

# Chiral susceptibility and axial U(1) anomaly near the (pseudo-)critical temperature



Hidenori Fukaya (Osaka U.)

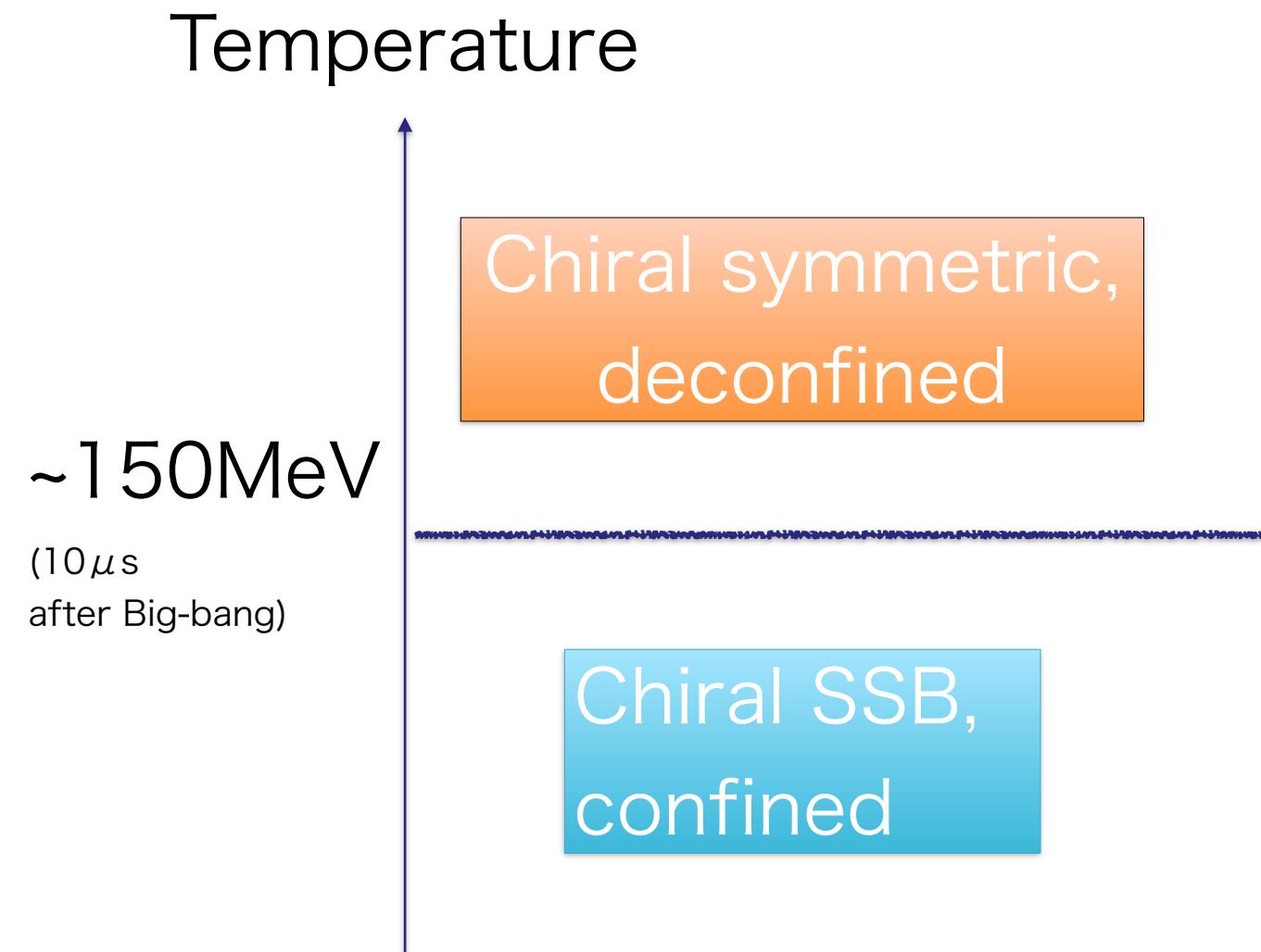
for JLQCD collaboration

[S. Aoki, Y. Aoki, HF, S. Hashimoto, I.Kanamori,  
T. Kaneko, Y. Nakamura, K. Suzuki and D. Ward ]

Updates from

S. Aoki, Y. Aoki, HF, S. Hashimoto, C. Rohrhofer, K. Suzuki,  
PTEP 2022 (2022) 2, 023B05 [[2103.05954](#) [hep-lat]]

# QCD phase transition



Chiral condensate (at  $m=0$ ) probes  $SU(2)_L \times SU(2)_R$  symmetry breaking/  
restoration :

For  $T > T_c$ ,  $\langle \bar{q}q \rangle = 0$

For  $T < T_c$ ,  $\langle \bar{q}q \rangle \neq 0$

# Chiral susceptibility

QCD partition function

$A$  : gluon fields

$$Z(m) = \int [dA] \det(D(A) + m)^{N_f} e^{-S_G(A)}$$

chiral condensate

$$-\langle \bar{q}q \rangle = \frac{1}{N_f V} \frac{\partial}{\partial m} \ln Z(m)$$

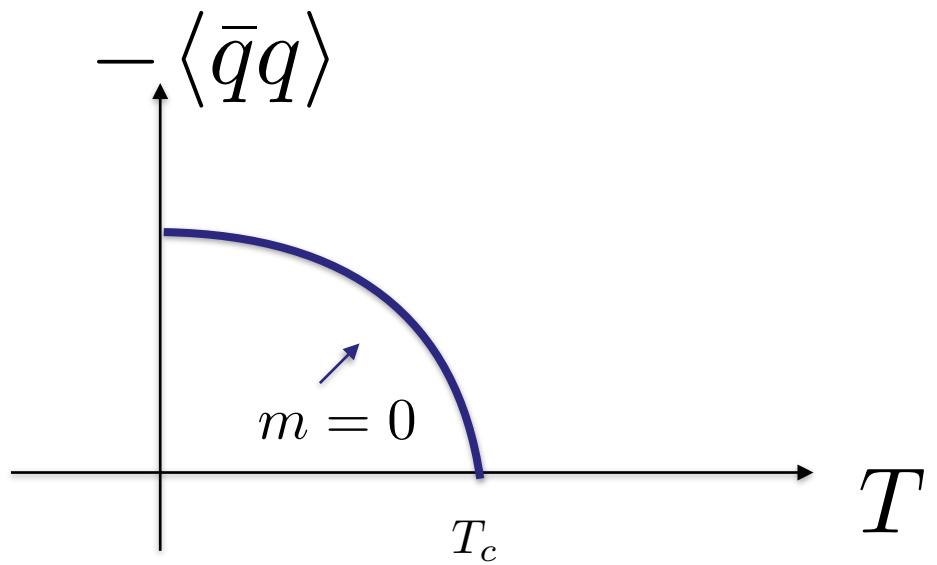
chiral susceptibility

$$\chi(m) = -\frac{\partial}{\partial m} \langle \bar{q}q \rangle(m)$$

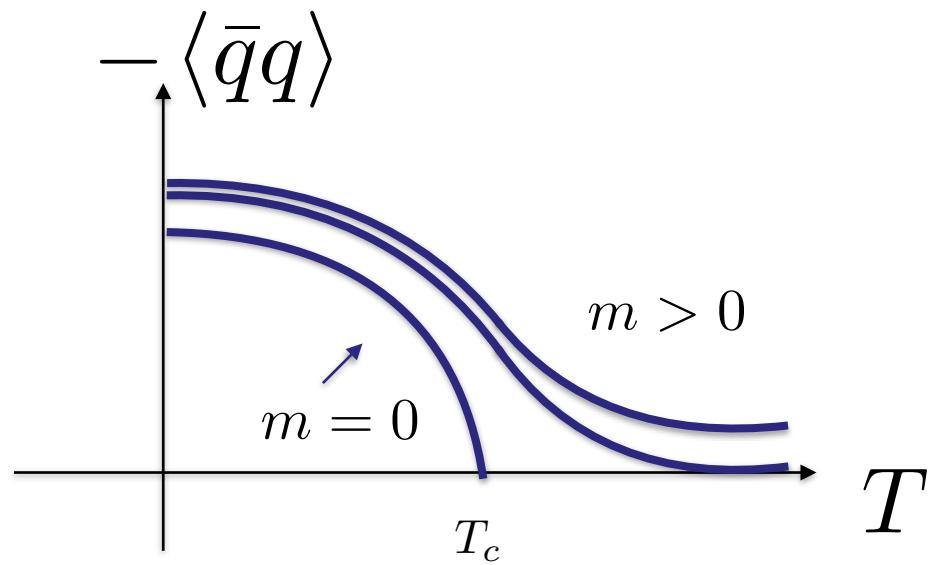
In this talk,  $N_f = 2$  ( $m_u = m_d = m$ )

\* strange quark is just a spectator.

