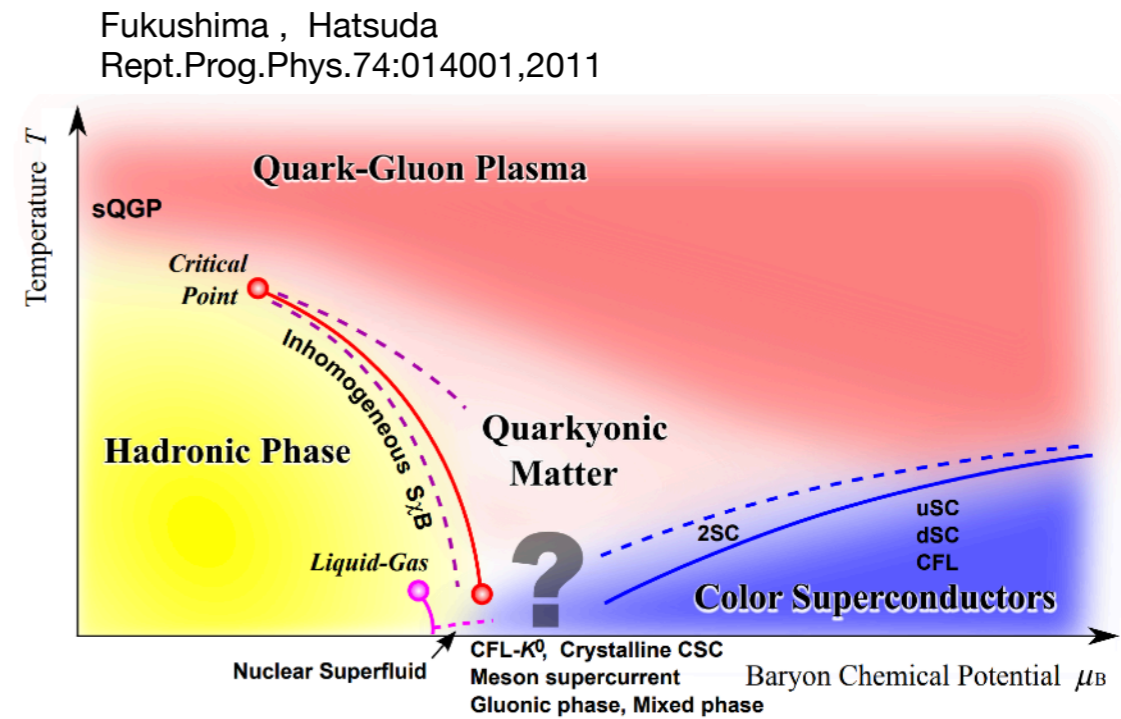
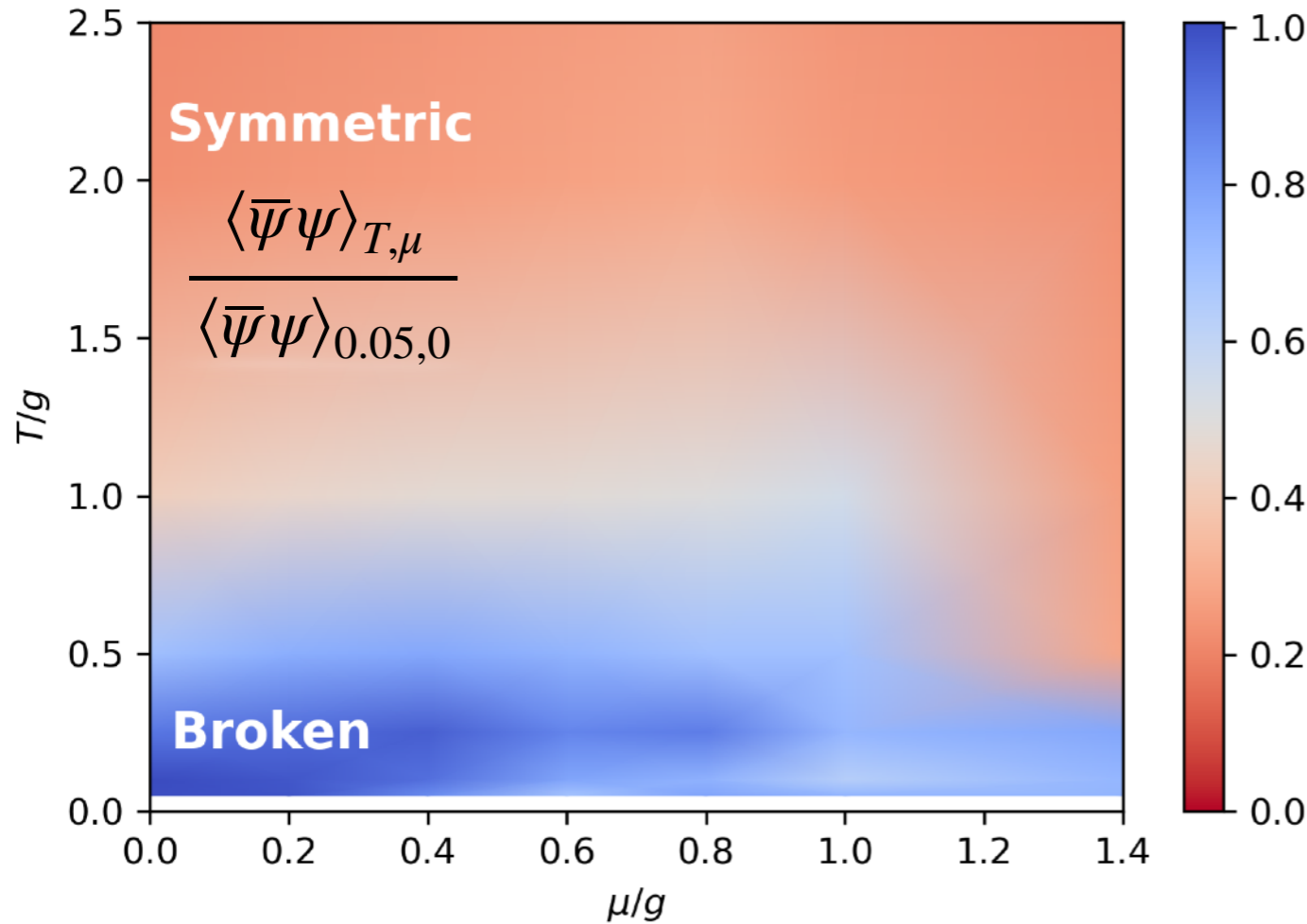
## Variational free energy is $O(1)$ , $N_x=10$

AT. 2205.08860



$\beta = 1/T$

1. Mild dependence on  $\mu$  (not fatal)
2. Hard for  $T \rightarrow 0$  (large deviation) as expected



- We investigate T- $\mu$  phase diagram for Schwinger model
- Continuum extrapolation has been evaluated (except for additive mass renormalization by 2206.05308)
- The variational approach does not show difficulty for our parameter regime
- Towards to go large volume, optimization of code, GPU version, tensor network. (noise-free) real device!

# Summary

## 1. What and why QCD/lattice QCD?

1. Problem: Long auto-correlation, Sign problem

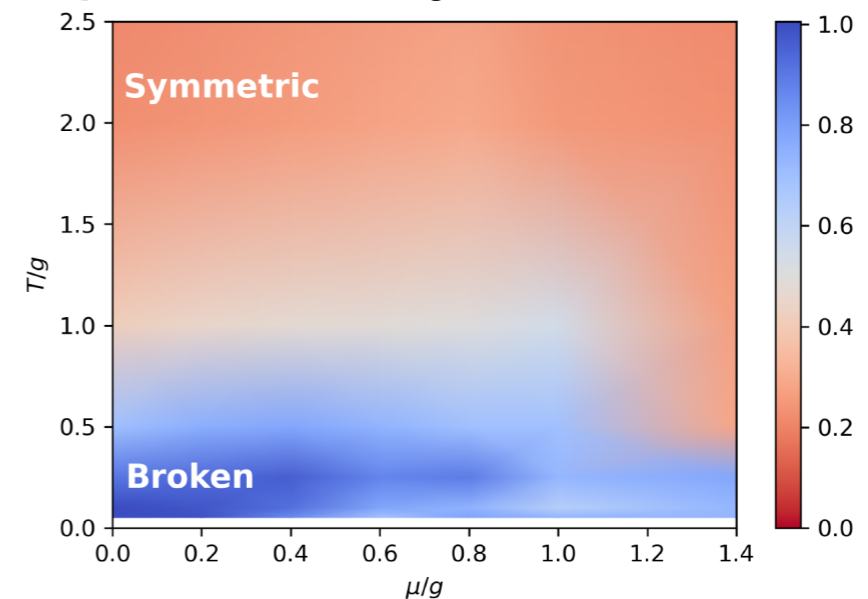
## 2. Lattice QCD + Machine learning

1. Trainable smearing + SLHMC = adaptive reweighting

## 3. Lattice QCD + Quantum algorithm

1. Sign problem + non-unitary -> classical/quantum hybrid!

$$\frac{dU_{\mu}^{(t)}(n)}{dt} = \mathcal{G}^{\bar{\theta}}(U_{\mu}^{(t)}(n))$$



Congratulations again, Onogi-san!







### What/who am I?

I am a particle physicist, working on lattice QCD.  
I want to apply machine learning + quantum alg. on it.

### My papers

[https://scholar.google.co.jp/citations?user=LKVqy\\_wAAAAJ](https://scholar.google.co.jp/citations?user=LKVqy_wAAAAJ)

Detection of phase transition via convolutional neural networks

A Tanaka, A Tomiya

Journal of the Physical Society of Japan 86 (6), 063001

Phase transition detection with NN

Evidence of effective axial  $U(1)$  symmetry restoration at high temperature QCD

A Tomiya, G Cossu, S Aoki, H Fukaya, S Hashimoto, T Kaneko, J Noaki, ...

Physical Review D 96 (3), 034509

Axial anomaly at  $T>0$  with Mobius Domain-wall fermions

Schwinger model at finite temperature and density with beta VQE

A Tomiya

arXiv preprint arXiv:2205.08860

Phase diagram via Quantum/Classical algorithm

### Biography

2010 - 2015 : Osaka university (Master& PhD)

2015 - 2018 : Postdoc in CCNU (Wuhan, China)

2018 - 2021 : SPDR in RIKEN/BNL (Brookhaven, US)

2021 - : Faculty in IPUT Osaka

### KAKENHI (Grants-in-Aid for Scientific Research)

PI: Grant-in-Aid for Transformative Research Areas (A)



Grant-in-Aid for Early-Career Scientists

CI: Grant-in-Aid for Scientific Research (C), etc



# Details (skip)

## Network: trainable stout (plaq+poly)

arXiv: 2103.11965

### Structure of NN

(Polyakov loop+plaq  
in the stout-type)

$$\Omega_{\mu}^{(l)}(n) = \rho_{\text{plaq}}^{(l)} O_{\mu}^{\text{plaq}}(n) + \begin{cases} \rho_{\text{poly},4}^{(l)} O_4^{\text{poly}}(n) & (\mu = 4), \\ \rho_{\text{poly},s}^{(l)} O_i^{\text{poly}}(n), & (\mu = i = 1, 2, 3) \end{cases}$$

All  $\rho$  is weight  
 $O$  meas an loop operator

$$Q_{\mu}^{(l)}(n) = 2[\Omega_{\mu}^{(l)}(n)]_{\text{TA}}$$

TA: Traceless, anti-hermitian operation

$$U_{\mu}^{(l+1)}(n) = \exp(Q_{\mu}^{(l)}(n)) U_{\mu}^{(l)}(n)$$

$$U_{\mu}^{\text{NN}}(n)[U] = U_{\mu}^{(2)}(n) \left[ U_{\mu}^{(1)}(n) \left[ U_{\mu}(n) \right] \right]$$

2- layered stout  
with 6 trainable parameters

### Neural network

#### Parametrized action:

$$S_{\theta}[U] = S_{\text{g}}[U] + S_{\text{f}}[\phi, U_{\theta}^{\text{NN}}[U]; m_{\text{h}} = 0.4],$$

Action for MD is built by  
gauge covariant NN

#### Loss function:

$$L_{\theta}[U] = \frac{1}{2} \left| S_{\theta}[U, \phi] - S[U, \phi] \right|^2,$$

Invariant under,  
rot, transl, gauge trf.

**Training strategy:** 1. Train the network in prior HMC (online training+stochastic gr descent)

2. Perform SLHMC with fixed parameter

# Details (skip)

## Results: Loss decreases along with the training

arXiv: 2103.11965

**Loss function:**

$$L_\theta[U] = \frac{1}{2} \left| S_\theta[U, \phi] - S[U, \phi] \right|^2,$$

Intuitively,  $e^{(-L)}$  is understood as Boltzmann weight or reweighting factor.

### Prior HMC run (training)

$$\frac{\partial S}{\partial \rho_i^{(l)}} = 2 \operatorname{Re} \sum_{\mu', m} \operatorname{tr} \left[ U_{\mu'}^{(l)\dagger}(m) \Lambda_{\mu', m} \frac{\partial C}{\partial \rho_i^{(l)}} \right]$$

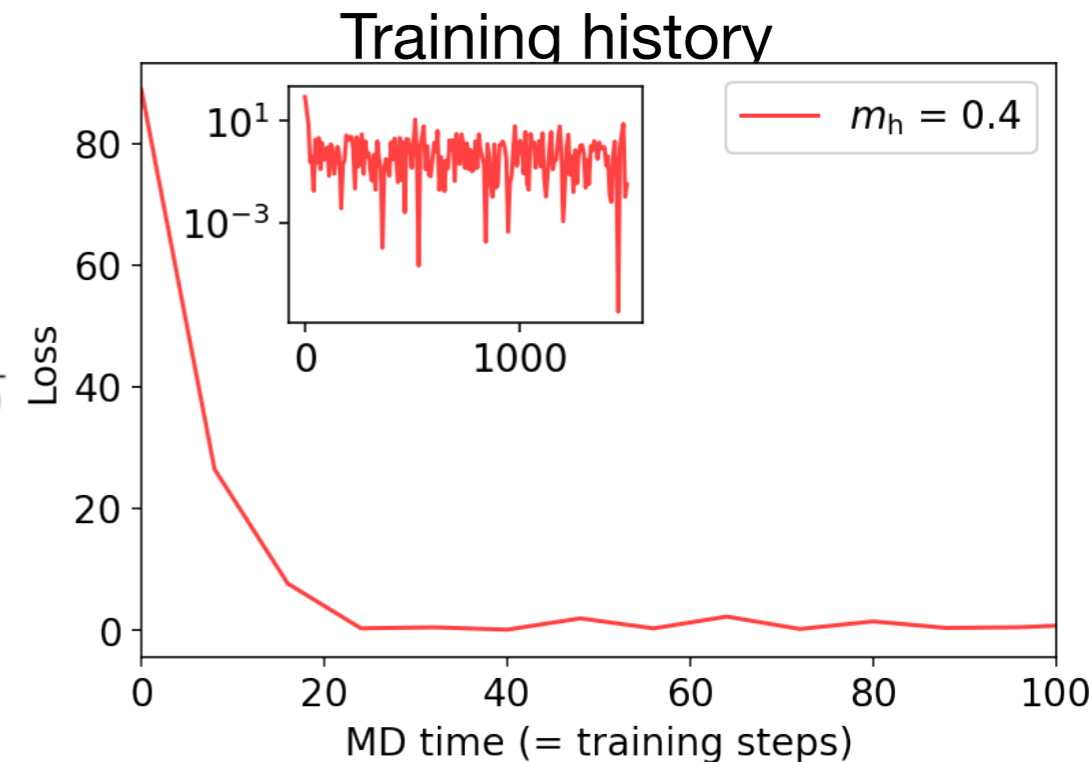
$$\theta \leftarrow \theta - \eta \frac{\partial L_\theta(\mathcal{D})}{\partial \theta},$$

$$\frac{\partial L_\theta(\mathcal{D})}{\partial w_i^{(L-1)}} = \frac{\partial L_\theta(\mathcal{D})}{\partial S_\theta} \frac{\partial S_\theta}{\partial w_i^{(L-1)}}$$

$\Omega$ : sum of un-traced loops

$C$ : one U removed  $\Omega$

$\Lambda$ : A polynomial of U. (Same object in stout)



Without training,  $e^{(-L)} \ll 1$ ,  
this means that candidate with approximated action  
never accept.

After training,  $e^{(-L)} \sim 1$ , and we get  
practical acceptance rate!

We perform SLHMC with these values!

# Gauge covariant neural network

## Training can be done with (extended) back propagation

AT Y. Nagai arXiv: 2103.11965

Gauge inv. loss function can be constructed by gauge invariant actions

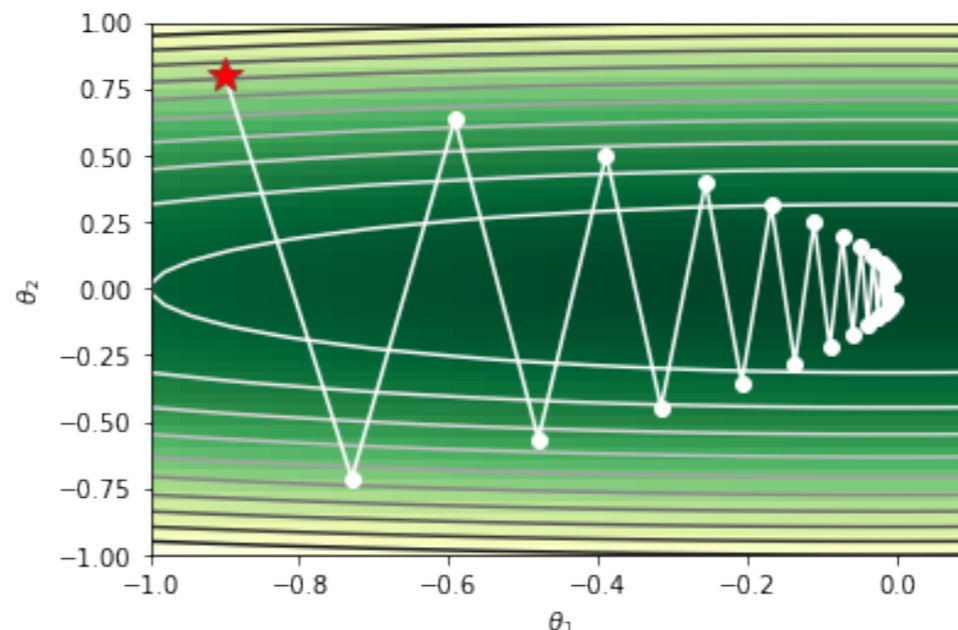
$$S^{\text{NN}}[U] = S \left[ U_{\mu}^{\text{NN}}(n)[U] \right] \quad S: \text{gauge action or fermion action}$$

**Loss function**  $L_{\theta}[U] = f(S^{\text{NN}}[U])$   $f$ : mean-square for example, mini-batch  
(c.f. Behler-Parrinello type neural net)

**Training:** We can use “gradient descent” (also “Adam” (adaptive-momentum) is applicable)

Repeat update (until converge)  $\theta^{(l)} \leftarrow \theta^{(l)} - \eta \frac{\partial L_{\theta}[U]}{\partial \theta^{(l)}}$   $\theta^{(l)}$  is parameters in  $l$ -th layer

Example of Gradient descent



# Gauge covariant neural network

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The second term requires the chain rule for matrix fields, we developed **extended** delta rule:

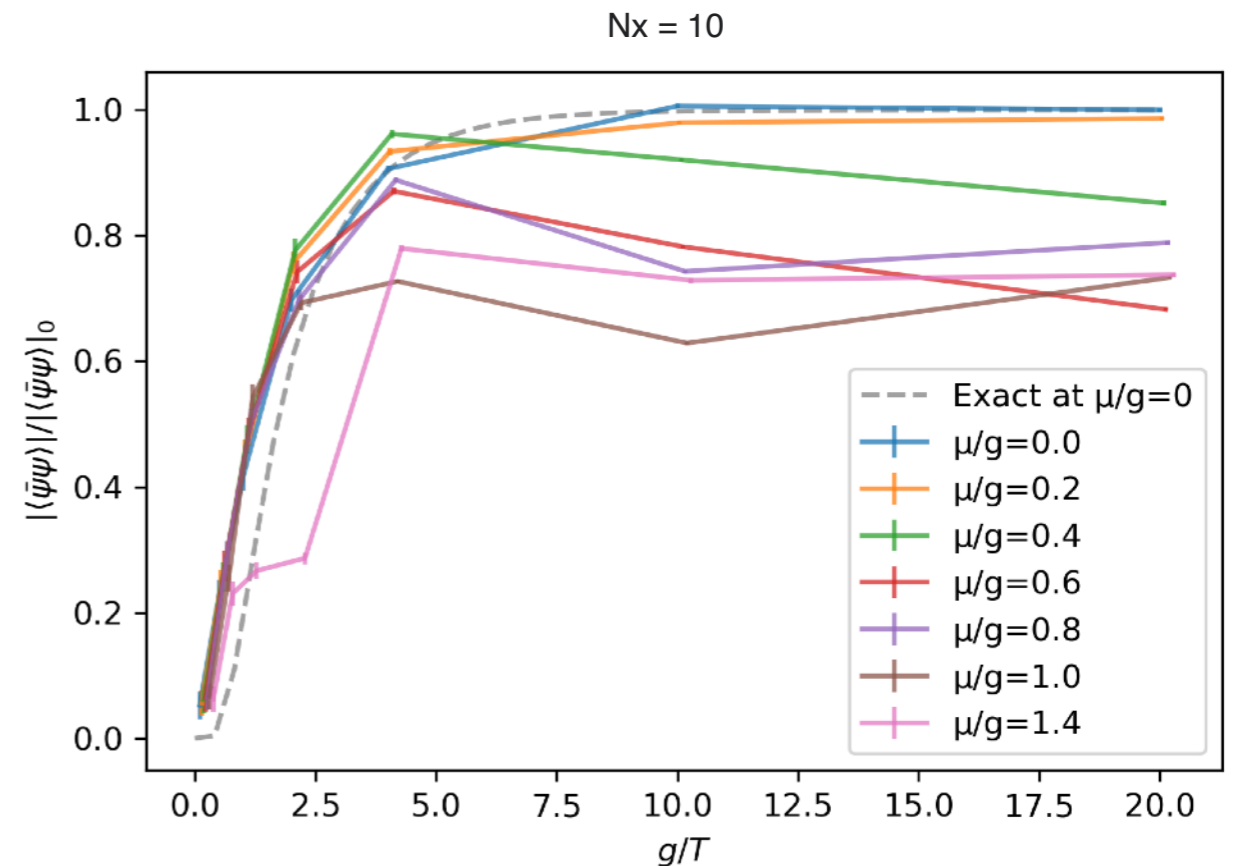
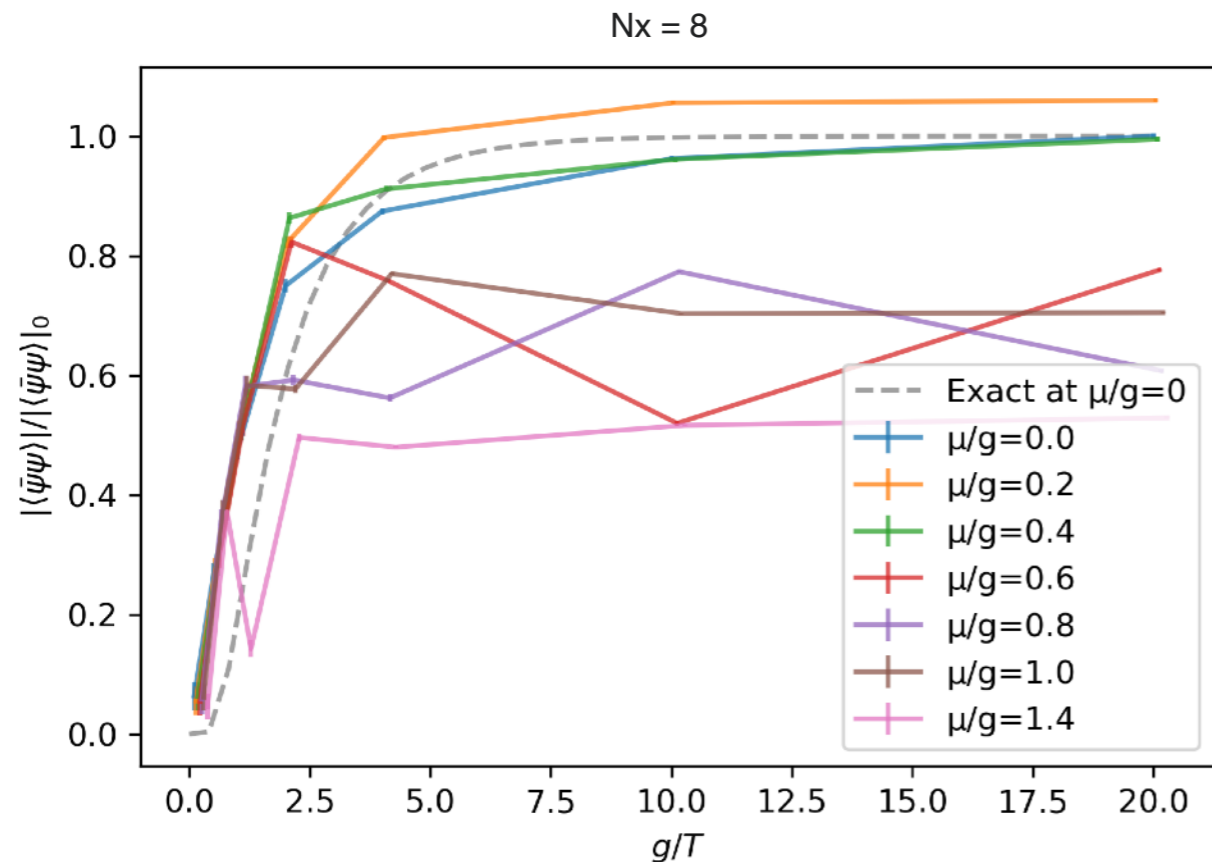
$$\frac{\partial L_{\theta}[U]}{\partial \theta^{(l)}} = \frac{\partial L}{\partial f} \frac{\partial f}{\partial S^{\text{NN}}} \frac{\partial S^{\text{NN}}}{\partial U^{(l+1)}} \frac{\partial U^{(l+1)}}{\partial z^{(l+1)}} \frac{\partial z^{(l+1)}}{\partial \theta^{(l)}}$$

This matrix derivative is common to the stout force (namely well known)

## Continuum extrapolation for $N_x = 8, 10$

Continuum limit with a polynomial ansatz  
it looks good So far\*

AT. 2205.08860



\*(I did not include additive mass shift (Ross Dempsey+ arXiv: 2206.05308).

I thank to Takis Angelides (DESY) and Etsuko Itou (RIKEN) for letting me know this important reference!)

We use  $N_x = 10$  results for the phase diagram

# VQE and Beta VQE 2/2

## Beta VQE is a variational method for mixed states

J. -Guo Liu+ 1902.02663

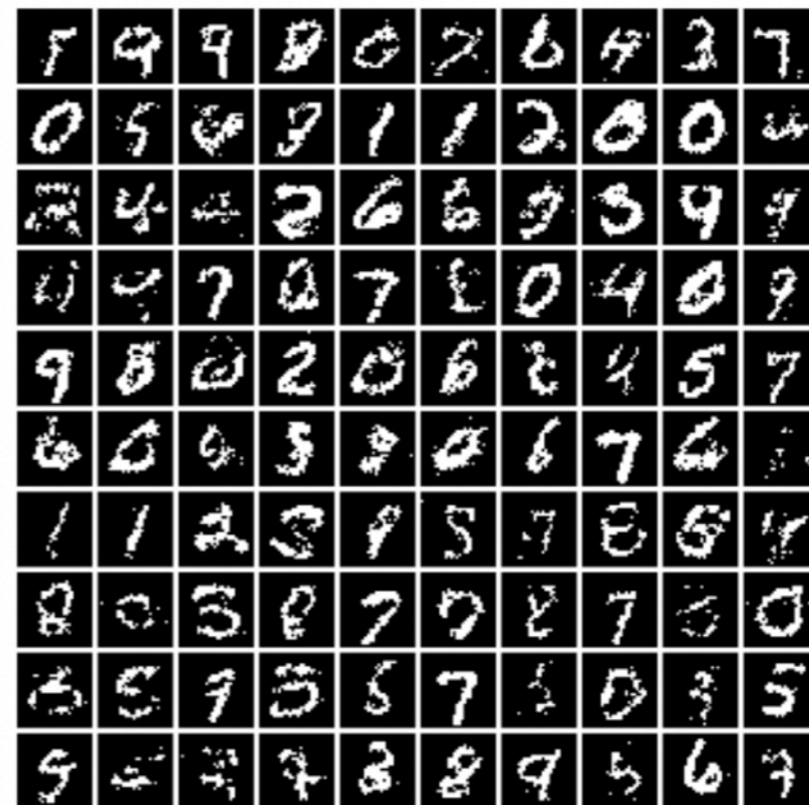
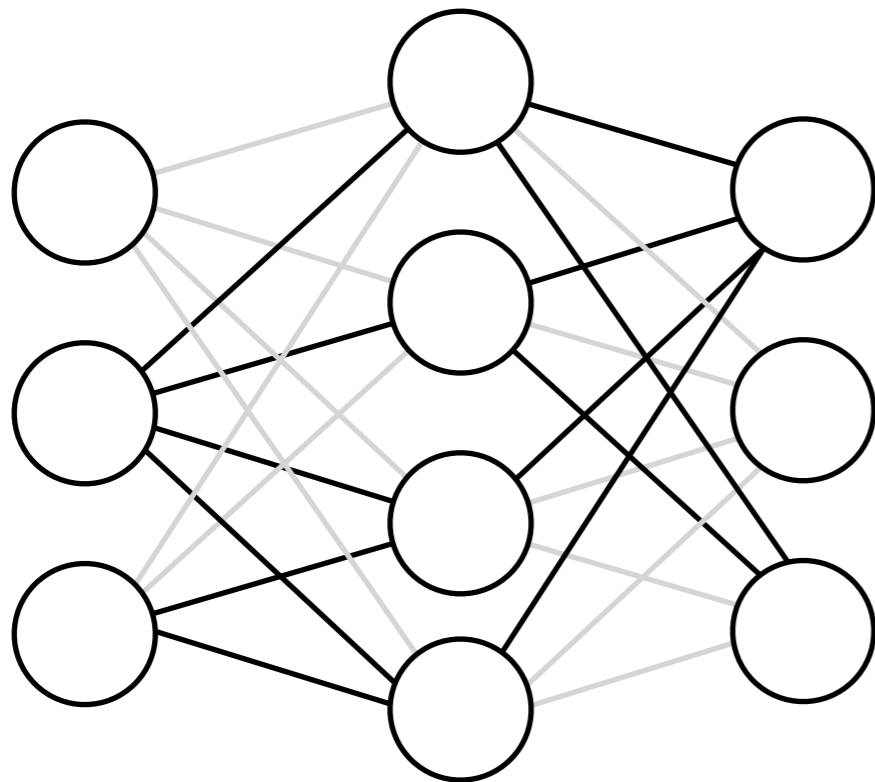
\*M. Germain+ 1502.03509

- Variational method for mixed states: Variational method on  $\rho$ 
  - $\rho_{\Theta} = \sum_{\{\vec{x}\}} p_{\phi}[\vec{x}] U_{\theta} |\vec{x}\rangle \langle \vec{x}| U_{\theta}^{\dagger}$ ,  $\Theta = \theta \cup \phi$  (parameters)
  - $\vec{x} = (x_1, x_2, x_3, \dots, x_k, \dots)^{\top}$ , and  $x_k \in \{0, 1\}$ : (roughly) fermion occupation
  - $|\vec{x}\rangle = |x_1\rangle \otimes |x_2\rangle \otimes |x_3\rangle \otimes \dots$ : easy to prepare
  - $U_{\theta} |\vec{x}\rangle$ : parametrized pure states, similar to the conventional VQE
- $p_{\phi}[\vec{x}]$ : Classically approximated distribution for a configuration of  $\vec{x}$ ,  
**Neural network (MADE\*)** is used.  $\phi$  = parameters  
 This can generate configurations of  $\vec{x}$