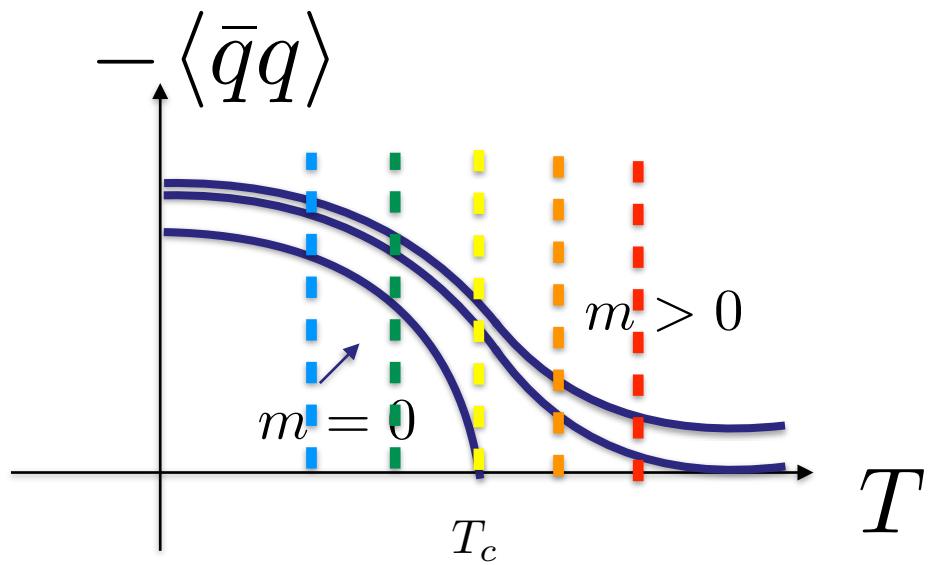
# Temperature( $T$ ) and mass( $m$ ) dependence



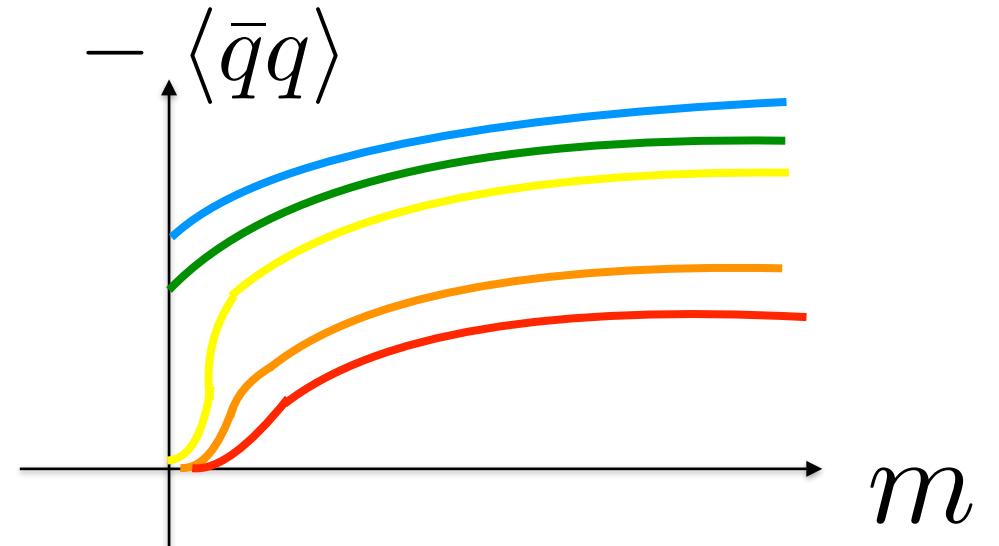
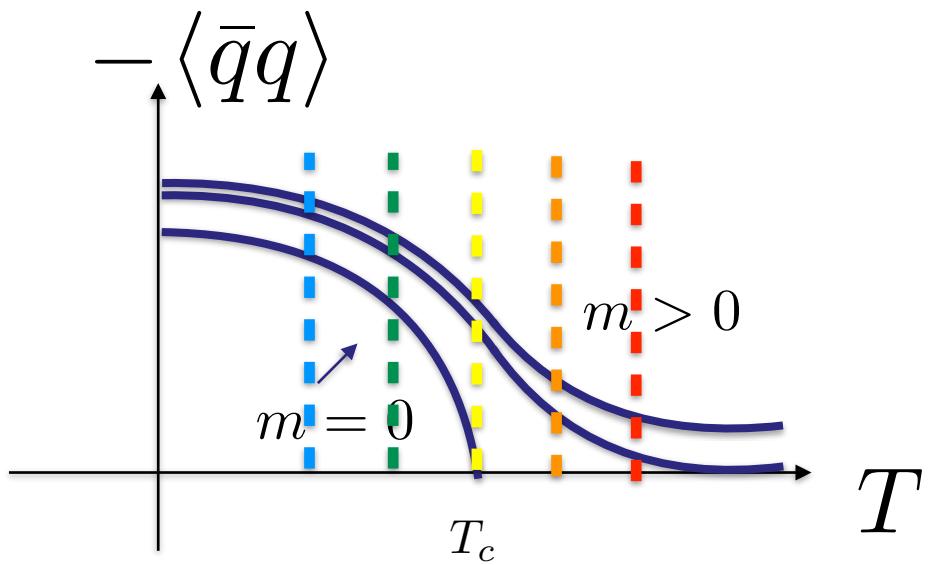
# Temperature(T) and mass(m) dependence