## (masked) Auto-encoder for binary variable distribution

- MADE (neural network) mimics joint probability distribution e.g.  $p(x_1, x_2, x_3)$ , whose input is binary array  $(x_1, x_2, x_3)$ ,  $x_i = 0, 1$



Reconstructed MNIST (Binarized)

Auto-encoder with a mask -> Generative model for binary array  
(Please ask me later in detail)

## Extended VQE for mixed states

Jin-Guo Liu+ 1902.02663

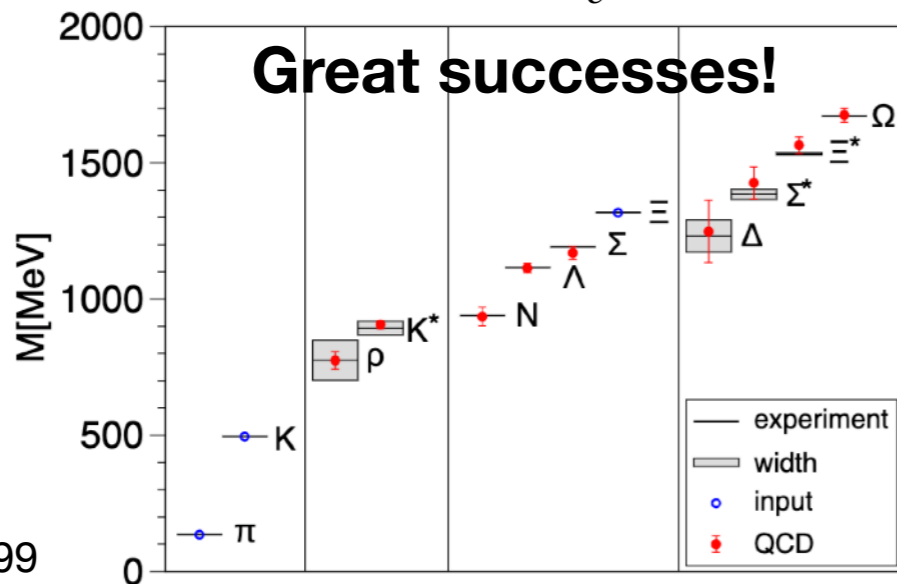
- We approximate  $\rho = \frac{1}{Z} e^{-\frac{1}{T}(\hat{H} - \mu\hat{N})}$  by  $\rho_{\Theta} = \sum_{\{\vec{x}\}} p_{\phi}[\vec{x}] \overset{\text{NN}}{U_{\theta}} |\vec{x}\rangle \langle \vec{x}| \overset{\text{VQE}}{U_{\theta}^{\dagger}}$
- $\langle O \rangle_{T,\mu} \approx \text{Tr}[\rho_{\Theta} O] = \sum_{\{\vec{x}\}} p_{\phi}[\vec{x}] \langle \vec{x} | U_{\theta}^{\dagger} O U_{\theta} | \vec{x} \rangle$
- Quantum machine can store a state  $U_{\theta} |\vec{x}\rangle$  (test wave function)
- Classical machine can sample thermal distribution from  $p_{\phi}[\vec{x}]$  (neural net)
- All parameters are tuned such that minimizing  $D(\rho_{\Theta} | \rho)$
- Optimization of parameters is done with a optimizer (as in machine learning)

# Motivation

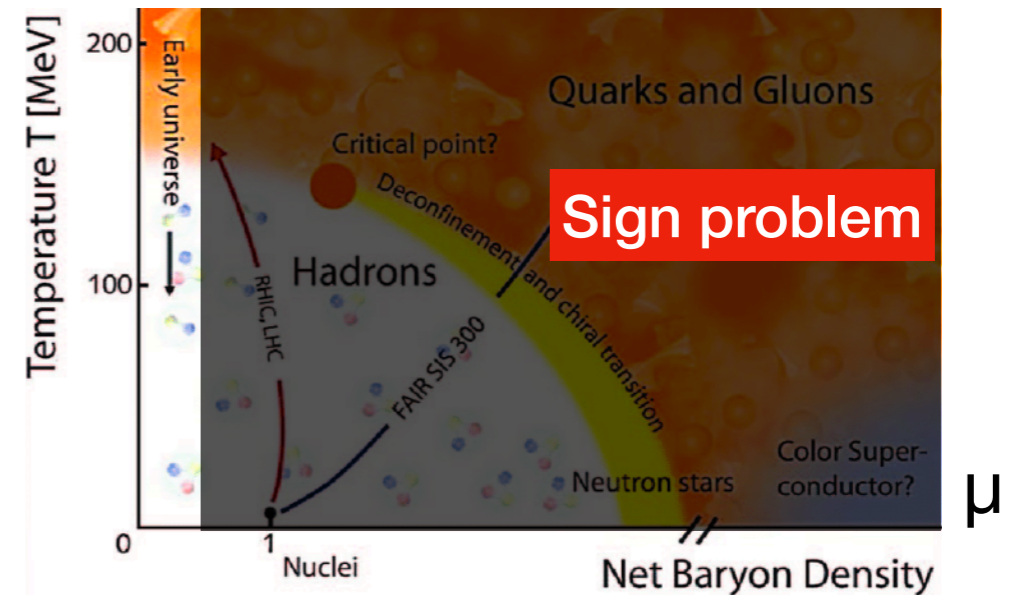
## Sign problem prevents using Monte-Carlo

- Monte-Carlo enables us to evaluate expectation values for “statistical system”, like lattice QCD in imaginary time

$$\langle O[U] \rangle = \frac{1}{N_{\text{conf}}} \sum_c O[U_c] + \mathcal{O} \left( \frac{1}{\sqrt{N_{\text{conf}}}} \right) \quad U_c \leftarrow P(U) = \frac{1}{Z} e^{-S[U]} \in \mathbb{R}_+$$



arXiv:0906.3599



- If we turn on **the baryon chemical potential  $\mu$** , **Monte-Carlo methods do not work** because  $e^{-S[U]}$  becomes complex. This is no more probability. (sign problem)
- Operator formalism does not have such problem! But it requires huge memory to store quantum states, which cannot be realized even on supercomputer.
- **Quantum states should be stored on quantum device (Feynman)**

# Motivation

$\mu = 0$  is good for Classical,  $T=0$  is good for Quantum

Classical machine: Lattice field theory calculations rely on

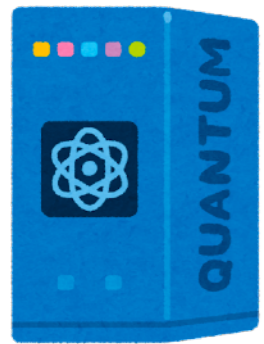


$$P(U) = \frac{1}{Z} e^{-S[U]} \det(D[U] + m)^2 \in \mathbb{R}_+$$

Since 1980 (M. Creutz)~

- This  $P(U)$  cannot be regarded as probability if  $\mu \neq 0$  (sign problem)

Quantum machines can realize (any) unitary evolutions (Solovay Kitaev theorem),



$$U(t) = e^{-i\hat{H}t}$$

*Phys.Rev.D* 105 (2022) 9, 094503  
and references therein

- No problem for  $\mu \neq 0$  because we can only use unitary gates (operators)
- “Short time evolution” (shallow circuit) is preferred for near-term devices

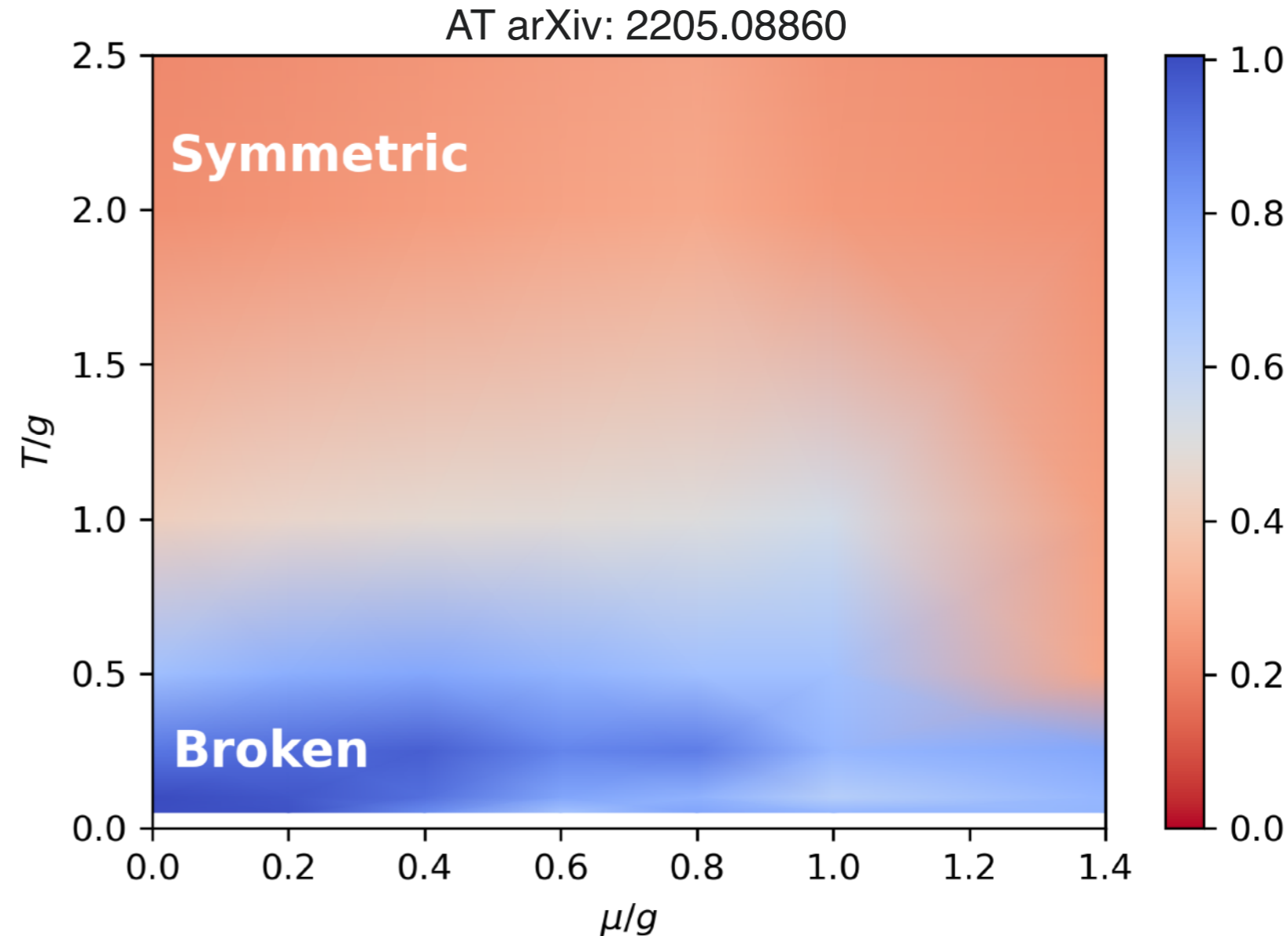
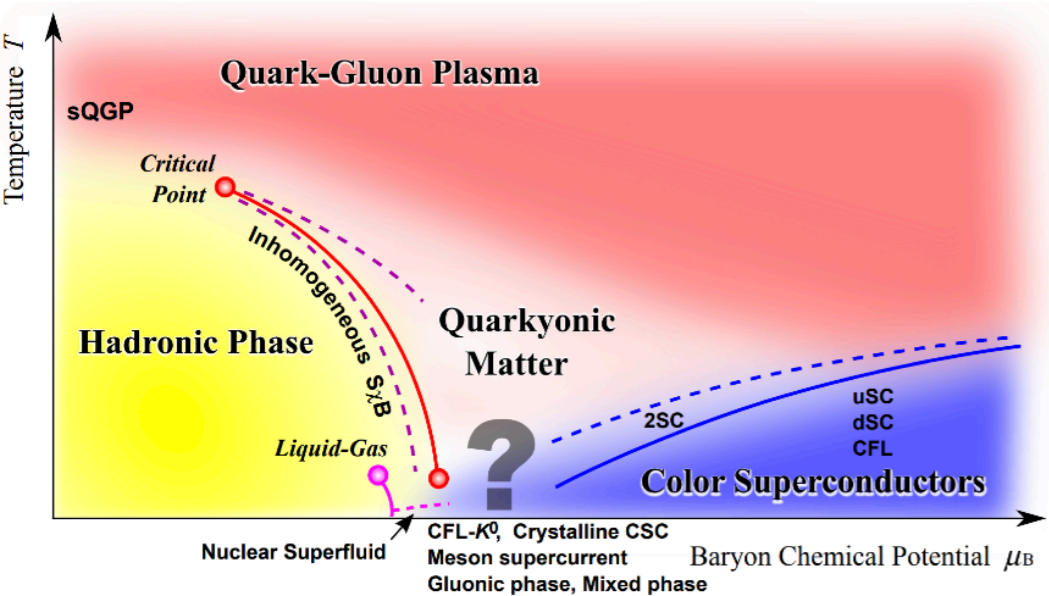
	Classical Computers	Quantum Computers
Finite Density	Sign Problem	✓
Finite Temperature	✓	Challenging *

We need a method to calculate  $T > 0$  and  $\mu \neq 0$  for QCD  
and for near-term quantum devices

# Summary of this talk

Hybrid = Quantum algorithm + machine learning

Fukushima, Hatsuda  
Rept.Prog.Phys.74:014001,2011



I investigated T- $\mu$  phase diagram using a quantum algorithm & neural network ( $\beta$ -VQE, No sign problem) for Schwinger model (toy model of QCD)

## Hamiltonian vs Lagrangian

### Operator formalism (This work)

$H$  : Hamiltonian in QFT

Real time

Finite temperature/imaginary time

Minkowski in  $M^{d+1}$

$$U(t) = e^{-itH}$$

Euclid( $t \rightarrow \tau$ )

$$t = -i\tau$$

Minkowski( $\tau \rightarrow t$ )

Euclid in  $S^1 \times M^d$

$$U(\tau) = e^{-\tau H}$$

$$\langle OO(\tau) \rangle = \text{Tr}[O(0)O(\tau)\rho]$$

$$\rho = U(\tau)/Z$$



- Typical use case of quantum algorithm is for real-time. Unitary.
  - Time evolution: Correlators (e.g. 2pt on light-cone), etc
  - Main interest:  $\langle \Omega | O | \Omega \rangle$ , where  $|\Omega\rangle$  is the exact ground state
- Difficulty: State preparation for exact ground state of  $H$

# State preparation, VQE and Beta-VQE

## State preparation is hard

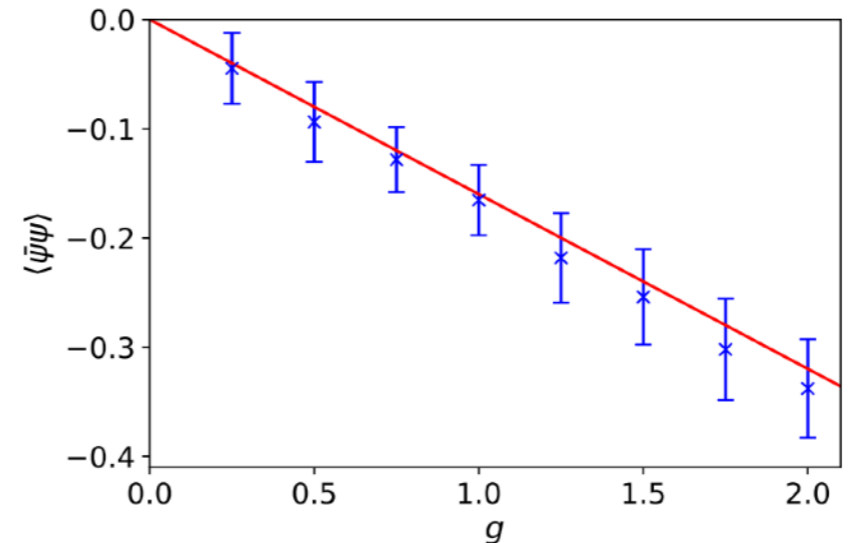
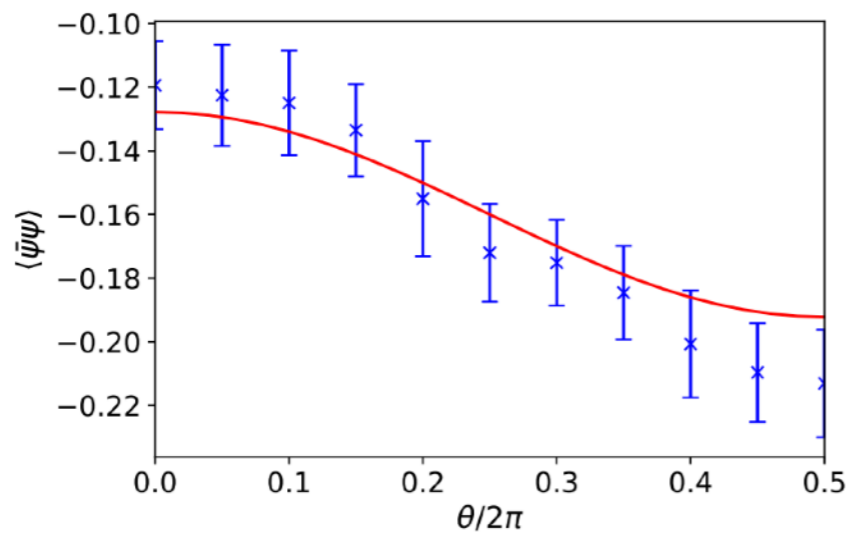
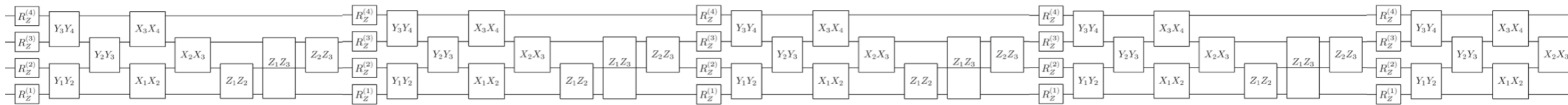
We are interested in expectation value with true ground state for Hamiltonian

$$\langle O \rangle = \langle \Omega | O | \Omega \rangle$$

For the actual ground state  $H | \Omega \rangle = E_0 | \Omega \rangle$

The exact ground state can be prepared using adiabatic state preparation = long unitary evolution with gradually changing Hamiltonian

$$e^{-iHt} \approx (e^{-iH_{\text{kin}}t/N} e^{-iH_{\text{mass}}t/N} \dots)^N$$



B Chakraborty, M Honda, T Izubuchi, Y Kikuchi, **AT**  
*Phys.Rev.D* 105 (2022) 9, 094503

BUT, Near term quantum devices are only capable to deal with simple (short) circuit!

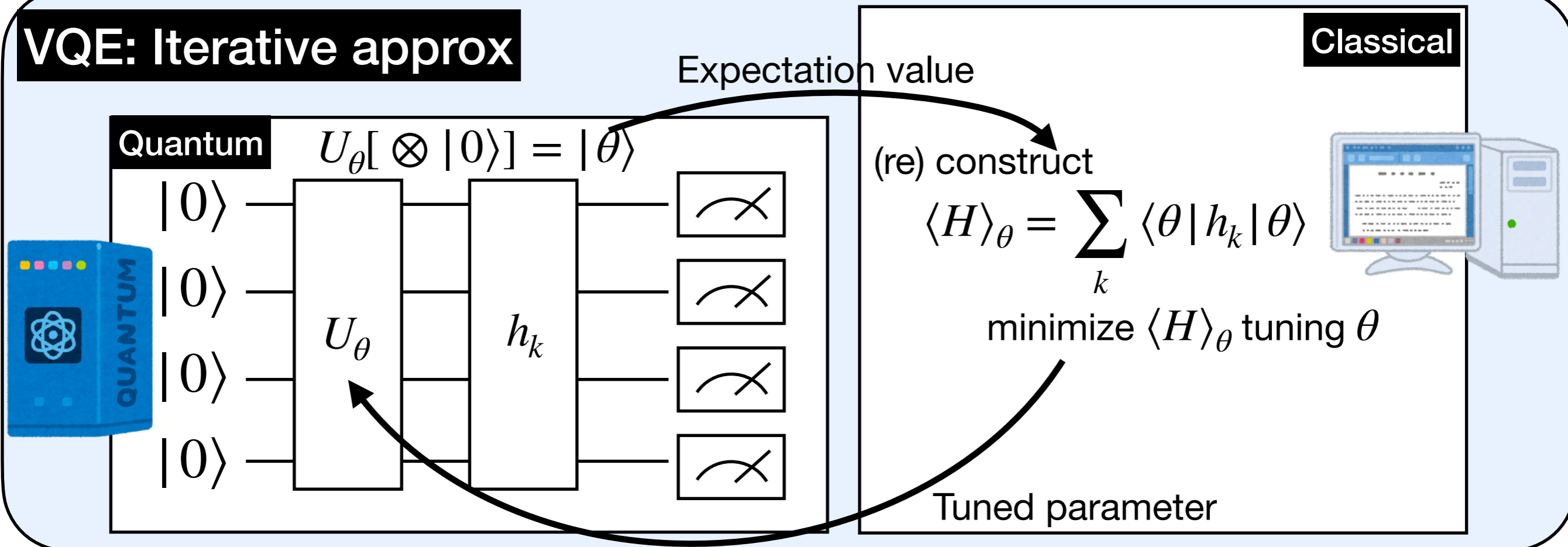
Variational approaches help to evaluate the ground state to evaluate the expectation value = Variational Quantum Eigen-solver (VQE), a quantum-classical hybrid algorithm

# VQE and Beta VQE 1/2

## Background: VQE is a variational method

- Quantum machine: Exact ground state  $|\Omega\rangle$  preparation is hard. In particular, it is difficult on near term devices
- Variational method for a pure state** with a short circuit (VQE, variation quantum eigen-solver).
  - Quantum/Classical hybrid algorithm, iterative.  $U_\theta$  is a short circuit.
  - Parametrized unitary circuit (~parametrized state  $|\theta\rangle$ ,  $\theta$ : a set of parameters)**

### VQE: Iterative approx



- Systematic error since  $|\theta\rangle = U_\theta[\otimes |0\rangle] \neq |\Omega\rangle$  but cheap



## Hamiltonian vs Lagrangian

Operator formalism (This work)

$H$  : Hamiltonian in QFT

Real time

Finite temperature/imaginary time

Minkowski in  $M^{d+1}$

$$U(t) = e^{-itH}$$

Euclid( $t \rightarrow \tau$ )

$$t = -i\tau$$

Minkowski( $\tau \rightarrow t$ )

Euclid in  $S^1 \times M^d$

$$U(\tau) = e^{-\tau H}$$

$$\langle OO(\tau) \rangle = \text{Tr}[O(0)O(\tau)\rho]$$

$$\rho = U(\tau)/Z$$



- Thermal state in quantum system?
  - > Density matrix formalism

# Density matrix

## unifies description of pure states and mixed states

**Pure states:** System is purely quantum

$$\rho_{\text{pure}} = |\Psi\rangle\langle\Psi| \quad \langle O \rangle = \text{Tr}[O\rho_{\text{pure}}] = \langle\Psi|O|\Psi\rangle$$

**Mixed states:** States are classically mixed ( $\neq$  superposition)

$$\rho_{\text{mixed}} = \sum_i w_i |\psi_i\rangle\langle\psi_i| \quad \langle O \rangle = \text{Tr}[O\rho_{\text{mixed}}] = \sum_i w_i \langle\psi_i|O|\psi_i\rangle$$

$w_i$  represents probability to find a pure state  $|\psi_i\rangle$

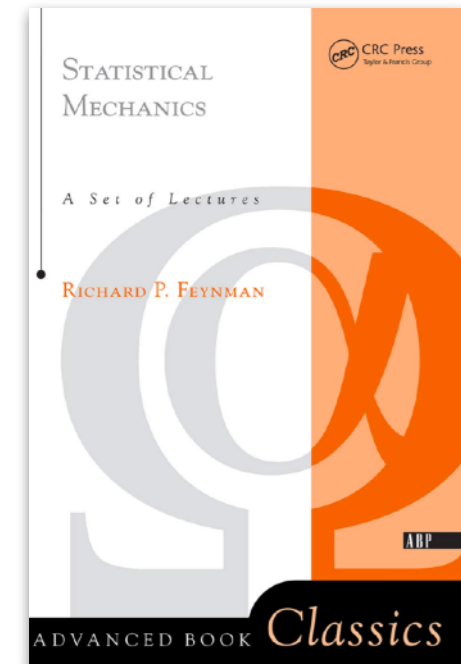
**Thermal states (Grand-canonical):**

$$\rho_{T,\mu} = \frac{1}{Z} e^{-\frac{1}{T}(\hat{H} - \mu\hat{N})} \quad \langle O \rangle_{T,\mu} = \text{Tr}[O\rho_{T,\mu}]$$

(Alternative approach TPQ: AT Yuki Nagai APLAT, 2020)

**Thermal-quantum average in general**

$$\langle O \rangle = \text{Tr}[O\rho]$$



# Density matrix

## Quantum version of probability distribution

Thermal-quantum average in general

$$\langle O \rangle = \text{Tr}[O\rho]$$

### General Properties of density matrix $\rho$

- It unifies discretions of pure states and mixed states
- Normalized as  $\text{Tr}[\rho] = 1$
- $\rho$  can be regarded as quantum version of probability distribution  $p(x)$ 
  - e.g.)  $S = - \int dx p(x) \log p(x)$  (Shannon entropy)
  - $\longleftrightarrow S = - \text{Tr}[\rho \log \rho]$  (Von-Neumann entropy)
- Distance between two density matrices = quantum relative entropy (next)

# VQE and Beta VQE 2/2

## Beta VQE is a variational method for mixed states

- KL divergence for  $\rho$  = Kullback–Leibler *Umegaki* divergence (Pseudo-distance for  $\rho$ )
- Classical ver:  $D(p | q) = \int dx p(x) \log p(x)/q(x)$  (KL divergence)
  - Relative entropy. Difference of two distributions (~distance)
  - Positive definite, Used in machine learning
  - $D=0$  if and only if  $p, q$  are equal
- **Quantum**  $D(\rho_1 | \rho_2) = \text{Tr}[\rho_1 \log \rho_1 / \rho_2]$  (KL-Umegaki divergence ~ distance)
  - Positive definite
  - **$D=0$  if and only if  $\rho_1, \rho_2$  are equal**
- Kullback–Leibler *Umegaki* divergence can be used for variational approaches

Ansatz for  $\rho$ ?

# VQE and Beta VQE 2/2

## Beta VQE is a variational method for mixed states

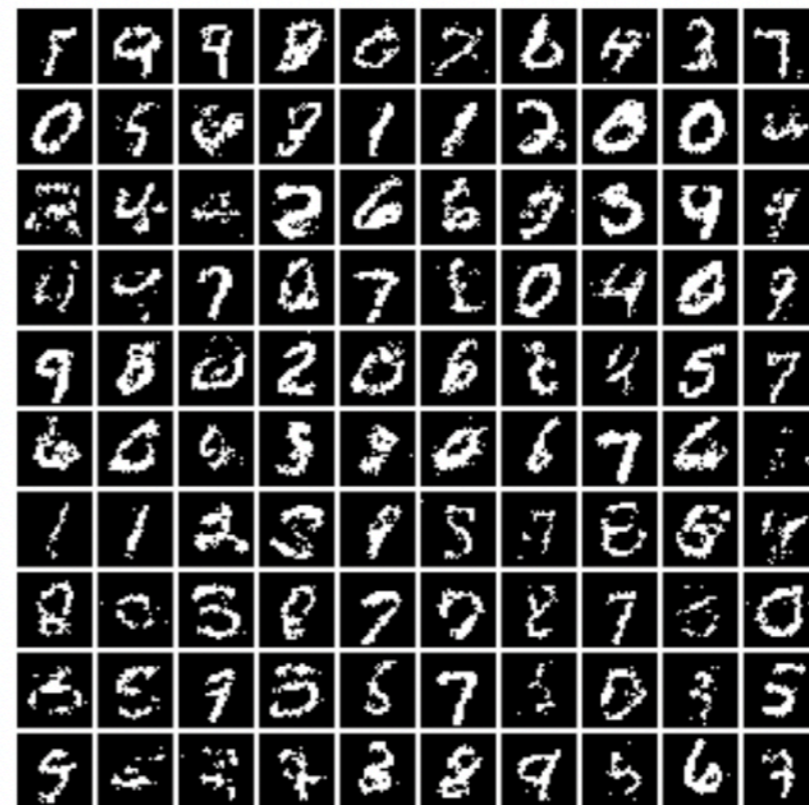
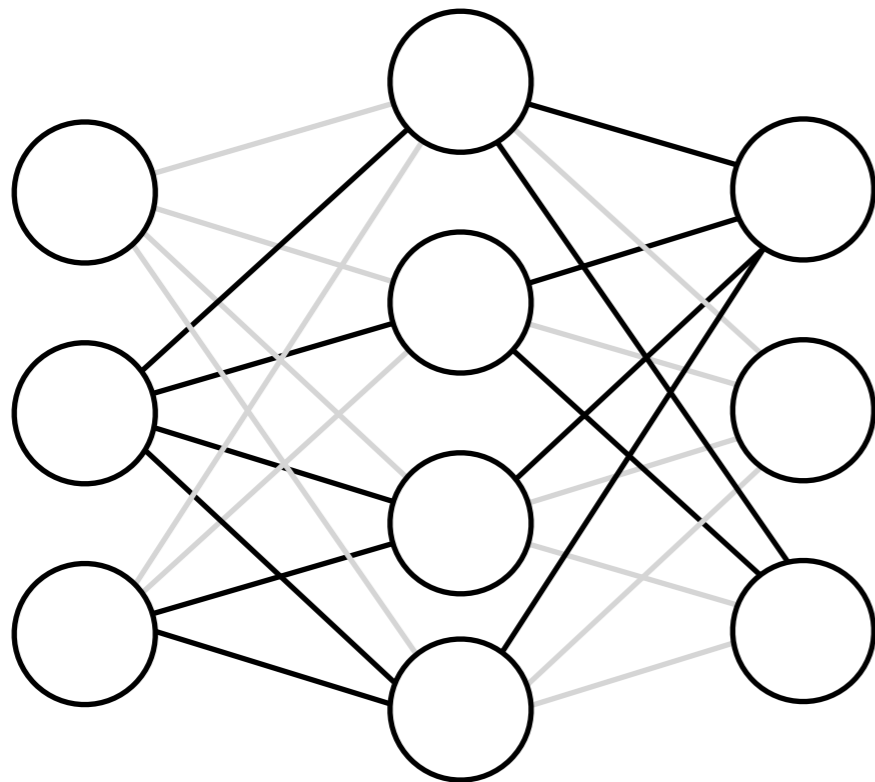
J. -Guo Liu+ 1902.02663

\*M. Germain+ 1502.03509

- Variational method for mixed states: Variational method on  $\rho$ 
  - $\rho_{\Theta} = \sum_{\{\vec{x}\}} p_{\phi}[\vec{x}] U_{\theta} |\vec{x}\rangle \langle \vec{x}| U_{\theta}^{\dagger}$ ,  $\Theta = \theta \cup \phi$  (parameters)
  - $\vec{x} = (x_1, x_2, x_3, \dots, x_k, \dots)^{\top}$ , and  $x_k \in \{0, 1\}$  : (roughly) fermion occupation
  - $|\vec{x}\rangle = |x_1\rangle \otimes |x_2\rangle \otimes |x_3\rangle \otimes \dots$  : easy to prepare
  - $U_{\theta} |\vec{x}\rangle$ : parametrized pure states, similar to the conventional VQE
- $p_{\phi}[\vec{x}]$ : Classically approximated distribution for a configuration of  $\vec{x}$ ,  
**Neural network (MADE\*)** is used.  $\phi$  = parameters  
 This can generate configurations of  $\vec{x}$

## (masked) Auto-encoder for binary variable distribution

- MADE (neural network) mimics joint probability distribution e.g.  $p(x_1, x_2, x_3)$ , whose input is binary array  $(x_1, x_2, x_3)$ ,  $x_i = 0, 1$



Reconstructed MNIST (Binarized)

Auto-encoder with a mask -> Generative model for binary array  
(Please ask me later in detail)