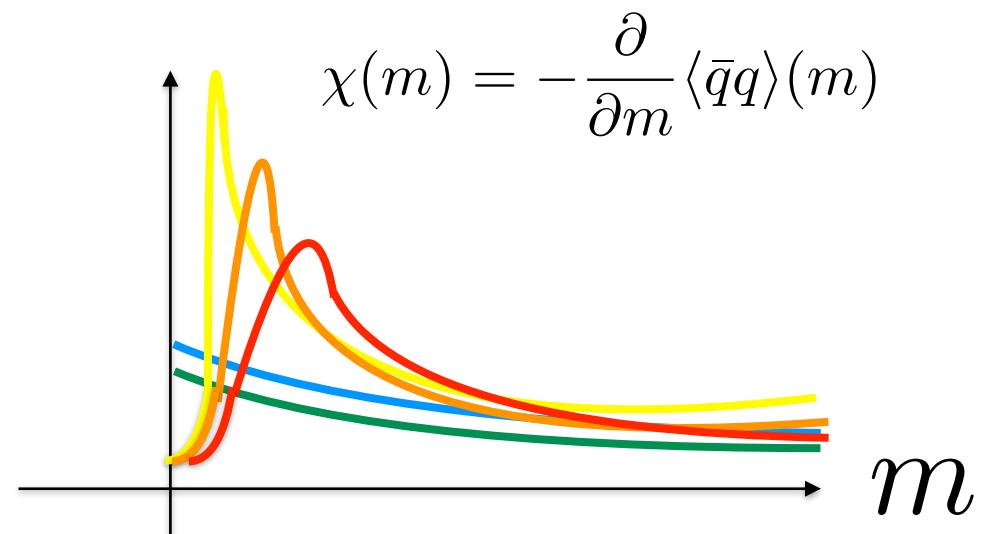
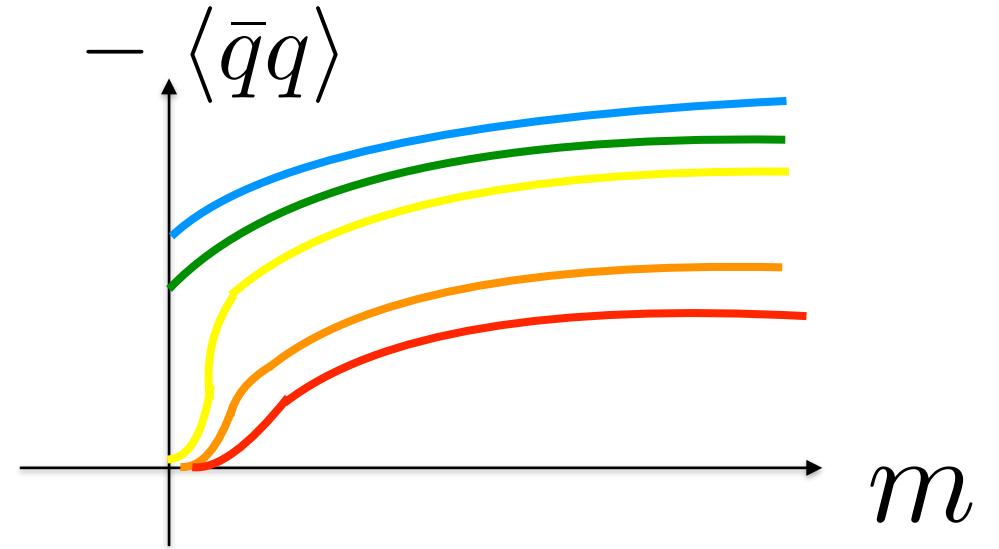
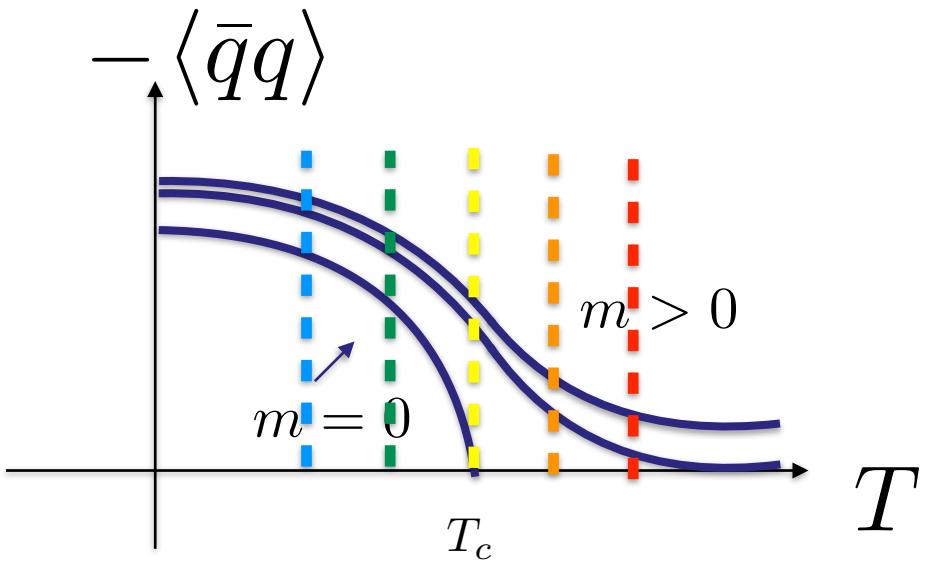
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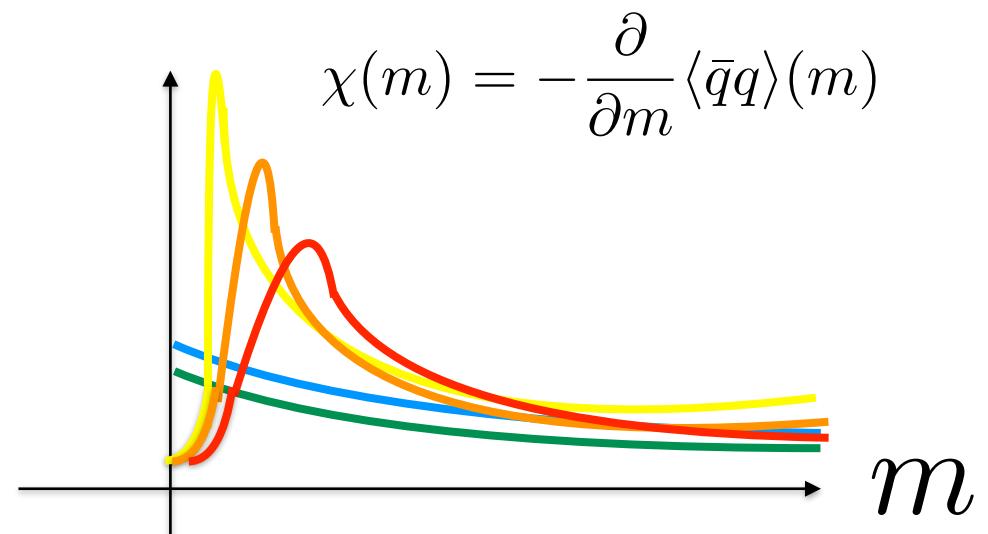
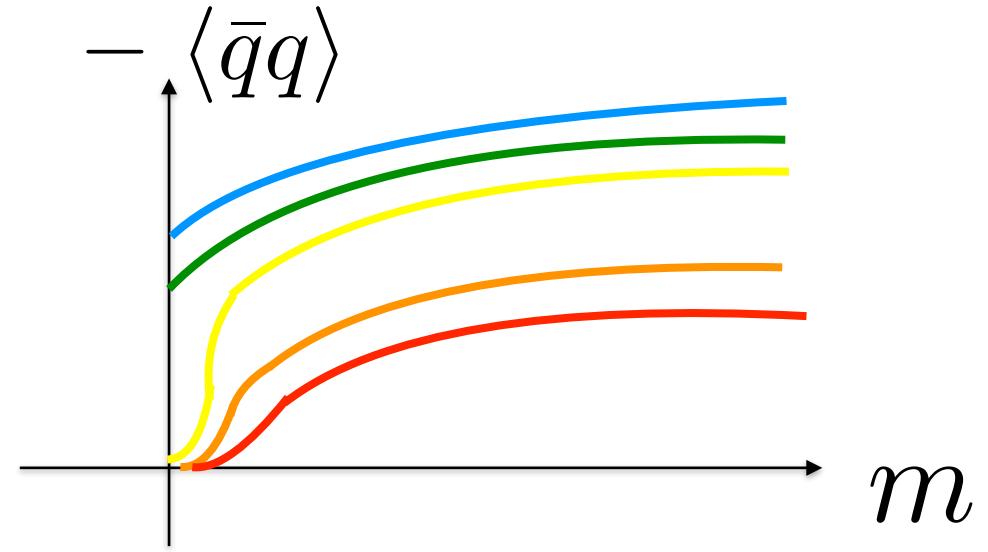
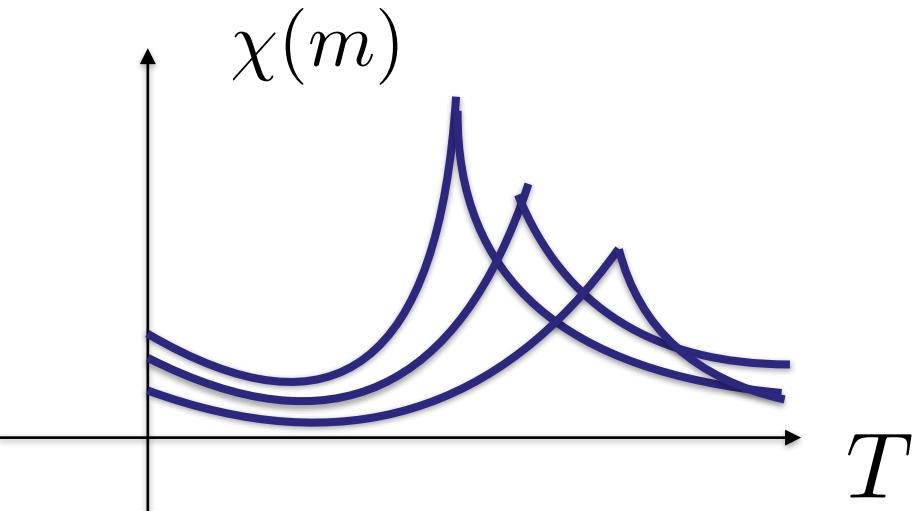
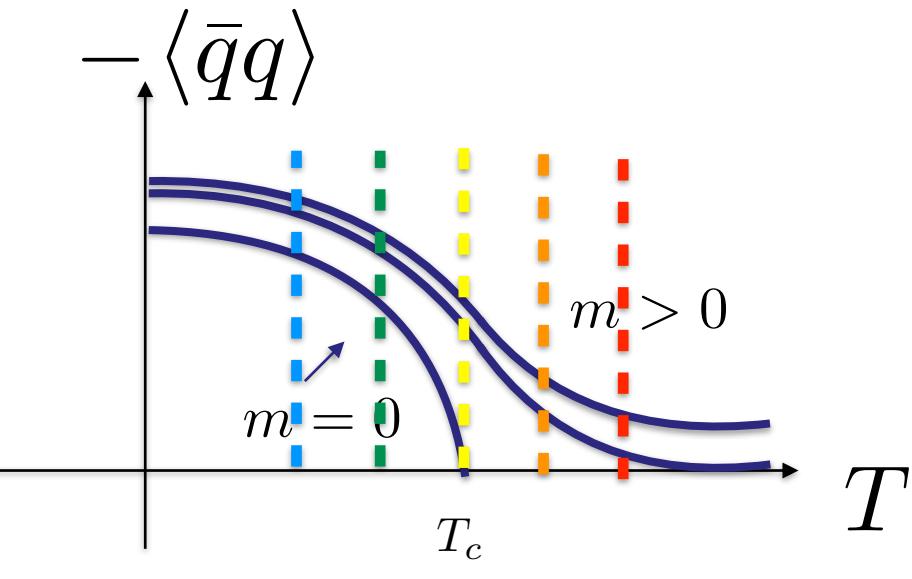
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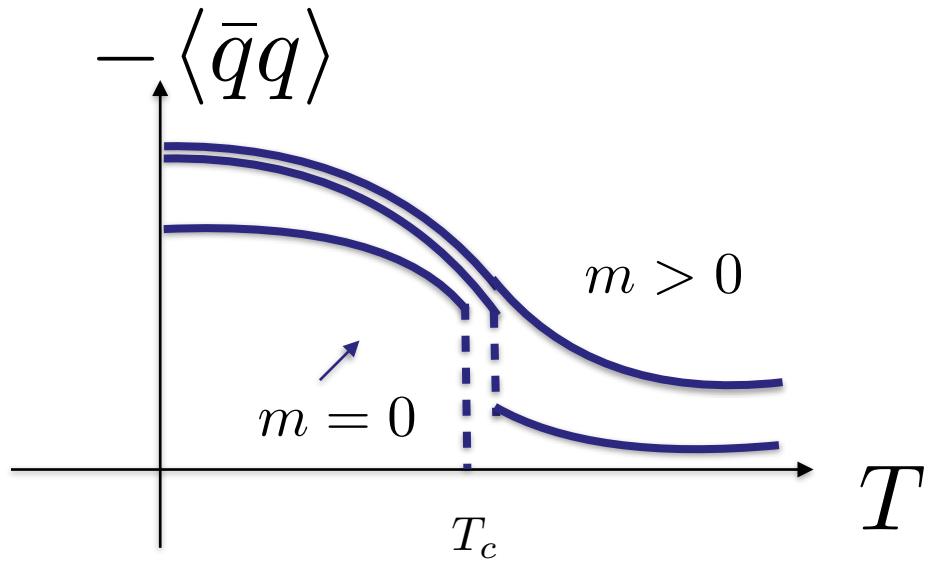