## Extended VQE for mixed states

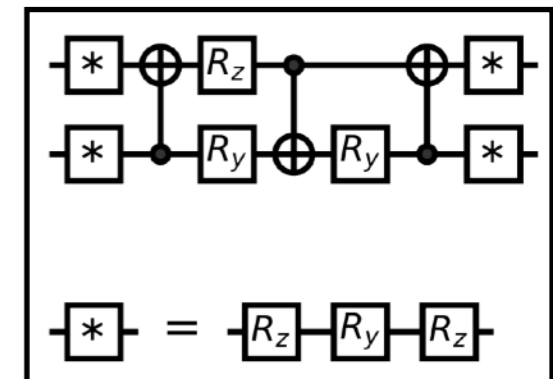
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- We approximate  $\rho = \frac{1}{Z} e^{-\frac{1}{T}(\hat{H} - \mu\hat{N})}$  by  $\rho_{\Theta} = \sum_{\{\vec{x}\}} p_{\phi}[\vec{x}] U_{\theta} |\vec{x}\rangle \langle \vec{x}| U_{\theta}^{\dagger}$
- $\langle O \rangle_{T,\mu} \approx \text{Tr}[\rho_{\Theta} O] = \sum_{\{\vec{x}\}} p_{\phi}[\vec{x}] \langle \vec{x}| U_{\theta}^{\dagger} O U_{\theta} |\vec{x}\rangle$
- Quantum machine can store a state  $U_{\theta} |\vec{x}\rangle$  (test wave function)
- Classical machine can sample thermal distribution from  $p_{\phi}[\vec{x}]$  (neural net)
- All parameters are tuned such that minimizing  $D(\rho_{\Theta} | \rho)$
- Optimization of parameters is done with a optimizer (as in machine learning)

## Extended VQE for mixed states

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- We minimize the loss function  $\mathcal{L}(\Theta) = D - \ln Z = \text{Tr}[\rho_\Theta \ln \rho_\Theta] + \frac{1}{T} \text{Tr}[\rho_\Theta (\hat{H} - \mu \hat{N})]$
- Variational bound:  $\mathcal{L}(\Theta) - \log Z_{T,\mu} \geq 0$
- We use SU(4) ansatz for each 2 qubits for  $U_\theta$
- *Advantage* of beta VQE
  - No sign problem, even with the chemical potential
  - Bounded variational approximation
- *Disadvantage*
  - Systematic error
  - Need numerical resource if we use a classical machine





# Simulation results

## Simulation setup (mostly skip)

- We apply beta-VQE for Schwinger model (= QED in 1+1d).  
Toy model of QCD, confinement, chiral symmetry breaking

$$S = \int d^2x \left[ -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \bar{\psi} (i\partial - gA - m) \psi \right] \longleftrightarrow H = \int dx \left[ -i\bar{\psi} \gamma^1 (\partial_1 + igA_1) \psi + m\bar{\psi} \psi + \frac{1}{2} \Pi^2 \right]$$

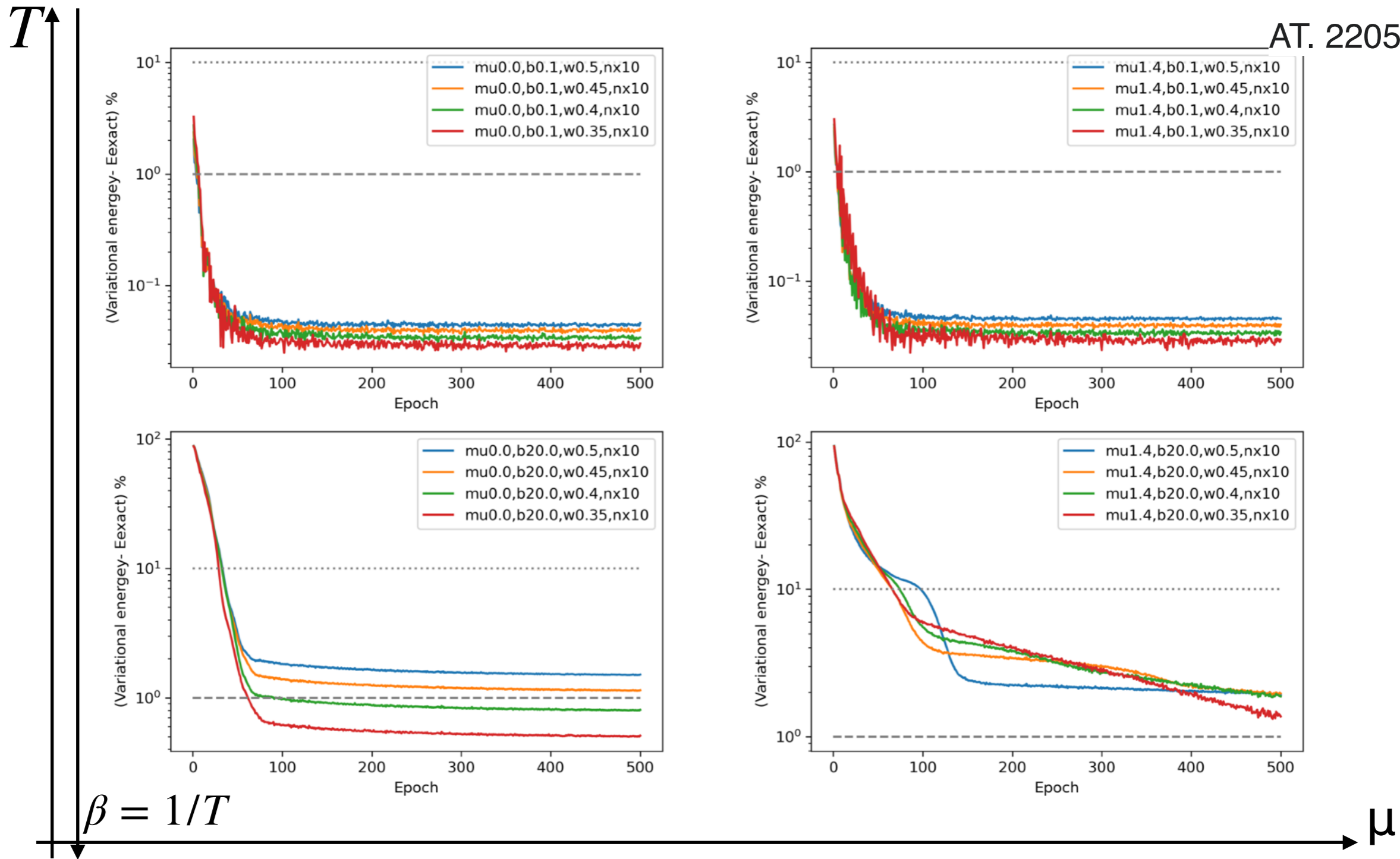
$$\partial_x E = g\bar{\psi} \gamma^0 \psi$$

- **Staggered fermion**
  - Jordan-Wigner transformation. Open Boundary condition.
  - $g = 1$ ,  $N_x = (4, 6), 8, 10$ ,  $1/T = [0.5-20.0]$ ,  $\mu = [0-1.4]$ , 4 lattice spacings  $1/2a = [0.5-0.35]$
  - We do not take large volume limit but take continuum limit
    - (Practically,  $N_x > 10$  cannot be calculated on our numerical resources)
    - (My previous work shows data from  $N_x > 12$  are essential to take stable large volume limit though)
  - Setup for beta VQE:
    - Unitary part = SU(4) ansatz
    - Classical weight = Masked Auto-Encoder for Distribution Estimation (MADE)
  - Training epoch is 500. Sampling = 5000 for classical distribution
- **Observables**
  - Variational free energy (exact and variational one)
  - (Translationally invariant) Chiral condensate
- **Check point: Dependence of variational error on temperature and mu**

# Simulation results

## Variational free energy is $O(1)$ , $N_x=10$

AT. 2205.08860

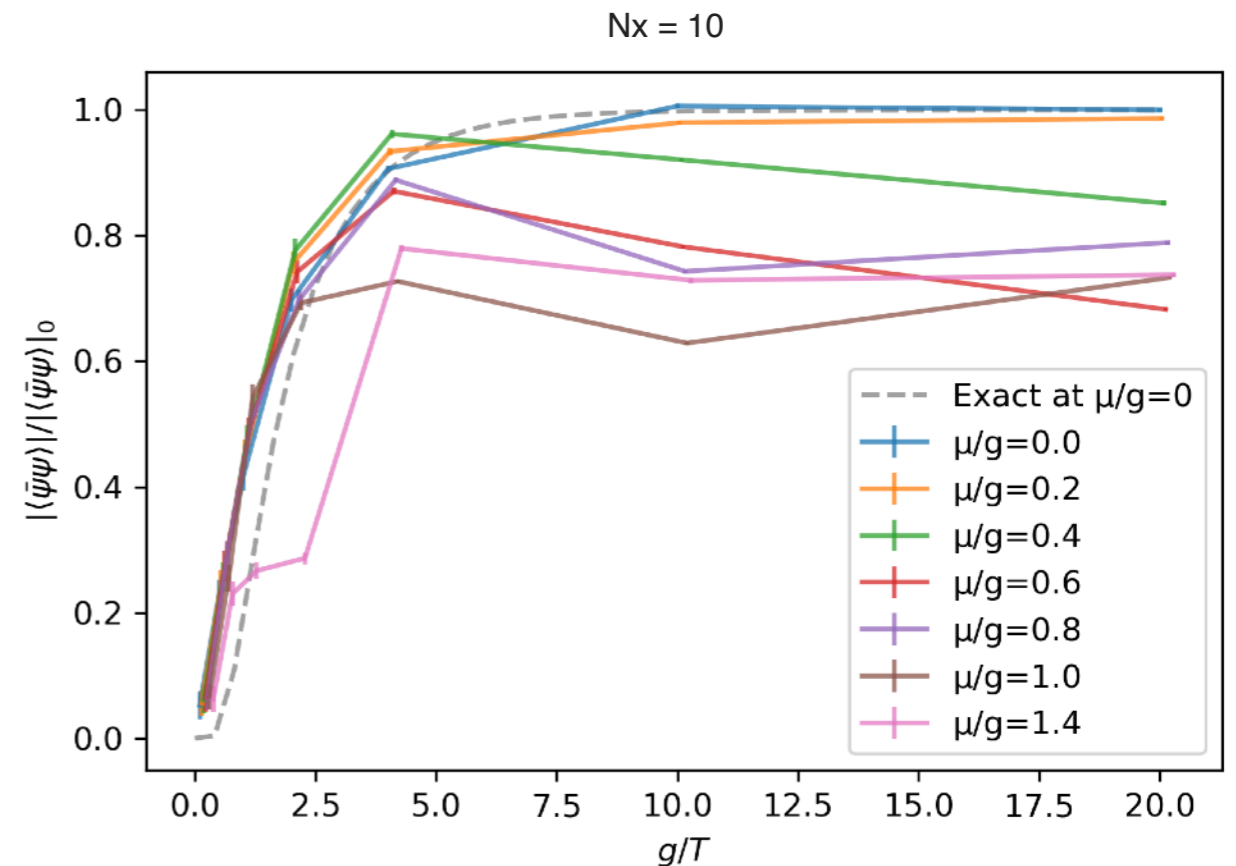
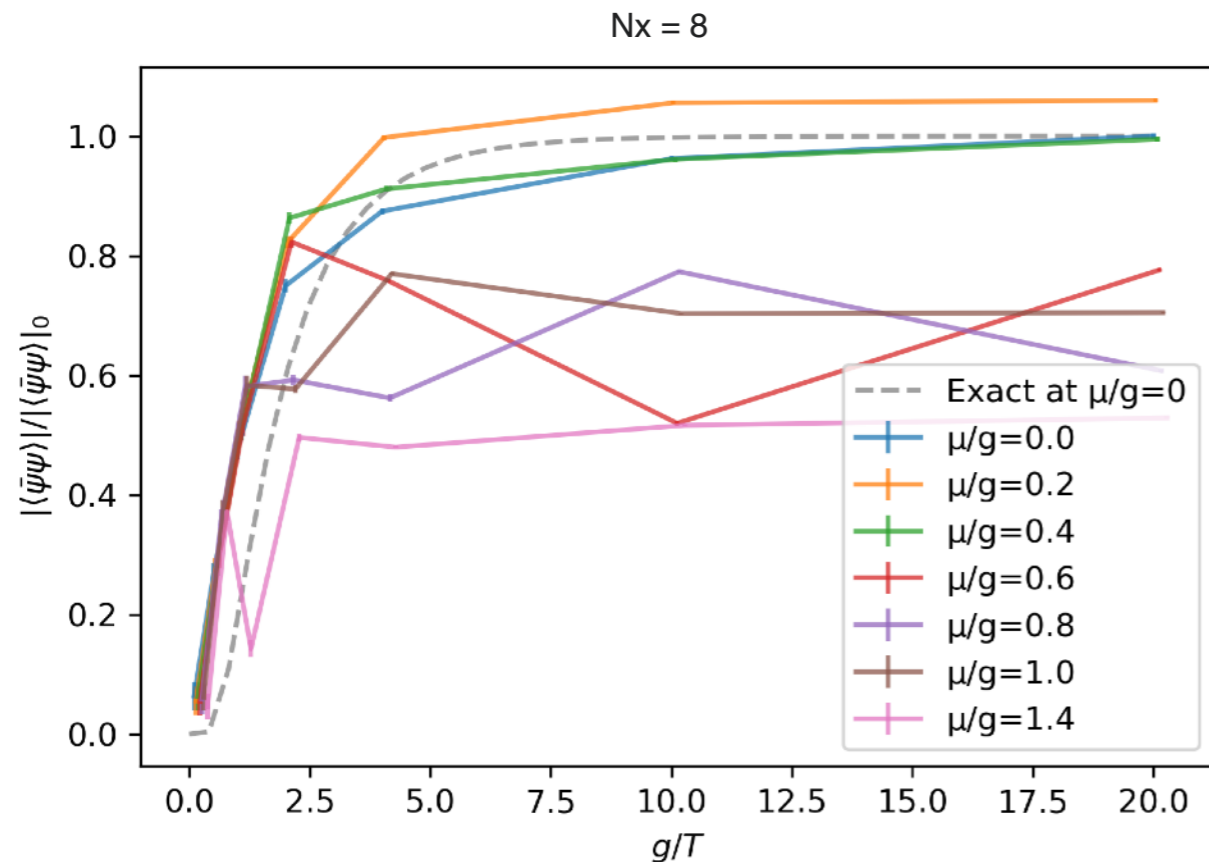


1. Mild dependence on  $\mu$  (not breaking)
2. Hard for  $T \rightarrow 0$  (large deviation) as expected

## Continuum extrapolation for $N_x = 8, 10$

Continuum limit with a polynomial ansatz  
it looks good So far\*

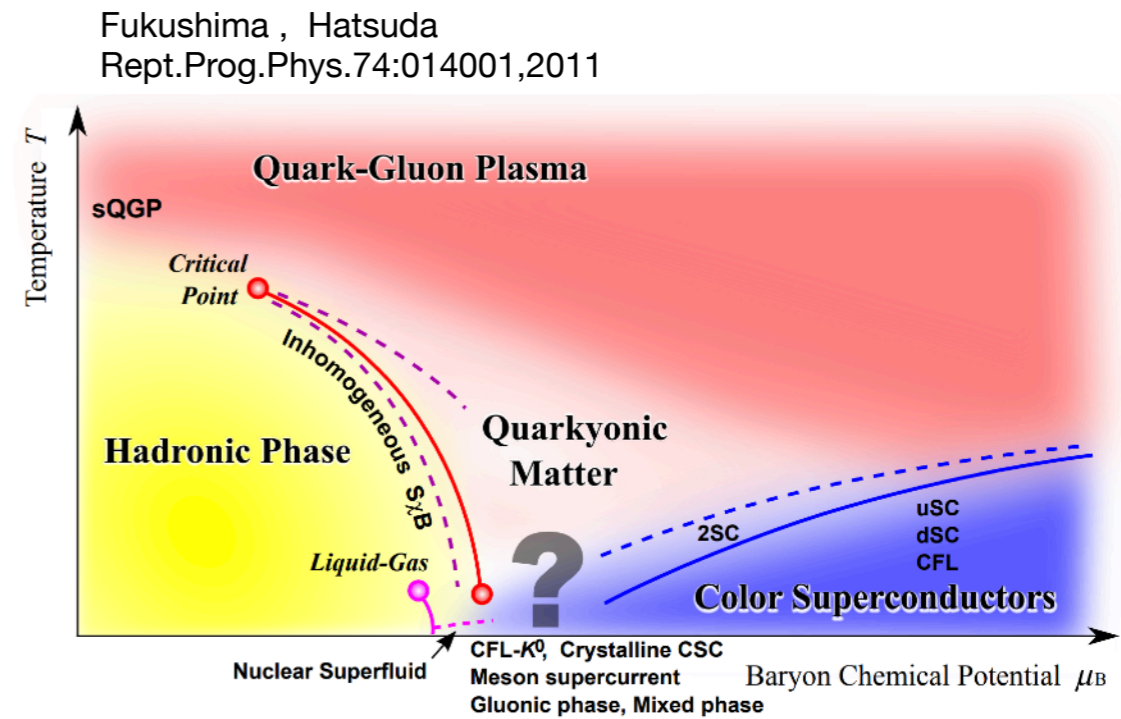
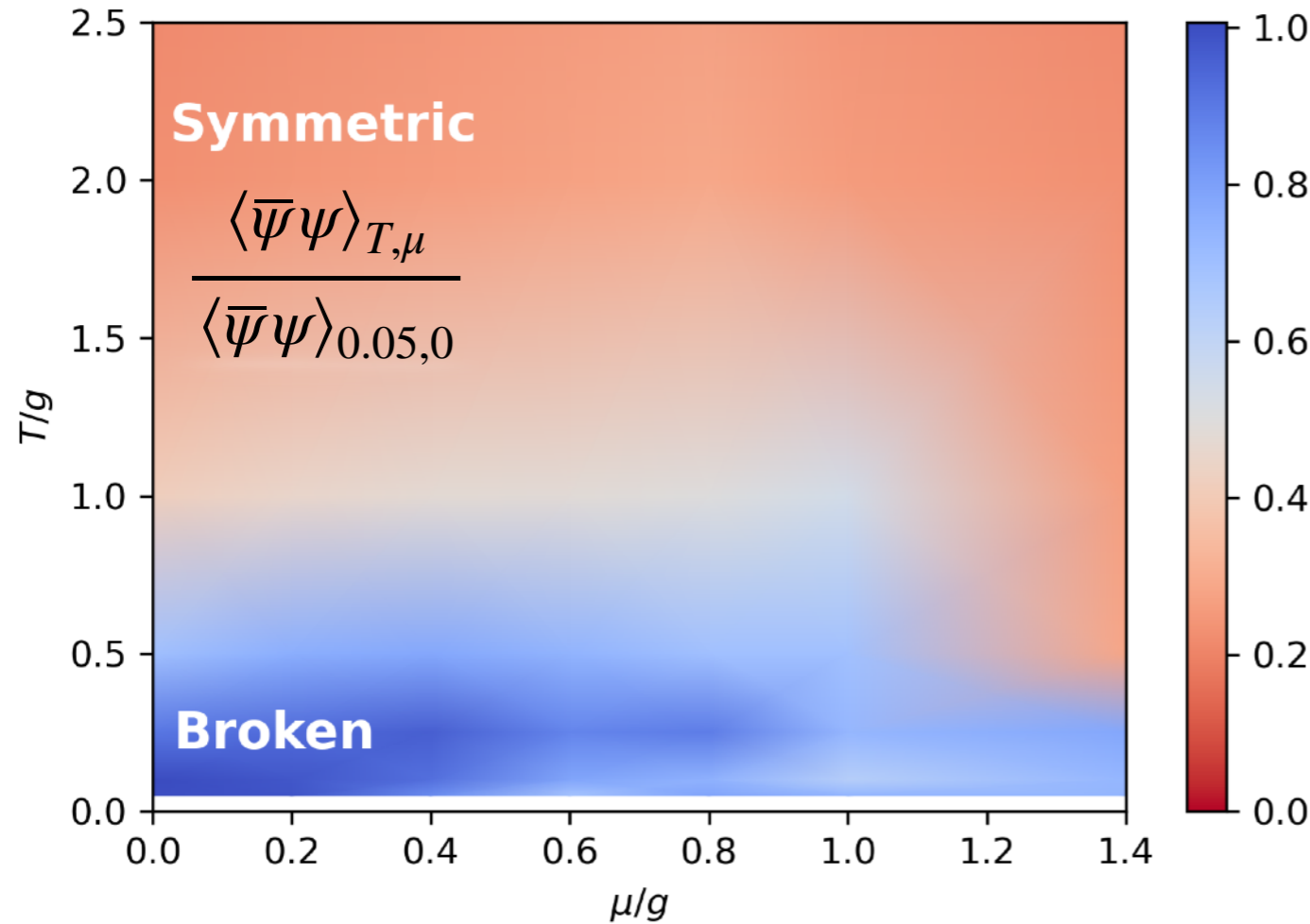
AT. 2205.08860



\*(I did not include additive mass shift (Ross Dempsey+ arXiv: 2206.05308).

I thank to Takis Angelides (DESY) and Etsuko Ito (RIKEN) for letting me know this important reference!

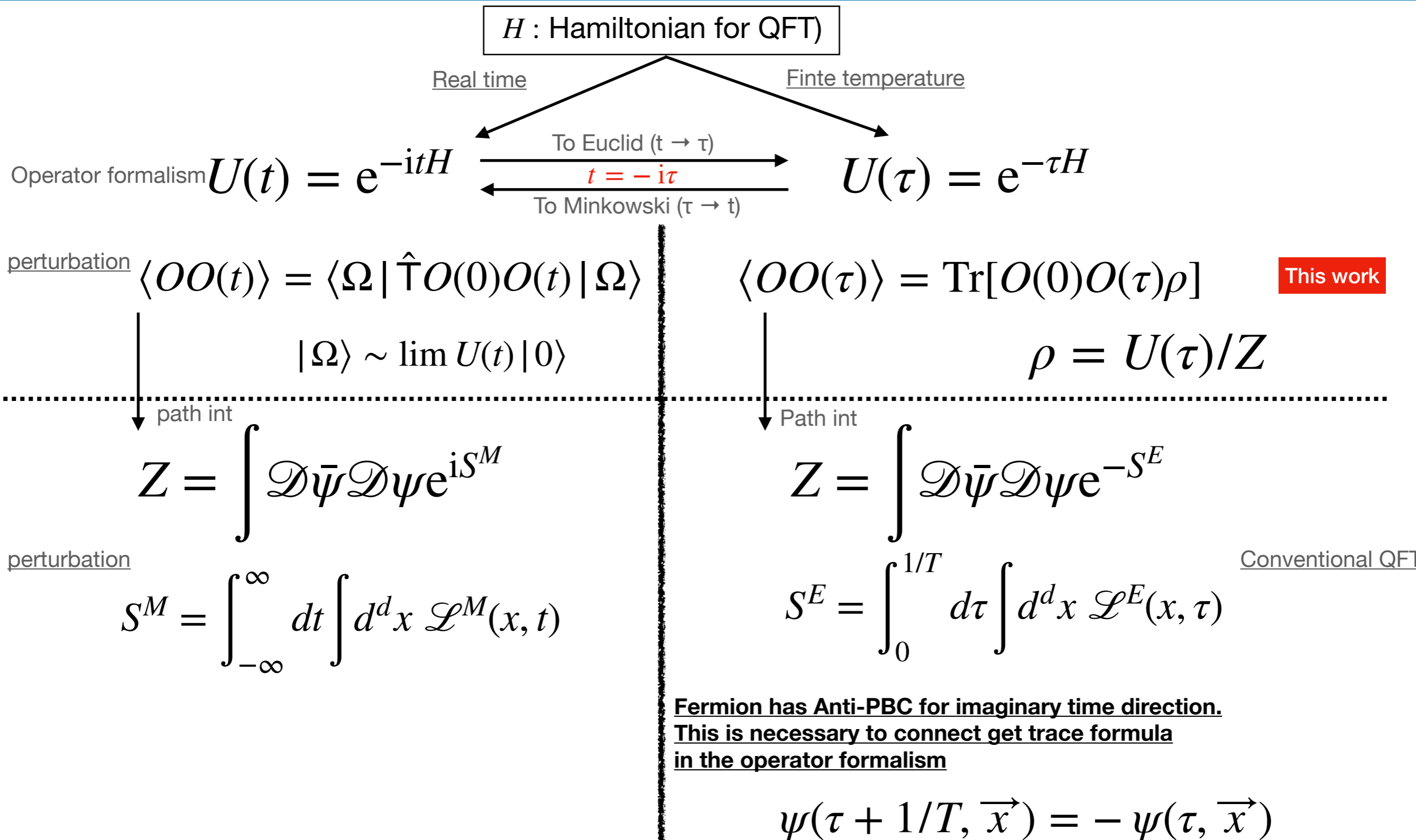
We use  $N_x = 10$  results for the phase diagram



- We investigate T- $\mu$  phase diagram for Schwinger model
- Continuum extrapolation has been evaluated (except for additive mass renormalization by 2206.05308)
- The variational approach does not show difficulty for our parameter regime
- Towards to go large volume, optimization of code, GPU version, tensor network. (noise-free) real device!



## Same hamiltonian



# Simulation results

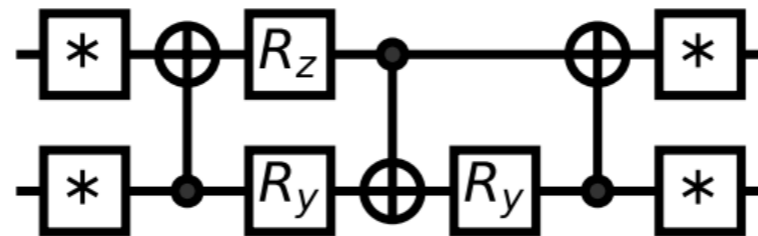
Variational free energy is  $O(1)$ ,  $N_x=10$

$\mu/g$	$g/T$	$N_x$	$\sim 1/a$ $w/g$	Approx $\mathcal{L} - \ln Z$	Exact $-\ln Z$	Diff (%)		$\sim 1/a$	Approx	Exact	AT. 2205.08860		
0.0	0.1	4	0.5	-27.779	-27.781	0.00804	1.4	0.1	4	0.5	-28.021	-28.023	0.00697
0.0	0.1	4	0.35	-27.807	-27.808	0.005	1.4	0.1	4	0.35	-27.989	-27.991	0.00755
0.0	0.1	10	0.5	-70.686	-70.718	0.0459	1.4	0.1	10	0.5	-70.842	-70.874	0.0453
0.0	0.1	10	0.35	-71.744	-71.765	0.0302	1.4	0.1	10	0.35	-71.742	-71.763	0.0291
0.0	0.5	4	0.5	-5.792	-5.802	0.185	1.4	0.5	4	0.5	-6.784	-6.789	0.0609
0.0	0.5	4	0.35	-5.885	-5.891	0.105	1.4	0.5	4	0.35	-6.644	-6.647	0.0327
0.0	0.5	10	0.5	-17.133	-17.25	0.68	1.4	0.5	10	0.5	-17.989	-18.104	0.636
0.0	0.5	10	0.35	-18.849	-18.934	0.448	1.4	0.5	10	0.35	-19.445	-19.534	0.456
0.0	10.0	4	0.5	-1.748	-1.75	0.161	1.4	10.0	4	0.5	-3.708	-3.71	0.0728
0.0	10.0	4	0.35	-1.829	-1.829	0.0184	1.4	10.0	4	0.35	-3.63	-3.669	1.07
0.0	10.0	10	0.5	-8.218	-8.341	1.48	1.4	10.0	10	0.5	-10.067	-10.243	1.71
0.0	10.0	10	0.35	-9.98	-10.03	0.496	1.4	10.0	10	0.35	-11.763	-11.862	0.837
0.0	20.0	4	0.5	-1.492	-1.739	14.2	1.4	20.0	4	0.5	-3.673	-3.681	0.218
0.0	20.0	4	0.35	-1.653	-1.806	8.46	1.4	20.0	4	0.35	-3.621	-3.669	1.31
0.0	20.0	10	0.5	-8.202	-8.328	1.51	1.4	20.0	10	0.5	-10.028	-10.224	1.92
0.0	20.0	10	0.35	-9.955	-10.006	0.509	1.4	20.0	10	0.35	-11.699	-11.862	1.37

1. Mild dependence on  $\mu$

2. Hard for  $T \rightarrow 0$  (large deviation) as expected

# SU(4) Variational ansatz



$$\boxed{*} = \boxed{R_z} \boxed{R_y} \boxed{R_z}$$

The general gate consists of 15 single qubit gates and 3 CNOT gates.  
Each two qubit unitary is parametrized by 15 parameters in the rotational gates, which parametrizes the SU(4) group.



# VQE and Beta VQE 1/2

## Background: VQE is a variational method

- Quantum machine: Exact ground state preparation is hard. In particular, it is difficult on near term devices
- **Variational method for a pure state** with a short circuit (VQE, variation quantum eigen-solver).
  - Quantum/Classical hybrid algorithm, iterative
  - **Parametrized unitary circuit (~parametrized state  $|\theta\rangle$ ,  $\theta$ : a set of parameters)**
    - $|\theta\rangle = \hat{U}(\theta)(|0\rangle_1|0\rangle_2|0\rangle_3\cdots)$ , and  $\hat{U}(\theta)$  is a short circuit (entanglement + rotations)
  - If  $\langle\theta|H|\theta\rangle = 0$ ,  $|\theta\rangle \approx |\Omega\rangle$ , where  $|\Omega\rangle$  is the exact ground state  
= Variational approach for quantum system

-

# VQE and Beta VQE (skip)

## Beta VQE is a variational method for mixed states

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- Variational method for mixed states: Variational method on  $\rho$

- $\rho_{\Theta} = \sum_{\{\vec{x}\}} p_{\phi}[\vec{x}] U_{\theta} |\vec{x}\rangle \langle \vec{x}| U_{\theta}^{\dagger}, \quad \Theta = \theta \cup \phi$  (parameters)

- $\vec{x} = (x_1, x_2, x_3, \dots, x_k, \dots)^{\top}$ , and  $x_k \in \{0, 1\}$ : (roughly) fermion excitation

- $U_{\theta} |\vec{x}\rangle$ : parametrized pure states, similar to the conventional VQE

- $p_{\phi}[\vec{x}]$ : Classically approximated distribution for a configuration of  $\vec{x}$ ,

**Neural network (MADE\*)** is used.  $\phi$  = parameters


- Minimizing  $D(\rho_{\Theta} | \rho_{T,\mu}^{\text{exact}})$ , we get approximated a set of states (= thermal)

- Shifted one (by a constant) is used in practice:


- $\mathcal{L}(\Theta) \equiv \underbrace{D(\rho_{\Theta} | \rho_{T,\mu}^{\text{exact}})}_{\text{const}} - \ln Z = \text{Tr}[\rho_{\Theta} \ln \rho_{\Theta}] + \frac{1}{T} \text{Tr}[\rho_{\Theta} (\hat{H} - \mu \hat{N})]$

# The two language problem and solution?

# The two language problem and solution?

- Programs for machine learning are usually implemented in Python
- LatticeQCD is in C++ (+CUDA)
- Two different languages used = “2 (programming) language problem”
- Use of one language is better for productivity
  - Python + LQCD: GPT for Grid, PyBridge++ for Bridge++, PyQCD
- Julia language\* could be a solution of the problem
  - High performance as C++, Write like Python
  - NASA uses Julia 🤖. Works on supercomputers
  - Machine learning, GPU and MPI friendly (Flux.jl, CUDA.jl, MPI.jl etc)
- LatticeQCD.jl, AT & Y. Nagai (updating to 1.0):  **LatticeQCD.jl**  
MPI-Parallel, stout smearing, domain-wall, staggered, (R)HMC, improved gauge actions, SU(Nc), gauge-covariant-neural net, ILDG support, etc...