# Temperature( $T$ ) and mass( $m$ ) dependence



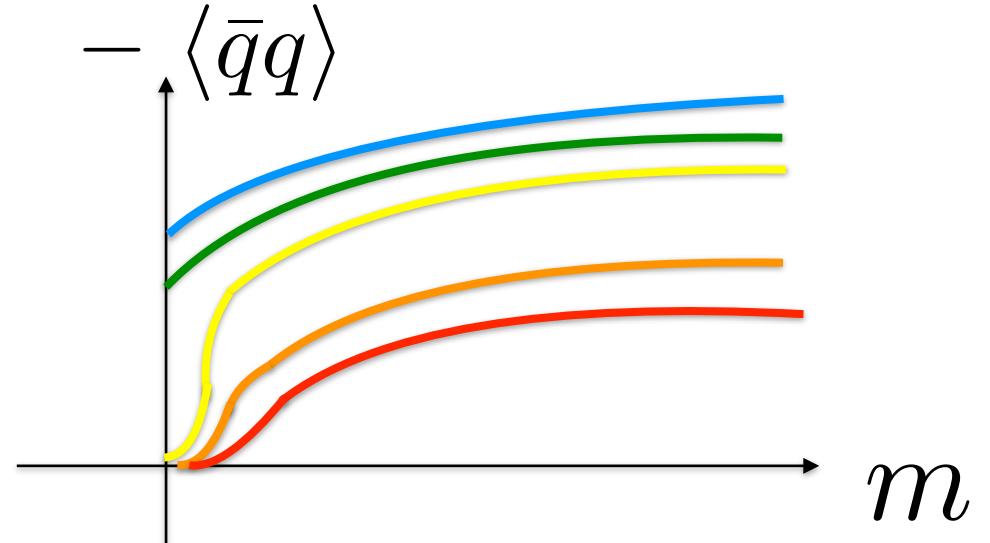
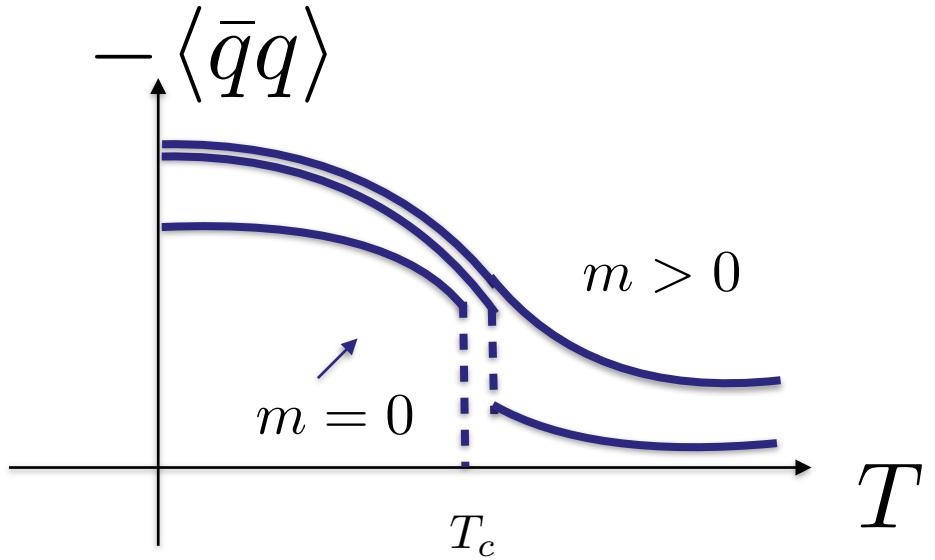
# When the transition is 1st order

\* But finite V effect makes the transition not sharp.



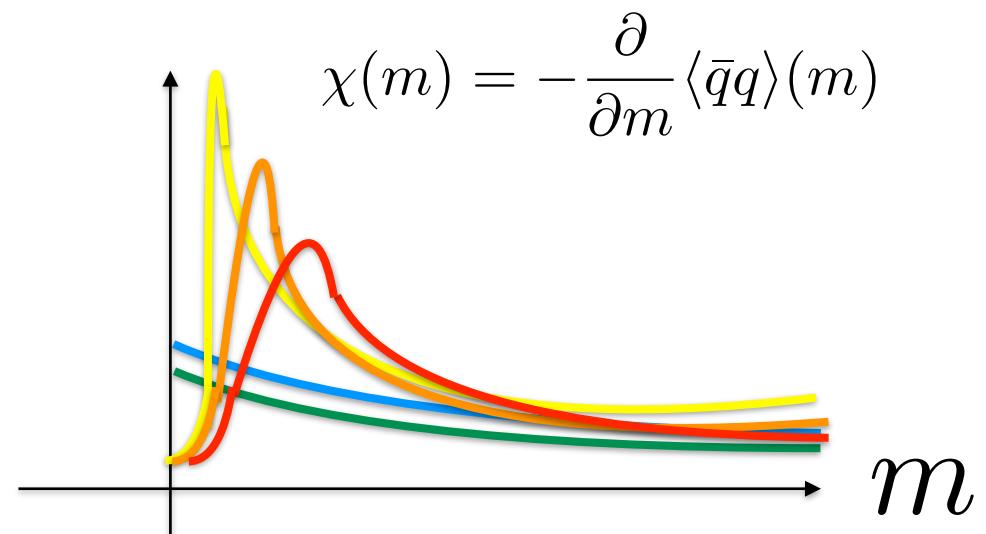
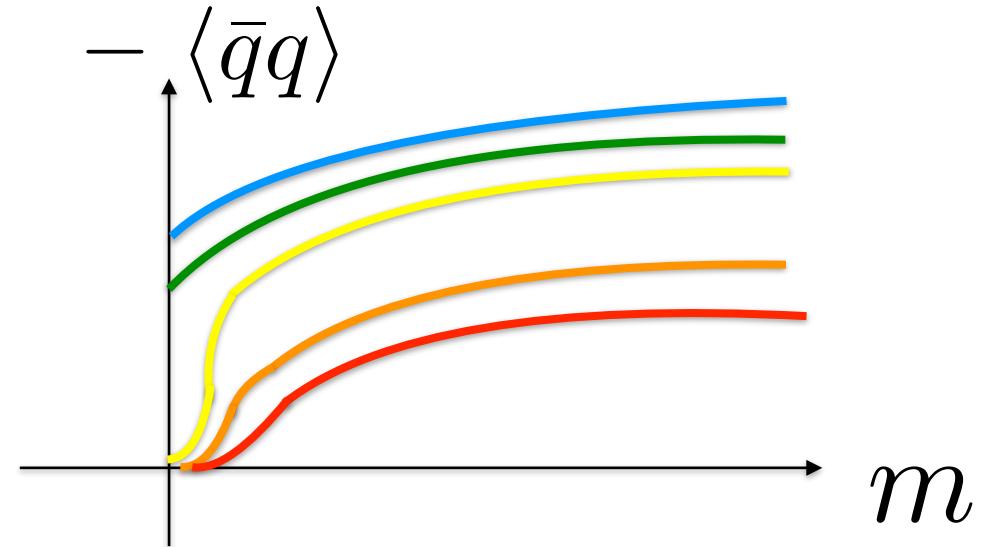
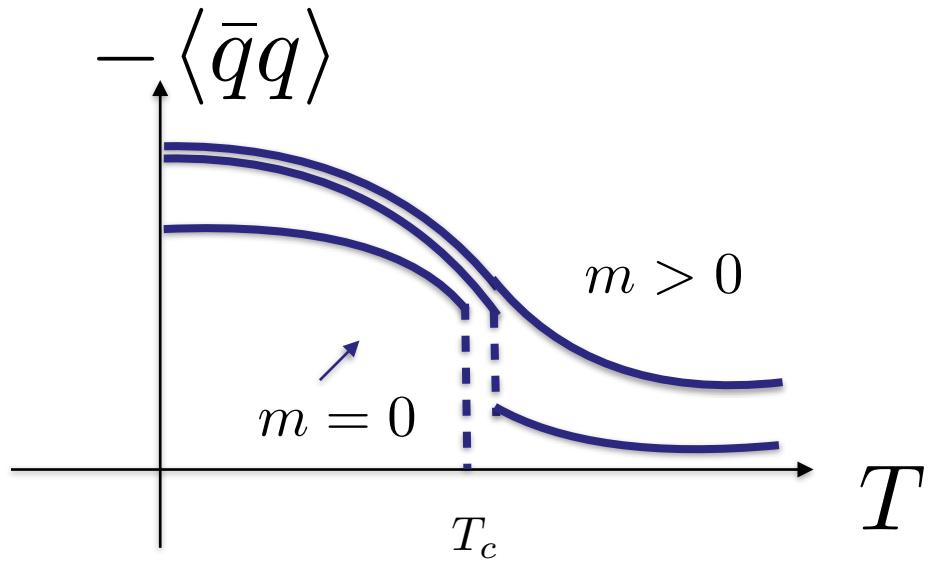
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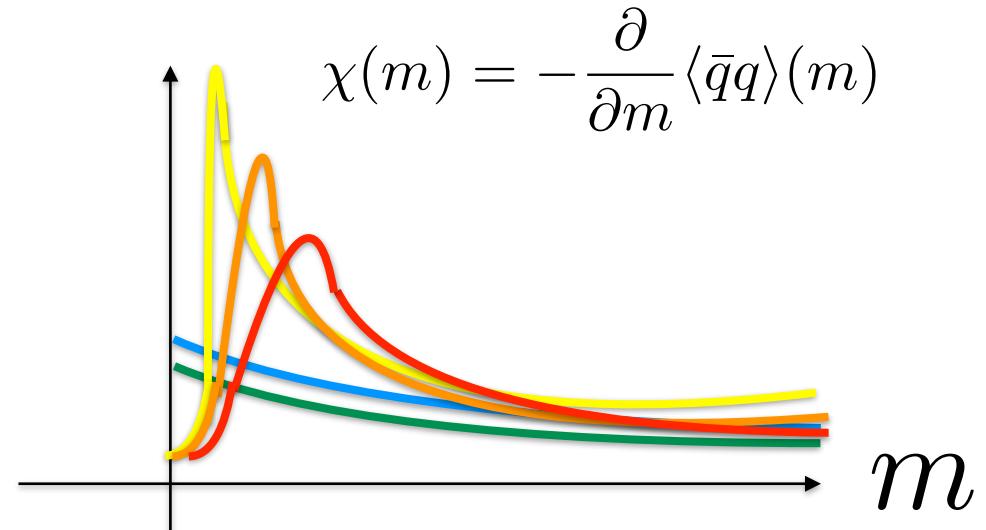
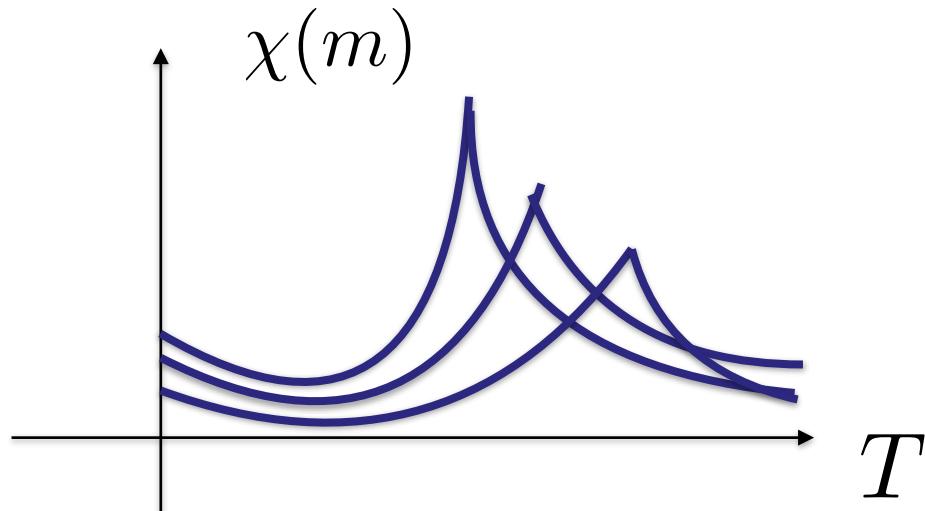
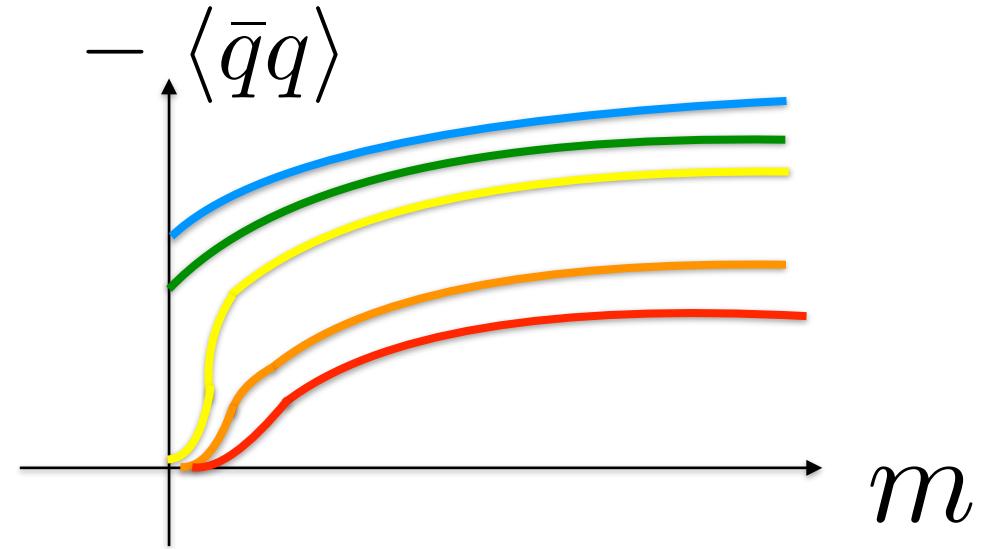
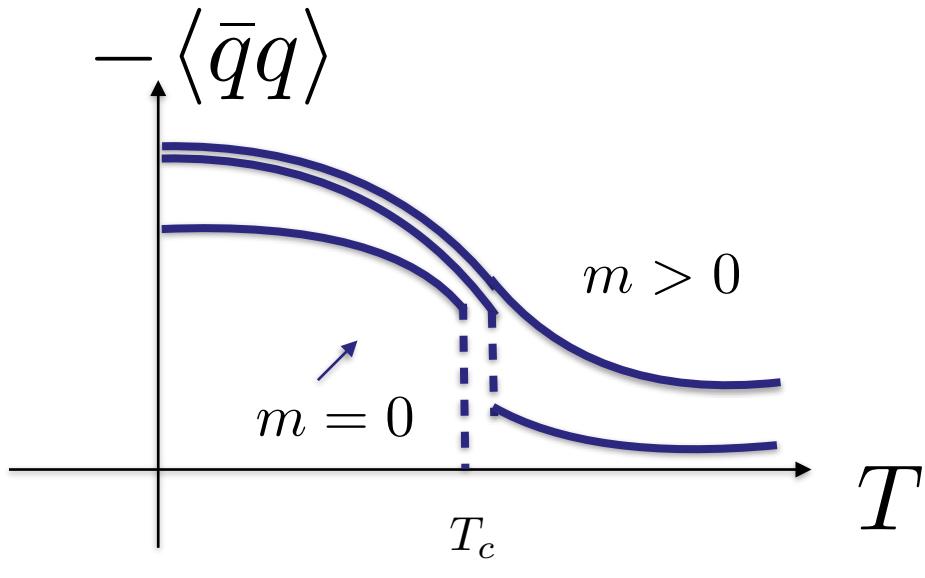
# When the transition is 1st order