# The two language problem and solution?

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## Extended VQE for mixed states

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- How can we realize  $\rho_{\Theta} \approx \rho$  for  $\rho = \frac{1}{Z} e^{-\frac{1}{T}(\hat{H} - \mu\hat{N})}$
- Minimize Kullback–Leibler–Umegaki divergence (pseudo-distance)
  - $D(\rho_{\Theta} | \rho) = \text{Tr}[\rho_{\Theta} \ln \frac{\rho_{\Theta}}{\rho}] = \text{Tr}[\rho_{\Theta} \ln \rho_{\Theta}] - \text{Tr}[\rho_{\Theta} \ln \rho]$
  - Relative entropy for density matrices (Classical ver. is called KL div.)
  - This is bounded  $D(\rho_{\Theta} | \rho) \geq 0$  and saturated iff  $\rho_{\Theta} = \rho$
  - In practice, we minimize shifted one,

$$\mathcal{L}(\Theta) = D(\rho_{\Theta} | \rho) - \underbrace{\ln Z}_{\text{const}} = \text{Tr}[\rho_{\Theta} \ln \rho_{\Theta}] + \frac{1}{T} \text{Tr}[\rho_{\Theta} (\hat{H} - \mu\hat{N})]$$

We can define, a loss function,  $\tilde{\mathcal{L}}(\Theta) = D(\rho_{\Theta} || \rho)$

$$\rho_{T,\mu} = \frac{1}{Z_{T,\mu}} e^{-\frac{1}{T}(\hat{H} - \mu\hat{N})}$$

$$D(\rho_{\Theta} || \rho_{T,\mu}) = \text{Tr} \left[ \rho_{\Theta} \log \frac{\rho_{\Theta}}{\rho_{T,\mu}} \right], \quad (24)$$

$$= \text{Tr} [\rho_{\Theta} \log \rho_{\Theta}] - \text{Tr} [\rho_{\Theta} \log \rho_{T,\mu}], \quad (25)$$

$$= \text{Tr} [\rho_{\Theta} \log \rho_{\Theta}] - \text{Tr} \left[ \rho_{\Theta} \log \frac{1}{Z_{T,\mu}} e^{-\frac{1}{T}(\hat{H} - \mu\hat{N})} \right], \quad (26)$$

$$= \text{Tr} [\rho_{\Theta} \log \rho_{\Theta}] + \text{Tr} [\rho_{\Theta} \log Z_{T,\mu}] + \frac{1}{T} \text{Tr} [\rho_{\Theta}(\hat{H} - \mu\hat{N})], \quad (27)$$

$$= \text{Tr} [\rho_{\Theta} \log \rho_{\Theta}] + \text{Tr} [\rho_{\Theta}] \log Z_{T,\mu} + \frac{1}{T} \text{Tr} [\rho_{\Theta}(\hat{H} - \mu\hat{N})], \quad (28)$$

$$= \text{Tr} [\rho_{\Theta} \log \rho_{\Theta}] + \underbrace{\log Z_{T,\mu}}_{(\text{const in } \Theta)} + \frac{1}{T} \text{Tr} [\rho_{\Theta}(\hat{H} - \mu\hat{N})]. \quad (29)$$

The last line follows because  $\rho_{\Theta}$  is normalized.

In practice, we use,

$$\mathcal{L}(\Theta) = \tilde{\mathcal{L}}(\Theta) - \log Z_{T,\mu} = \text{Tr} [\rho_{\Theta} \log \rho_{\Theta}] + \frac{1}{T} \text{Tr} [\rho_{\Theta}(\hat{H} - \mu\hat{N})]. \quad (30)$$

Namely,

$$\mathcal{L}(\Theta) = \text{Tr} [\rho_{\Theta} \log \rho_{\Theta}] + \frac{1}{T} \text{Tr} [\rho_{\Theta} \mathcal{H}], \quad (31)$$

## Extended VQE for mixed states

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- $\mathcal{L}(\Theta) = \text{Tr}[\rho_{\Theta} \ln \rho_{\Theta}] + \frac{1}{T} \text{Tr}[\rho_{\Theta} (\hat{H} - \mu \hat{N})]$

- $\text{Tr}[\rho_{\Theta} \log \rho_{\Theta}] = \sum_{\{\vec{x}\}} p_{\phi}(\vec{x}) \log p_{\phi}(\vec{x})$

- We need two derivatives

- $\frac{\partial}{\partial \phi} \mathcal{L}(\Theta) = \frac{\partial}{\partial \phi} \sum_{\{\vec{x}\}} p_{\phi}(\vec{x}) [\log p_{\phi}(\vec{x})] : \text{Classical}$

p: a neural network  
-> gradient descent

- $\frac{\partial}{\partial \theta} \mathcal{L}(\Theta) = \frac{1}{T} \frac{\partial}{\partial \theta} \langle \vec{x} | U_{\theta}^{\dagger} \mathcal{H} U_{\theta} | \vec{x} \rangle ] : \text{Quantum}$

REINFORCE algorithm



# **MADE: Masked Auto-encoder for Distribution Estimation**

1502.03509

I (mostly) skip this section in the seminar

# Summary of MADE

## (simple) Neural network for probability estimation

- MADE = Masked Auto-encoder for Distribution Estimation
- Auto-encoder is a neural network
- It can mimic a joint distribution of binary variables (0, 1)
  - $(x_1, x_2, x_3, x_4)$  is distributed as  $p(x_1, x_2, x_3, x_4) \equiv p[\vec{x}]$
- It is categorized as a generative model (as the normalizing flow)
- It is correctly normalized

# Basics (skip)

## Product rule in the probability theory

- A configuration of variables  $(x_1, x_2, x_3, x_4)$  is distributed as  $p(x_1, x_2, x_3, x_4) \equiv p[\vec{x}]$
- Probability distribution is normalized.

- For binary variables,

$$1 = \sum_{x_1=0}^1 \sum_{x_2=0}^1 \sum_{x_3=0}^1 \sum_{x_4=0}^1 p(x_1, x_2, x_3, x_4) = \sum_{\{\vec{x}\}} p_\phi[\vec{x}]$$

# Basics (skip)

## Product rule in the probability theory

- definition of the conditional probability is  $p(x_2 | x_1) \equiv \frac{p(x_1, x_2)}{p(x_1)}$ 
  - Equivalently,  $p(x_1, x_2) = p(x_1)p(x_2 | x_1)$  : Product rule
- We can generalize to more than 2 variables
  - $p(x_3 | x_1, x_2) = \frac{p(x_1, x_2, x_3)}{p(x_1, x_2)} \Leftrightarrow p(x_1, x_2, x_3) = p(x_3 | x_1, x_2)p(x_2 | x_1)p(x_1)$
  - $p(x_1, x_2, x_3, x_4) = p(x_1)p(x_2 | x_1)p(x_3 | x_1, x_2)p(x_4 | x_1, x_2, x_3)$
- We abbreviate this as  $p(x_1, x_2, x_3, x_4) = \prod_{k=1}^4 p(x_k | x_{<k})$

# Bernoulli process (skip)

## un-fair coin

- A (un-)fair coin, which takes face for a probability  $p$ , Tail for  $1-p$
- This process is called “Bernoulli trial” in Math
- Let us denote it as  $\text{Bernoulli}(p)$

# Basics (skip)

## Product rule in the probability theory

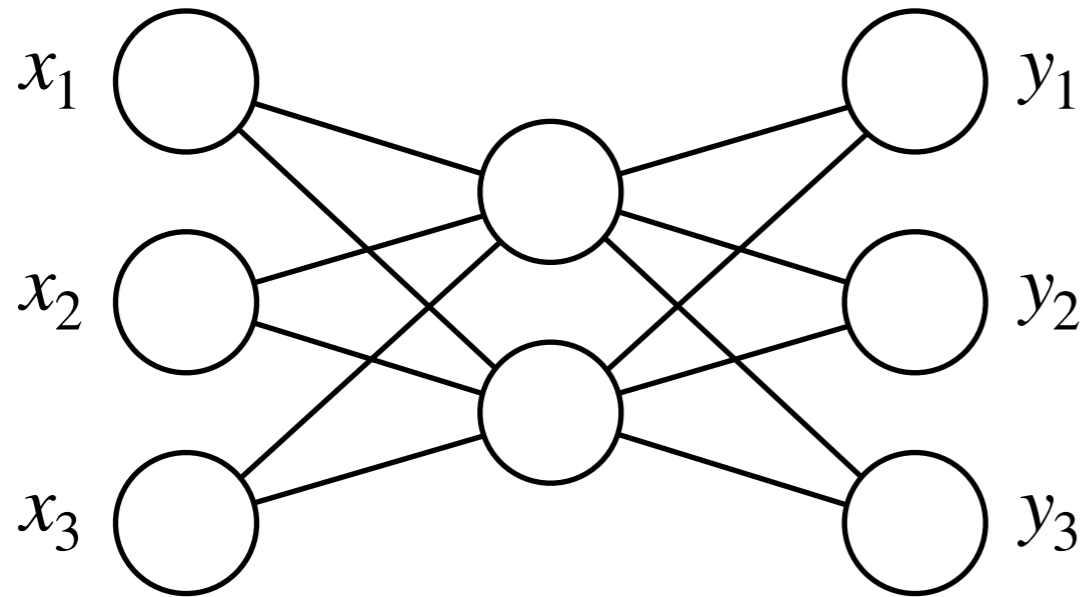
- Neural network (NN) mimics

$p(x_1, x_2, x_3) = p(x_1)p(x_2 | x_1)p(x_3 | x_1, x_2)$ , whose input is binary array  $(x_1, x_2, x_3)$ : 3 correlated coins

- We can draw a sample using  $\hat{x}_1 \sim y_1 \approx p(x_1)$
- How can we construct  $\hat{x}_2 \sim y_2 \approx p(x_2 | x_1)$ 
  - input only depends on  $x_1$
- How can we construct  $\hat{x}_3 \sim y_3 \approx p(x_3 | x_1, x_2)$ 
  - input only depends on  $x_1, x_2$

# Auto-encoder (skip)

Auto-encoder ~ (un normalized) flow



$$-E[x] = \sum_i x_i \log y_i + (1 - x_i) \log(1 - y_i)$$

$$e^{-E[x]} = \prod_i y_i^{-x_i} (1 - y_i)^{-(1-x_i)}$$

$$\sum_{\{x\}} e^{-E[x]} \neq 1 \quad \text{Not-normalized}$$

# Auto-regressive property (skip)

## Product rule

$$y_1 = p(x_1 = 1), \quad y_2 = p(x_2 = 1 | x_1), \quad y_3 = p(x_3 = 1 | x_1, x_2)$$

$$\longrightarrow p(x_1 = 0) = 1 - y_1, \quad p(x_2 = 0 | x_1) = 1 - y_2, \quad p(x_3 = 0 | x_1, x_2) = 1 - y_3$$

$$\longrightarrow y_d = p(x_d = 1 | x_{<d}) \quad p(x_d = 0 | x_{<d}) = 1 - y_d$$

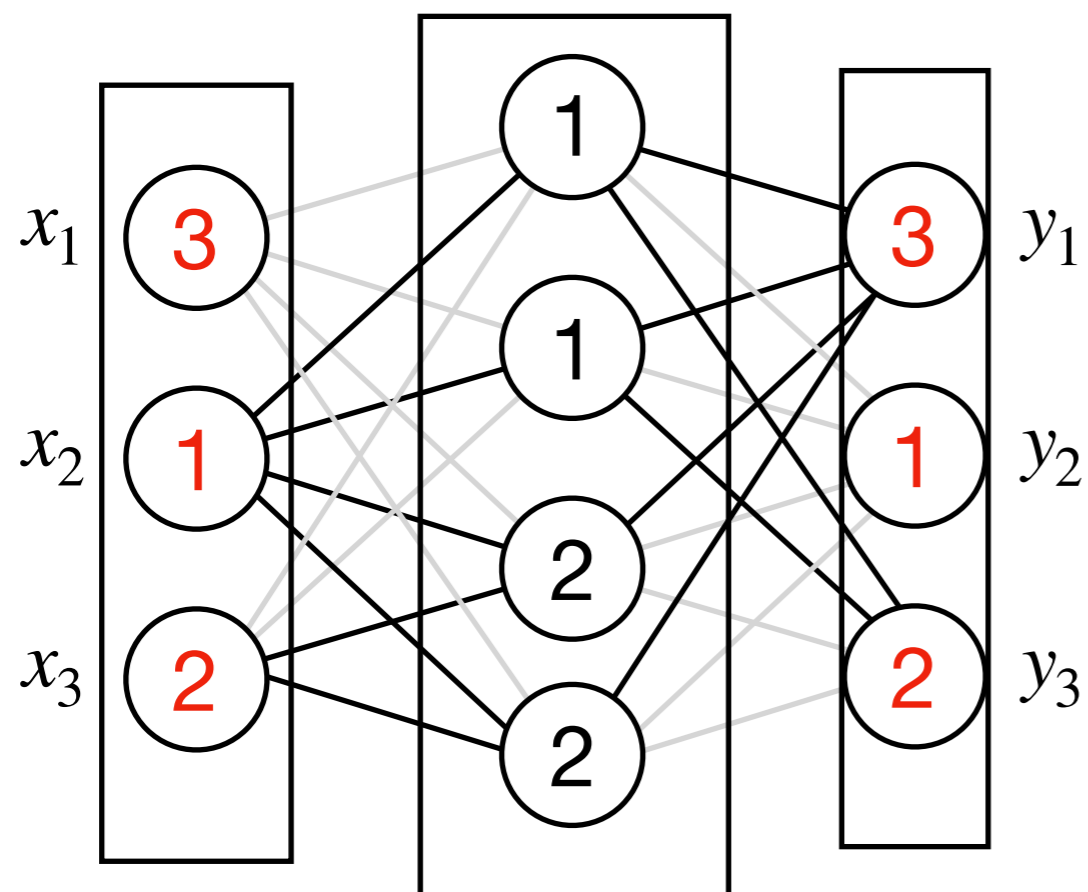
$$p(x_1, x_2, x_3, x_4) = \prod_{k=1}^4 p(x_k | x_{<k})$$

$$\longrightarrow -\log p(x_1, x_2, x_3, x_4) = -\sum_{k=1}^4 \log p(x_k | x_{<k})$$



# MADE (skip)

## Masked auto-encoder for density estimation



$$\hat{x}_1 \sim y_1 \approx p(x_1 | x_2, x_3)$$

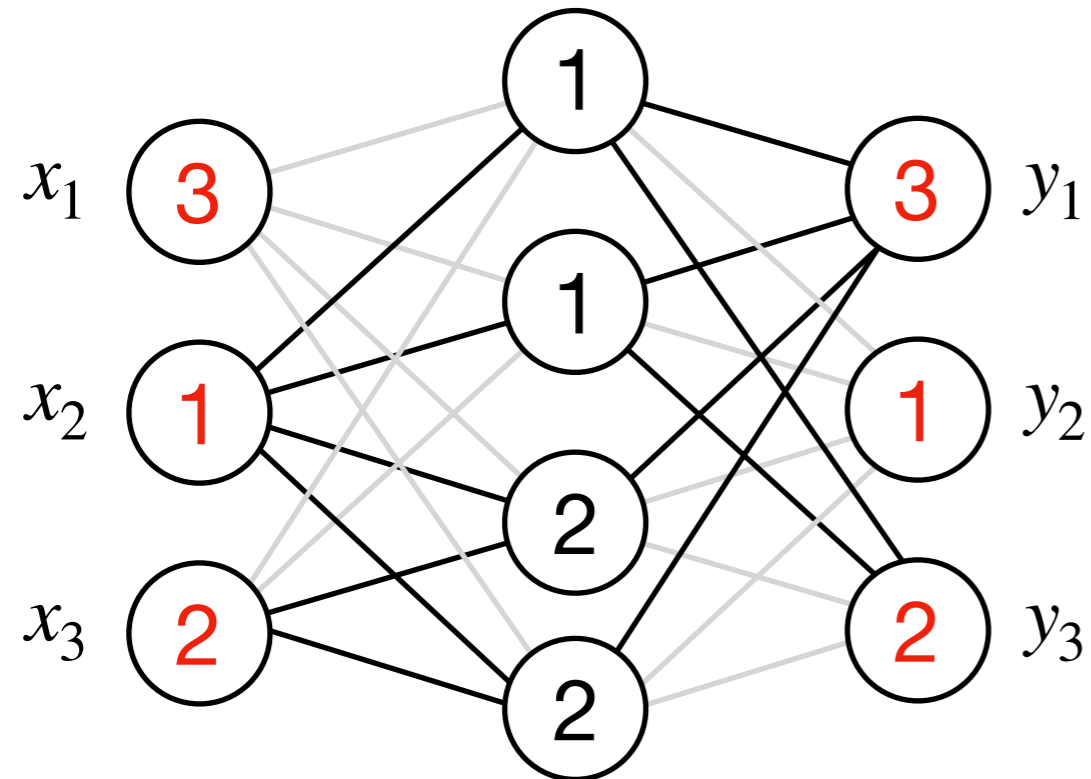
$$\hat{x}_2 \sim y_2 \approx p(x_2)$$

$$\hat{x}_3 \sim y_3 \approx p(x_3 | x_2)$$

Assign numbers on node:  
Input& output node = assign

# MADE (skip)

## Masked auto-encoder for density estimation



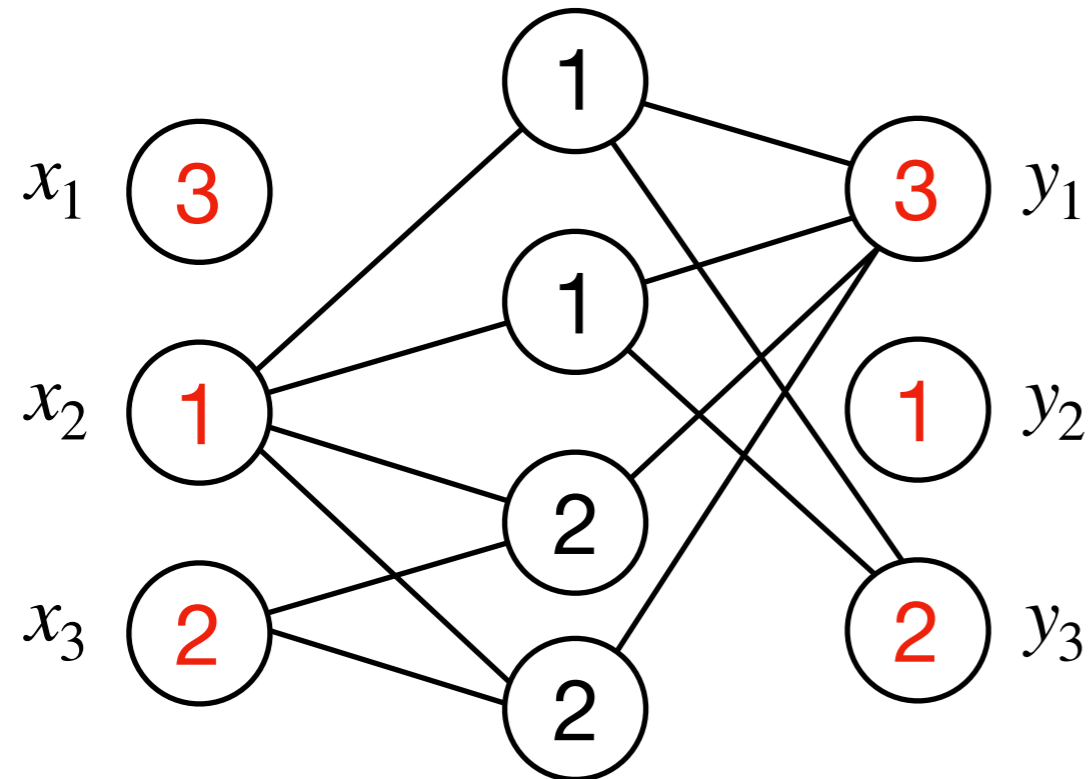
$$\hat{x}_1 \sim y_1 \approx p(x_1 | x_2, x_3)$$

$$\hat{x}_2 \sim y_2 \approx p(x_2)$$

$$\hat{x}_3 \sim y_3 \approx p(x_3 | x_2)$$

# MADE (skip)

## Masked auto-encoder for density estimation



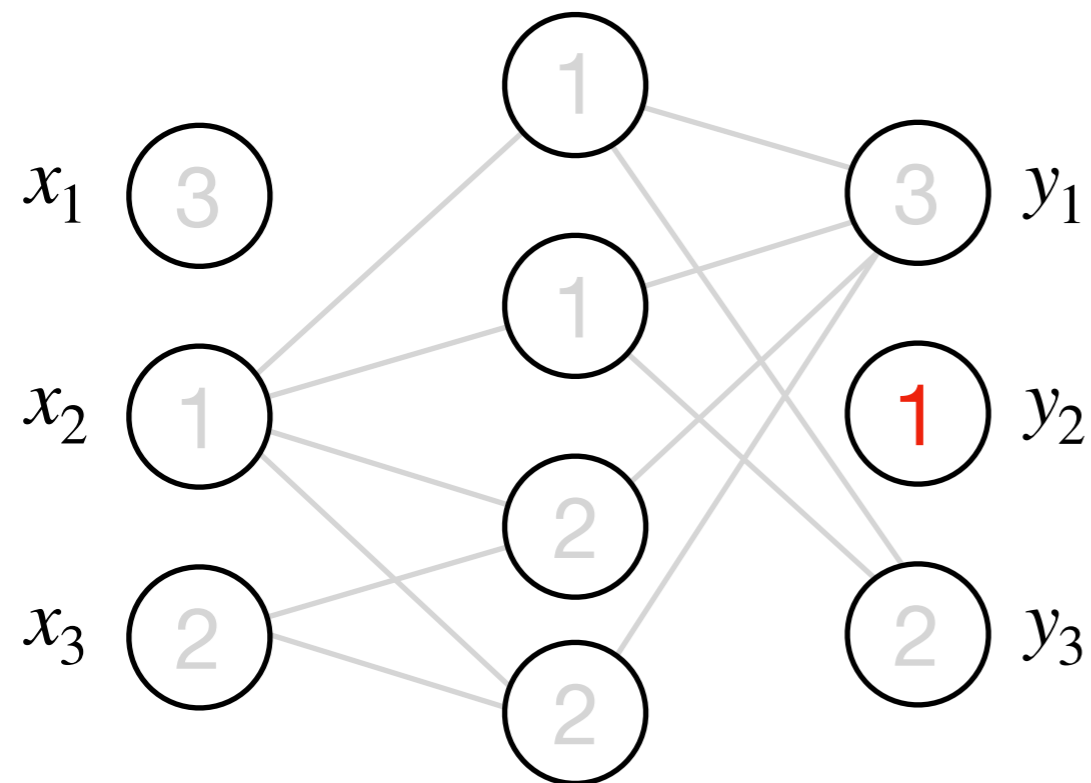
$$\hat{x}_1 \sim y_1 \approx p(x_1 | x_2, x_3)$$

$$\hat{x}_2 \sim y_2 \approx p(x_2)$$

$$\hat{x}_3 \sim y_3 \approx p(x_3 | x_2)$$

# MADE (skip)

## Masked auto-encoder for density estimation



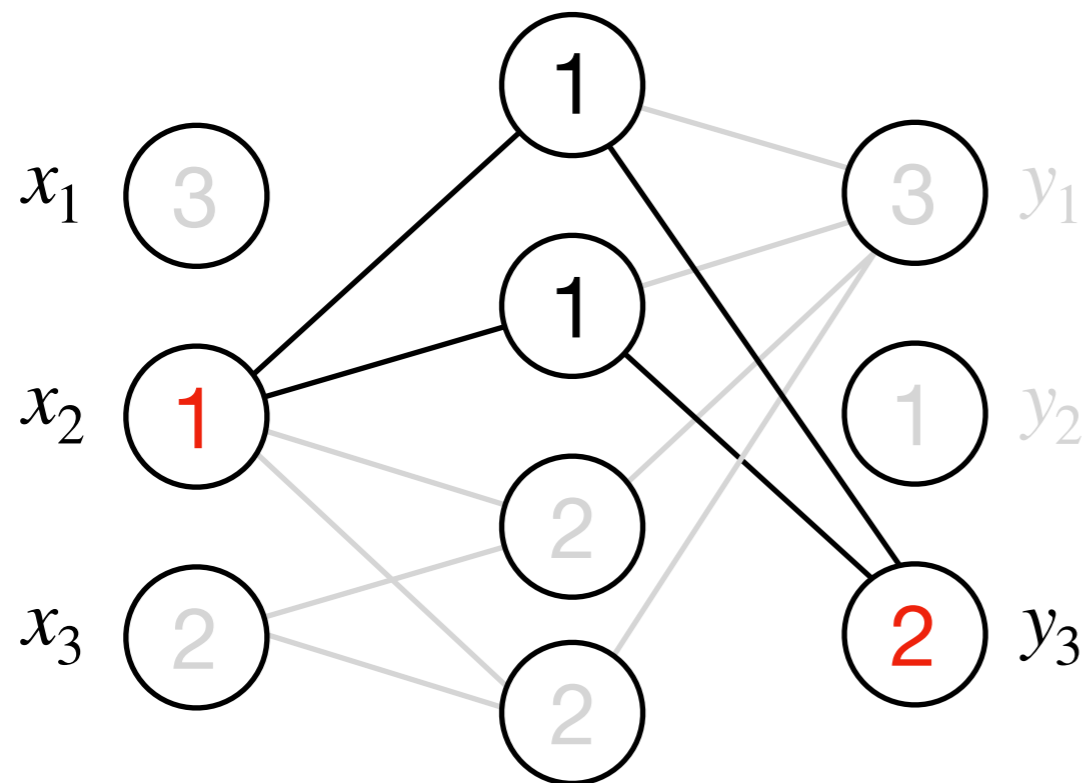
$$\hat{x}_1 \sim y_1 \approx p(x_1 | x_2, x_3)$$

$$\hat{x}_2 \sim y_2 \approx p(x_2)$$

$$\hat{x}_3 \sim y_3 \approx p(x_3 | x_2)$$

# MADE (skip)

## Masked auto-encoder for density estimation



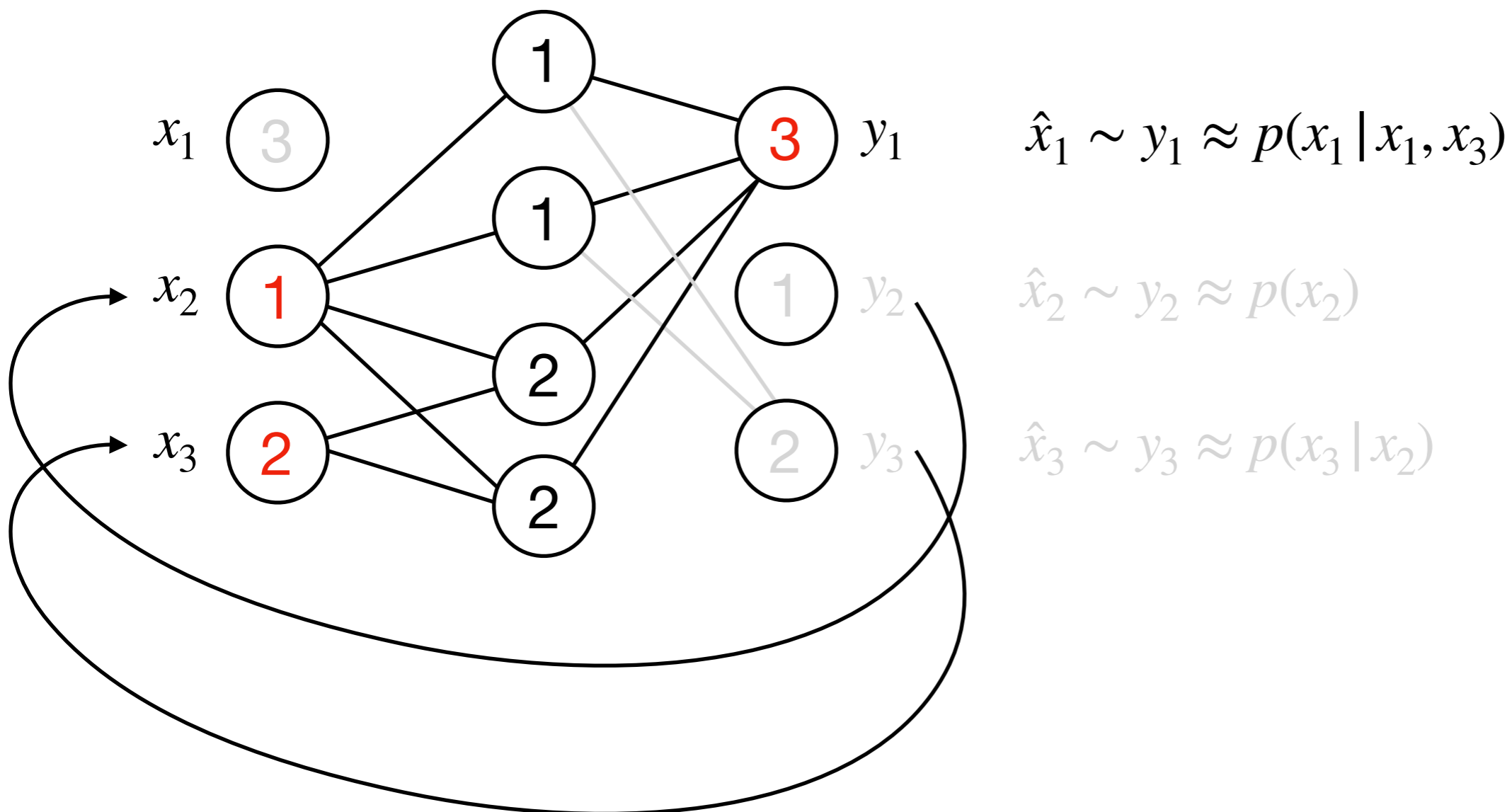
$$\hat{x}_1 \sim y_1 \approx p(x_1 | x_2, x_3)$$

$$\hat{x}_2 \sim y_2 \approx p(x_2)$$

$$\hat{x}_3 \sim y_3 \approx p(x_3 | x_2)$$

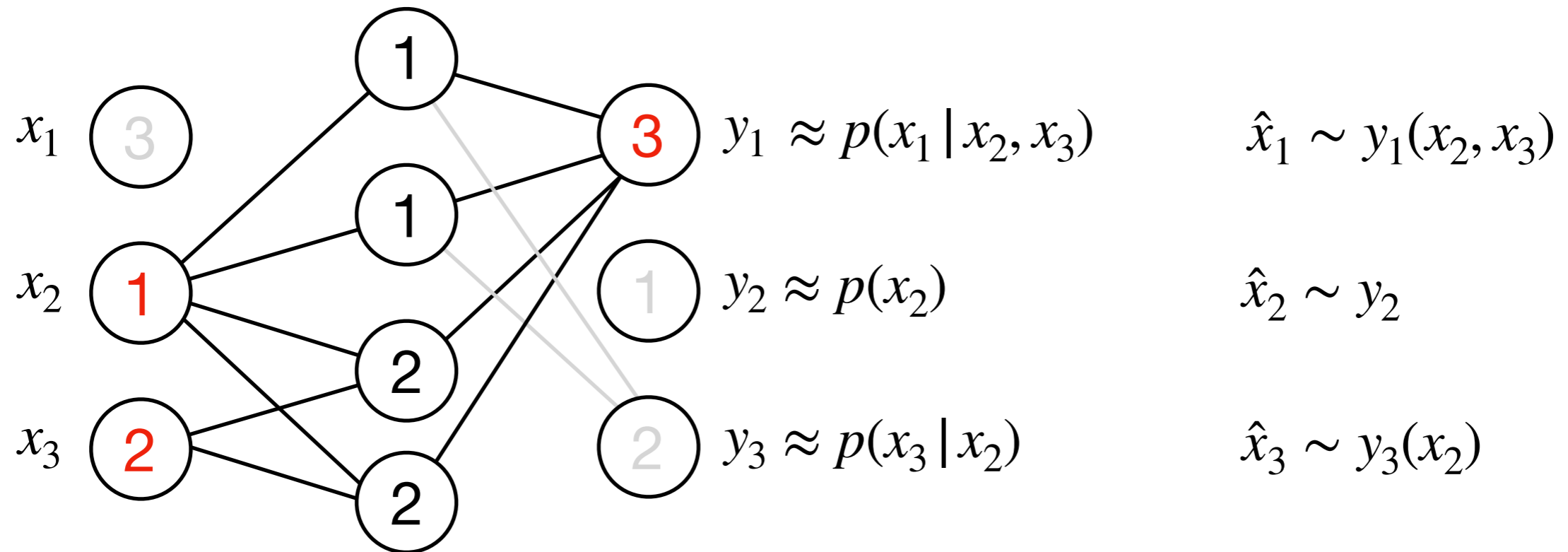
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We can draw a set of sample  $(\hat{x}_1, \hat{x}_2, \hat{x}_3)$  from  $p_\phi(x_1, x_2, x_3)$  where  $\phi$  is network param.