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# When the transition is 1st order

\* But finite V effect makes the transition not sharp.



# Chiral phase transition

Chiral condensate probes

$SU(2)_L \times SU(2)_R$  symmetry breaking/restoration :

For  $T < T_c$ ,  $\langle \bar{q}q \rangle \neq 0$

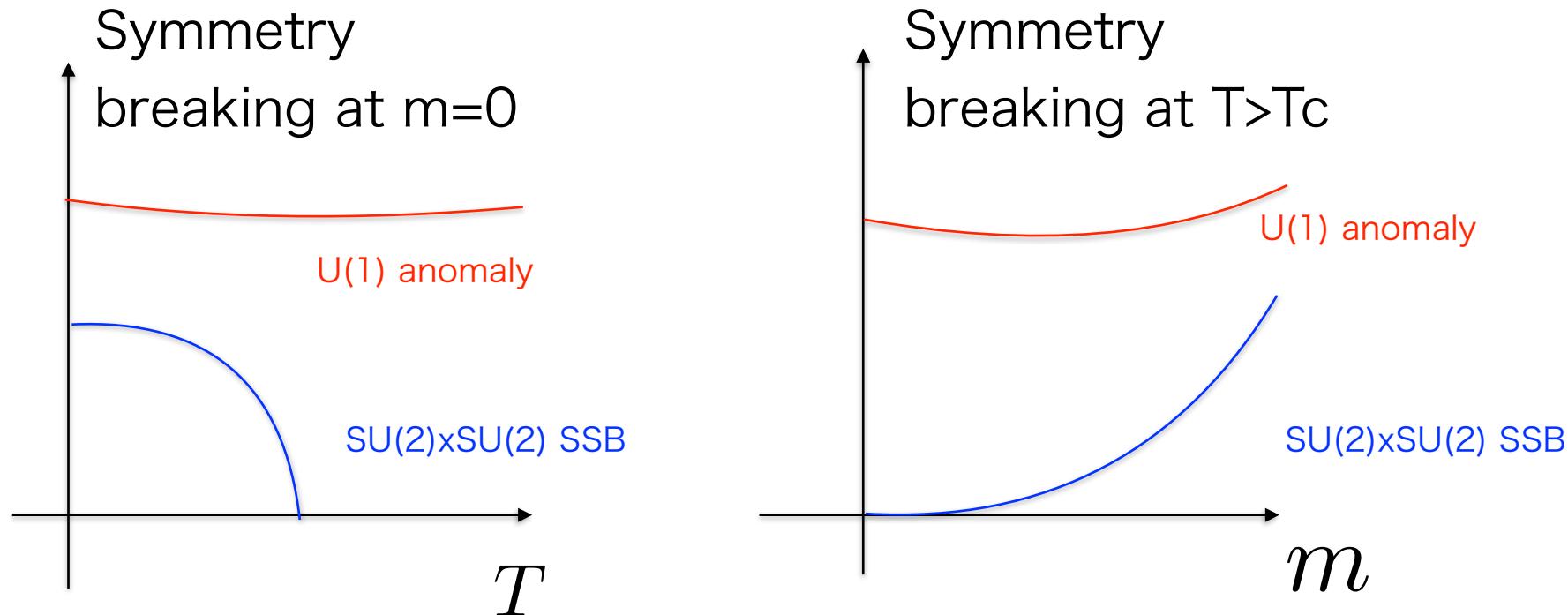
For  $T > T_c$ ,  $\langle \bar{q}q \rangle = 0$

But  $\langle \bar{q}q \rangle$  also breaks  $U(1)_A$  symmetry.

Question:

How much does  $U(1)_A$  (anomaly) contribute to the transition?

# Naive expectation: U(1) anomaly exists at any energy scale (does not change much)



You may think that  $T$  and  $m$  dependences of chiral condensate should reflect  $SU(2)_L \times SU(2)_R$  breaking rather than U(1) anomaly.

# But in early days of QCD

QCD founders in 70's and 80's thought

instanton  $\rightarrow$  axial U(1) anomaly  $\rightarrow$  SU(2)xSU(2) breaking.

Callan, Dashen & Gross 1978:

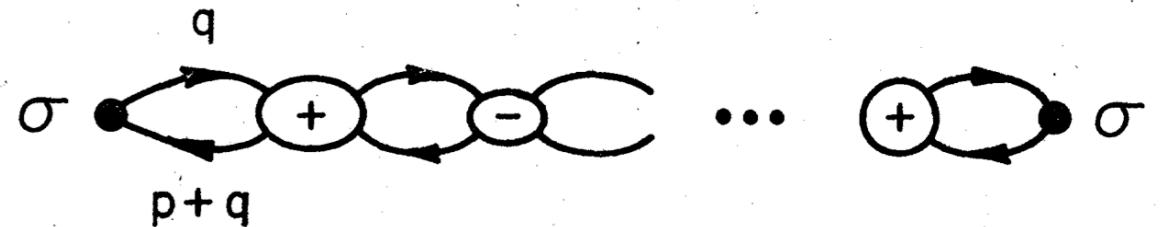


FIG. 9. The structure of the diagrams that produce a tachyon in the  $\sigma$  channel. The + (-) blobs refer to the effective determinantal four-fermion interaction induced by instantons (anti-instantons).

If this inverse is true, we should have

instanton disappears  $\rightarrow$  anomaly disappears  $\rightarrow$  SU(2)xSU(2) restored.

# It has been difficult issue.

Analytic method:

Semi-classical QCD instantons are not enough to describe the low-energy dynamics of QCD.

Lattice simulations :

Staggered fermions **explicitly breaks**

$$SU(2)_L \times SU(2)_R \times U(1)_A \rightarrow U(1)_A'$$

Wilson fermion **explicitly breaks**

$$SU(2)_L \times SU(2)_R \times U(1)_A \rightarrow SU(2)_V$$

Moreover, we found that  
lattice artifacts are enhanced at  
high temperature  
(even for domain-wall fermions)  
[JLQCD 2015, 2016]

# Our work

In this work we study chiral condensate and its susceptibility in 2- and 2+1-flavor QCD with chiral symmetric Dirac operator.

We separate the axial U(1) breaking (in particular topological ) effect from others in a clean way.

Our result shows that signal of chiral susceptibility is dominated by axial U(1) breaking effect (at  $T >= T_c$ ), rather than  $SU(2)_L \times SU(2)_R$ .

# Other finite T talks by JLQCD members

K. Suzuki (Mon): axial U(1) anomaly

D. Ward(Mon) : meson screening mass and symmetries

J. Goswami(Mon) :Quark number susceptibility

Y. Zhang(Tue) : 3-flavor QCD phase transition

# Dirac eigenmode decomposition

$$Z(m) = \int [dA] \det(D(A) + m)^{N_f} e^{-S_G(A)} = \int [dA] \prod_{\lambda} (i\underline{\lambda}(A) + m)^{N_f} e^{-S_G(A)}$$

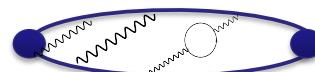
O(100) eigenvalues can be computed on the lattice.

$$-\langle \bar{q}q \rangle = \frac{1}{N_f V} \frac{\partial}{\partial m} \ln Z(m) = \frac{1}{V} \left\langle \sum_{\lambda} \frac{1}{i\lambda(A) + m} \right\rangle,$$

chiral susceptibility

$$\chi(m) = \frac{1}{N_f V} \frac{\partial^2}{\partial m^2} \ln Z(m) = \chi^{con.}(m) + \chi^{dis.}(m),$$

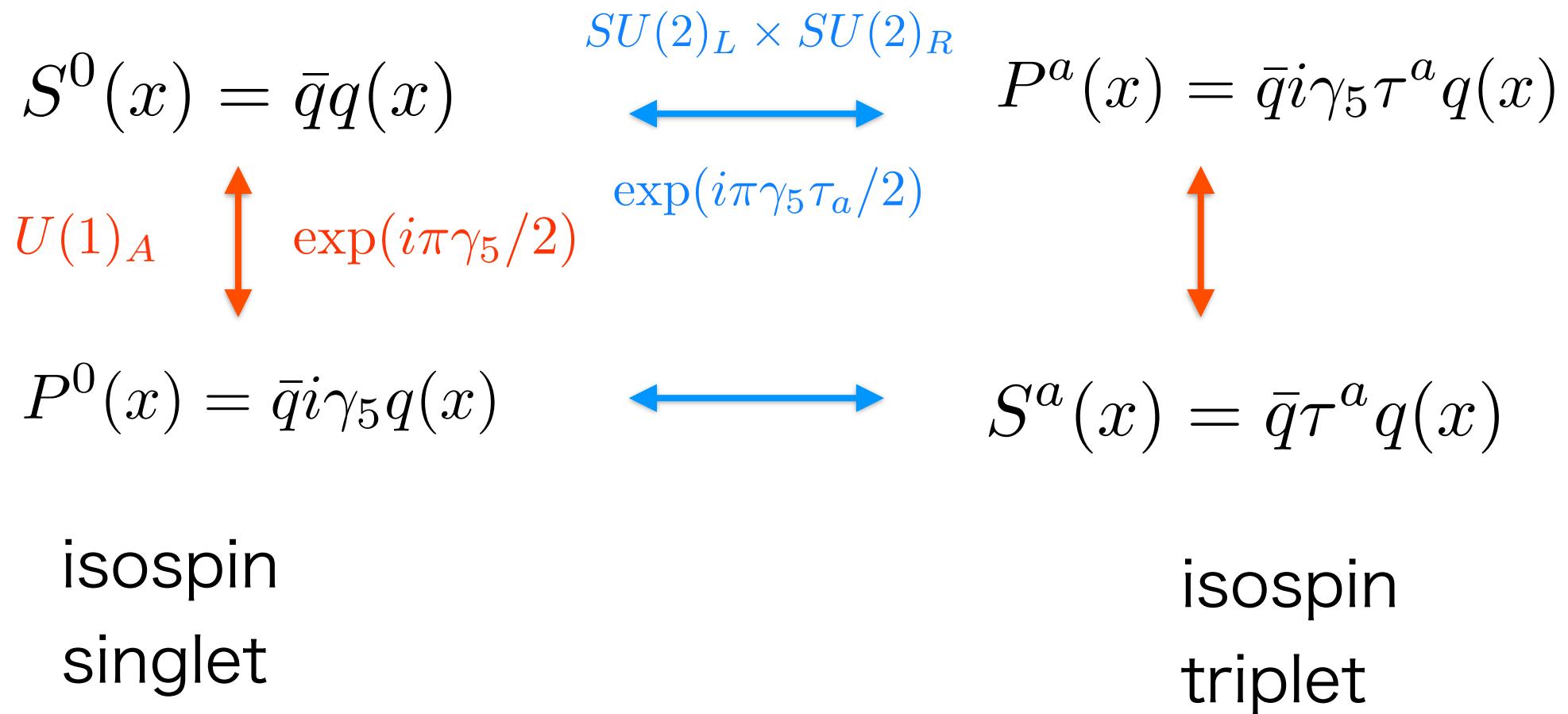
$$\chi^{con.}(m) = - \left. \frac{\partial}{\partial m_{valence}} \langle \bar{q}q \rangle \right|_{m_{valence}=m}$$



$$\chi^{dis.}(m) = - \left. \frac{\partial}{\partial m_{sea}} \langle \bar{q}q \rangle \right|_{m_{sea}=m}$$



# Chiral rotations (with angle $\pi$ )



# Relation to scalar susceptibility

$$L_{\text{QCD}} = \left[ \frac{1}{4} \text{Tr} F_{\mu\nu} F^{\mu\nu} + \bar{q} (\gamma^\mu (\partial_\mu - igA_\mu) + m) q \right]$$

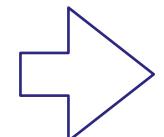
$$\chi(m) = \frac{1}{N_f V} \frac{\partial^2}{\partial m^2} \ln Z(m, \theta = 0)$$

$$= - \sum_x \langle S^0(x) S^0(0) \rangle - V \langle S^0 \rangle^2 \quad S^0(x) = \bar{q} q(x)$$

# Relation to pseudoscalar susceptibility

$$\begin{aligned} Z(m, \theta) &= \int [dA] \det(D(A) + m)^{N_f} e^{-S_G(A) + i\theta Q(A)} \\ &= \int [dA] \det(D(A) + m e^{i\gamma_5 \theta / N_f})^{N_f} e^{-S_G(A)} \quad \leftarrow \text{U(1)<sub>A</sub> rotation} \end{aligned}$$

$$\chi_{\text{top.}}(m) = -\frac{1}{N_f V} \frac{\partial^2}{\partial \theta^2} \ln Z(m, \theta) |_{\theta=0} = m \left[ \frac{\partial}{\partial \theta} \langle \bar{q} i \gamma_5 e^{i\gamma_5 \theta / N_f} q \rangle \right] |_{\theta=0}$$



$$\frac{N_f}{m^2} \chi_{\text{top.}}(m) = - \sum_x \langle P^0(x) P^0(0) \rangle - \frac{\langle \bar{q} q \rangle(m)}{m}. \quad P^0(x) = \bar{q} i \gamma_5 q(x)$$
$$*N_f = 2$$

# Connected/disconnected pseudoscalar susceptibilities

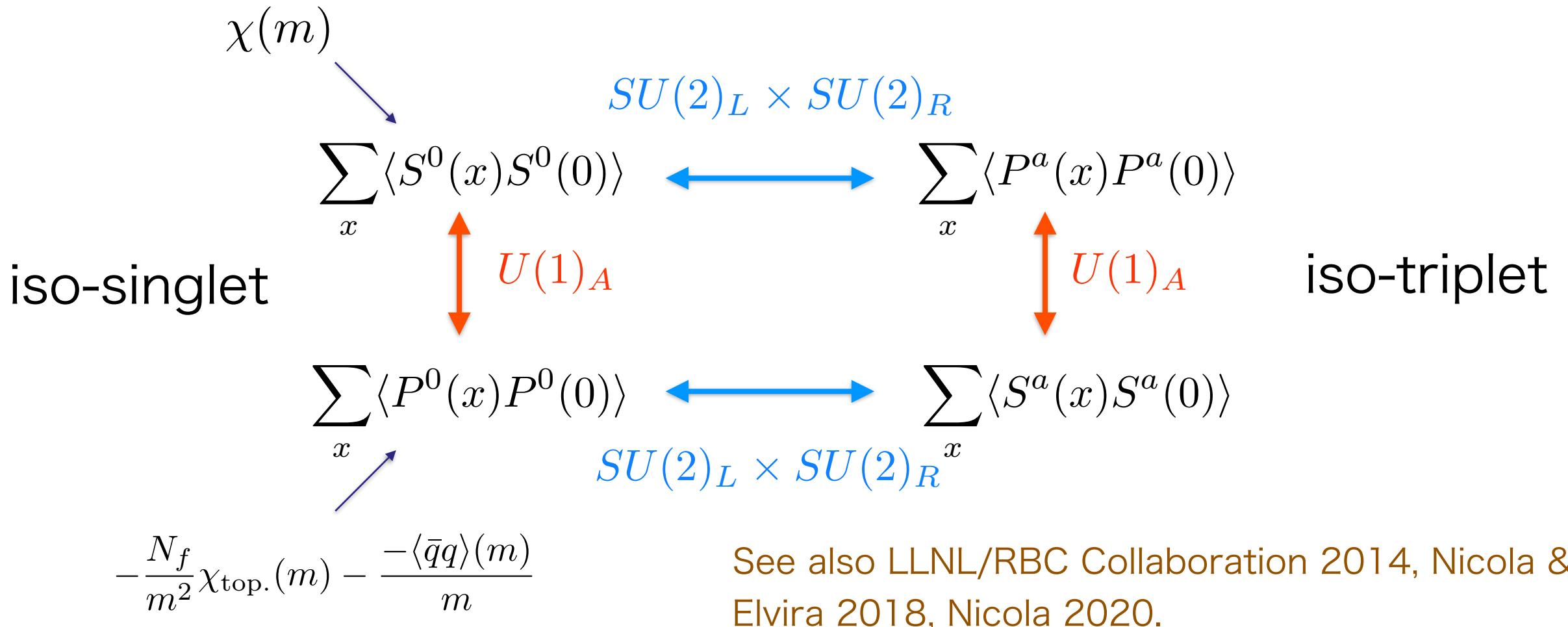
From a Ward-Takahashi identity  $0 = \langle \delta_{SU(2)}^a P^a(0) \rangle - \langle \delta_{SU(2)}^a S P^a(0) \rangle$ , we have

$$m \sum_x \langle P^a(x) P^a(0) \rangle + \langle S^0 \rangle = 0.$$

Therefore,

$$\begin{aligned} \frac{N_f}{m^2} \chi_{\text{top.}}(m) &= - \sum_x \langle P^0(x) P^0(0) \rangle - \frac{\langle S(0) \rangle}{m} \\ &= \sum_x \langle P^a(x) P^a(0) \rangle - \sum_x \langle P^0(x) P^0(0) \rangle \end{aligned}$$

# Symmetry structure of scalar/pseudoscalar susceptibilities



# Separating U(1)<sub>A</sub> breaking part

$$\chi(m) = \chi^{\text{con.}}(m) + \chi^{\text{dis.}}(m)$$

$$\chi^{\text{con.}}(m) = \underbrace{-\Delta_{U(1)}(m)}_{\text{U}(1)_A \text{ breaking contribution}} + \frac{\langle |Q(A)| \rangle}{m^2 V} - \underbrace{\frac{-\langle \bar{q}q \rangle_{\text{sub.}}(m)}{m}}_{\text{mixed}}$$

\* quadratic divergence is subtracted using the data at reference quark mass mref=0.005.

$$\chi^{\text{dis.}}(m) = \underbrace{\frac{N_f}{m^2} \chi_{\text{top.}}(m)}_{\text{SU}(2)\times\text{SU}(2) \text{ breaking}} + \underbrace{\Delta_{SU(2)}^{(1)}(m) - \Delta_{SU(2)}^{(2)}(m)}_{}$$

where  $\Delta_{U(1)}(m) \equiv \sum_x \langle P^a(x)P^a(0) - S^a(x)S^a(0) \rangle$  axial U(1) susceptibility

$$\Delta_{SU(2)}^{(1)}(m) \equiv \sum_x \langle S^0(x)S^0(0) - P^a(x)P^a(0) \rangle \quad \Delta_{SU(2)}^{(2)}(m) \equiv \sum_x \langle S^a(x)S^a(0) - P^0(x)P^0(0) \rangle$$

# Lattice formulas

Using

$$\lambda_m = \text{eigenvalues of } H_m = \gamma_5[(1-m)D_{ov} + m]$$

$$\Delta_{U(1)}(m) = \frac{1}{V(1-m^2)^2} \left\langle \sum_{\lambda_m} \frac{2m^2(1-\lambda_m^2)^2}{\lambda_m^4} \right\rangle,$$

$$-\langle \bar{q}q \rangle = \frac{1}{V(1-m^2)} \left\langle \sum_{\lambda_m} \frac{m(1-\lambda_m^2)}{\lambda_m^2} \right\rangle.$$

$$\chi^{\text{dis.}}(m) = \frac{N_f}{V} \left[ \frac{1}{(1-m^2)^2} \left\langle \left( \sum_{\lambda_m} \frac{m(1-\lambda_m^2)}{\lambda_m^2} \right)^2 \right\rangle - |\langle \bar{q}q \rangle^{\text{lat}}|^2 V^2 \right].$$

Remark.1 eigen functions do not matter.

Remark.2 chiral symmetry is essential for this decomposition.

# Simulation setup (Nf=2)

Nf=2 flavor QCD

$1/a = 2.6 \text{ GeV} (0.075\text{fm})$

Symanzik gauge action

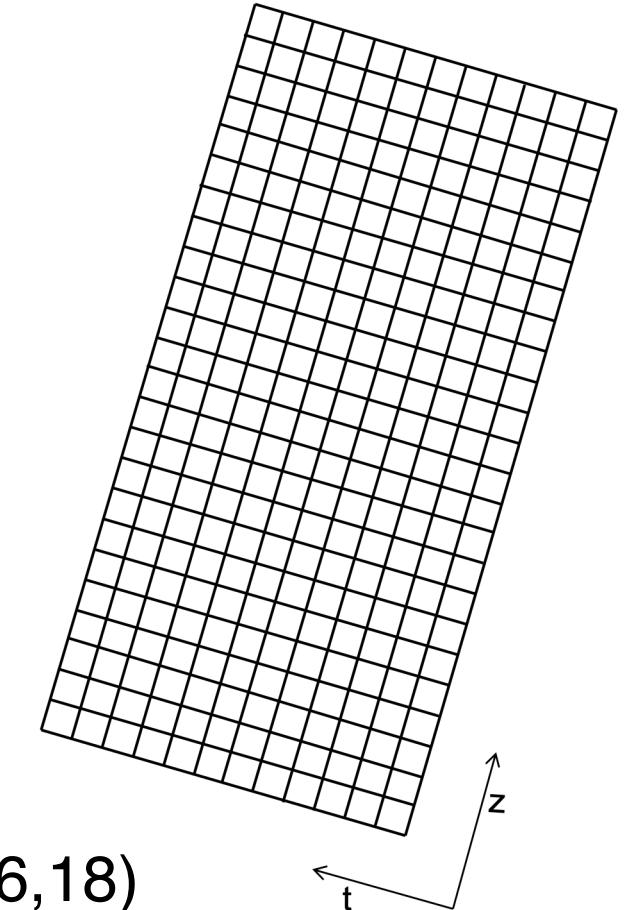
$L=24,32,40,48$  [1.8-3.6fm] (at  $T=220\text{MeV}$ )

Mobius domain-wall fermions with  $m_{\text{res}} < 1\text{MeV}$   
(and reweighted overlap fermion)

Quark mass from 3MeV (< phys. pt. ~4MeV) to 30MeV.

$T=147, 165 (\sim T_c), 195, 220, 260, 330 \text{ MeV}$  ( $Lt=8,10,12,14,16,18$ )

$T_c$  is estimated to be around 175MeV (from Polyakov loop)



**Simulation codes :** Irolro++ (<https://github.com/coppolachan/Irolro>)

Grid (<https://github.com/paboyle/Grid>)

Bridge++(<https://bridge.kek.jp/Lattice-code/>)

# Simulation setup ( $N_f=2+1$ )

$N_f=2+1$  flavor QCD

$1/a = 2.453 \text{ GeV}$

$L=32$  (2.58 fm), 40 (3.22 fm), 48(3.9fm)

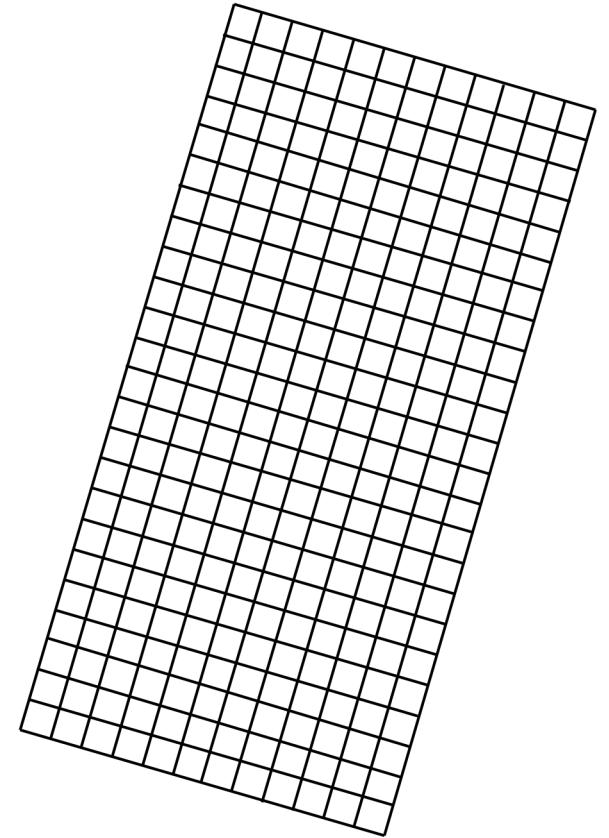
Möbius domain-wall fermion with  $m_{\text{res}} < 1 \text{ MeV}$   
(and reweighted overlap fermion)

up-down quark mass from

phys. pt.  $\sim 4 \text{ MeV}$  to 30MeV.

strange quark mass at phys.pt.

$T=136, 153(\sim T_c), 175, 220 \text{ MeV}$

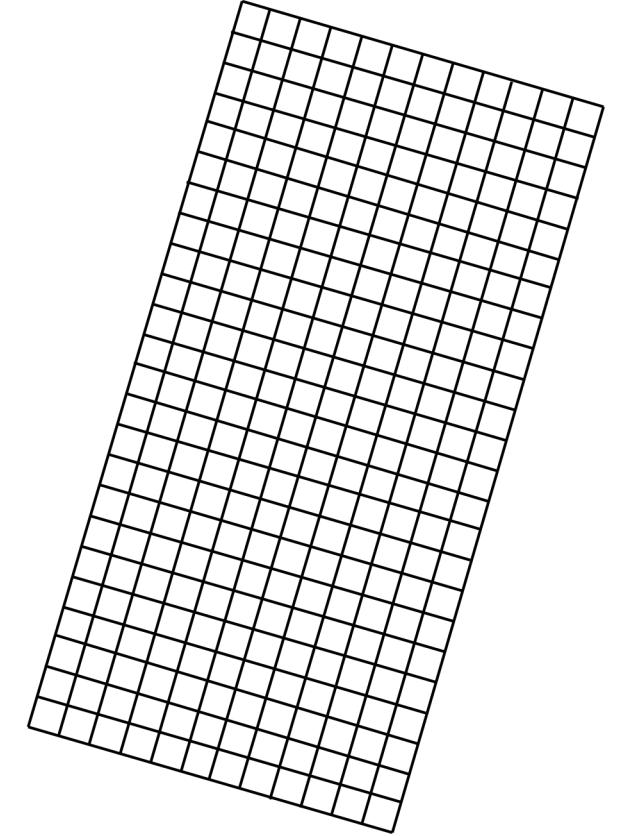


# Mass reweighting

For the data below the physical points, we perform the mass reweighting.

Nf=2 :  $m=0.0002$ (1/5 physical mass),  
0.0005, 0.0015 from  $m=0.001$

Nf=2+1:  $m=0.001$ (1/2 physical mass)  
from  $m=0.002$



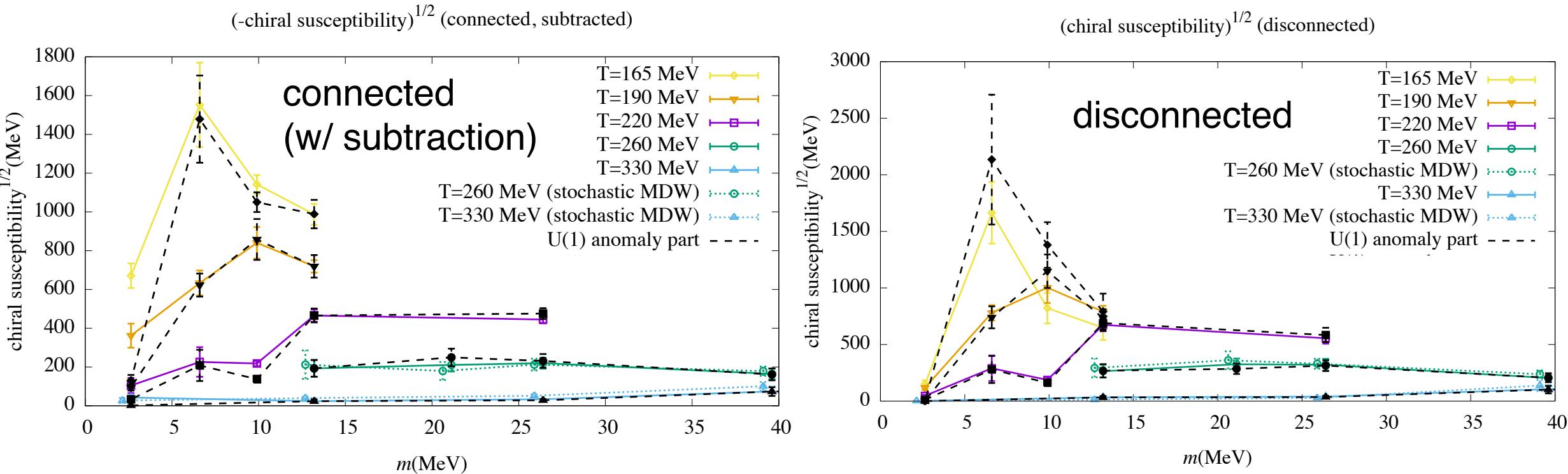
# Computational resources

- **Fugaku** (hp200130, hp210165, hp210231, hp220279)
- **Oakforest-PACS** [JCAHPC]
  - HPCI projects : hp170061, hp180061, hp190090,  
hp200086, hp210104,
  - MCRP in CCS, U. Tsukuba : xg17i032 and xg18i023
- **Wisteria/BDEC-01** [HPCI: hp220093, MCRP: wo22i038]
- Polarie/Grand Chariot (hp200130)
- Flow at Nagoya U.
- SQUID at Osaka U.
- Program for Promoting Researches on the Supercomputer Fugaku, Simulation for basic science: from fundamental laws of particles to creation of nuclei Joint
- Institute for Computational Fundamental Science (JICFuS)



# Previous Nf=2 results at higher Ts

S.Aoki, Y. Aoki, HF, S. Hashimoto, C. Rohrhofer, K. Suzuki [JLQCD collaboration] PTEP2022 (2022) 2, 023B05 [arXiv:2103.05954 ]

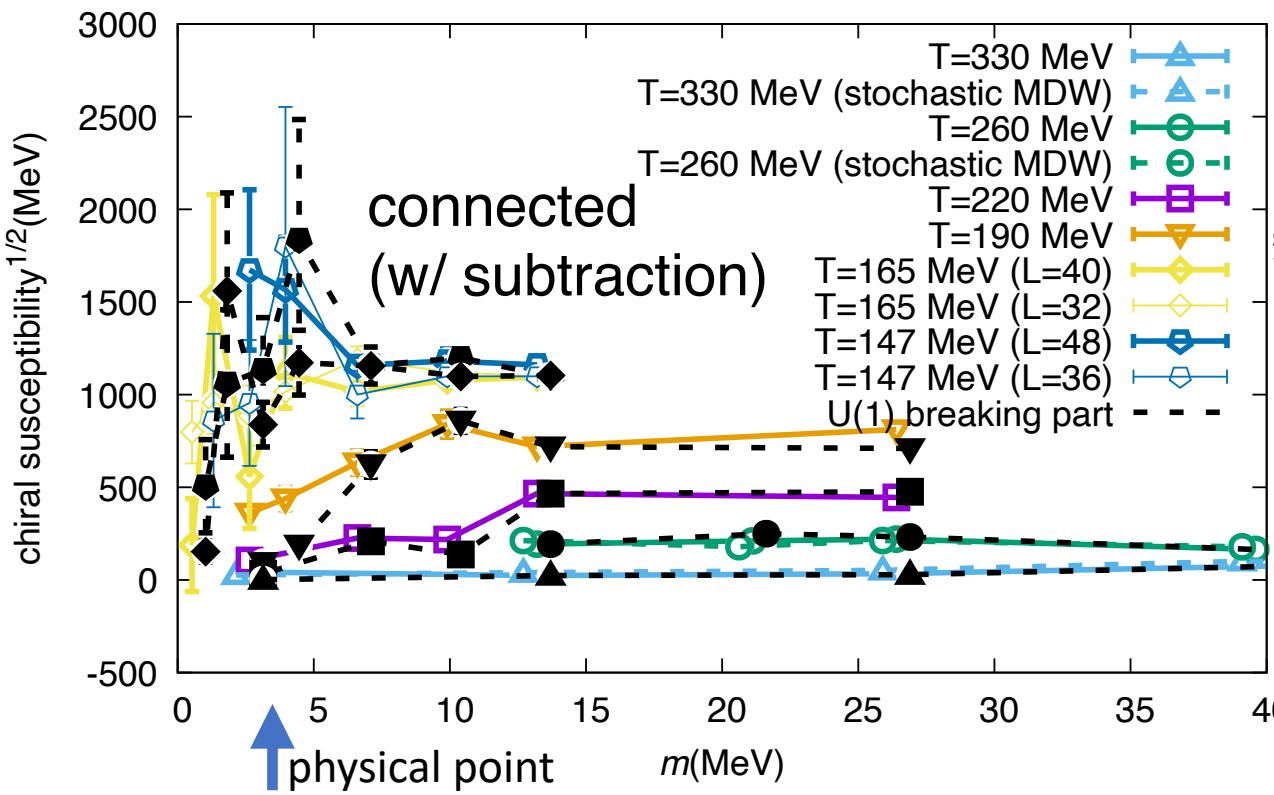


The dominance by axial U(1) anomaly is seen at 5 different Ts.

$$\chi^{\text{con.}}(m) = \underbrace{-\Delta_{U(1)}(m)}_{U(1)_A \text{ breaking}} + \underbrace{\frac{\langle |Q(A)| \rangle}{m^2 V}}_{\text{mixed}} - \underbrace{\frac{-\langle \bar{q}q \rangle_{\text{sub.}}(m)}{m}}_{\text{mixed}}$$

$$\chi^{\text{dis.}}(m) = \frac{N_f}{m^2} \chi_{\text{top.}}(m) + \underbrace{\Delta_{SU(2)}^{(1)}(m) - \Delta_{SU(2)}^{(2)}(m)}_{\text{SU}(2)\times\text{SU}(2) \text{ breaking}}$$

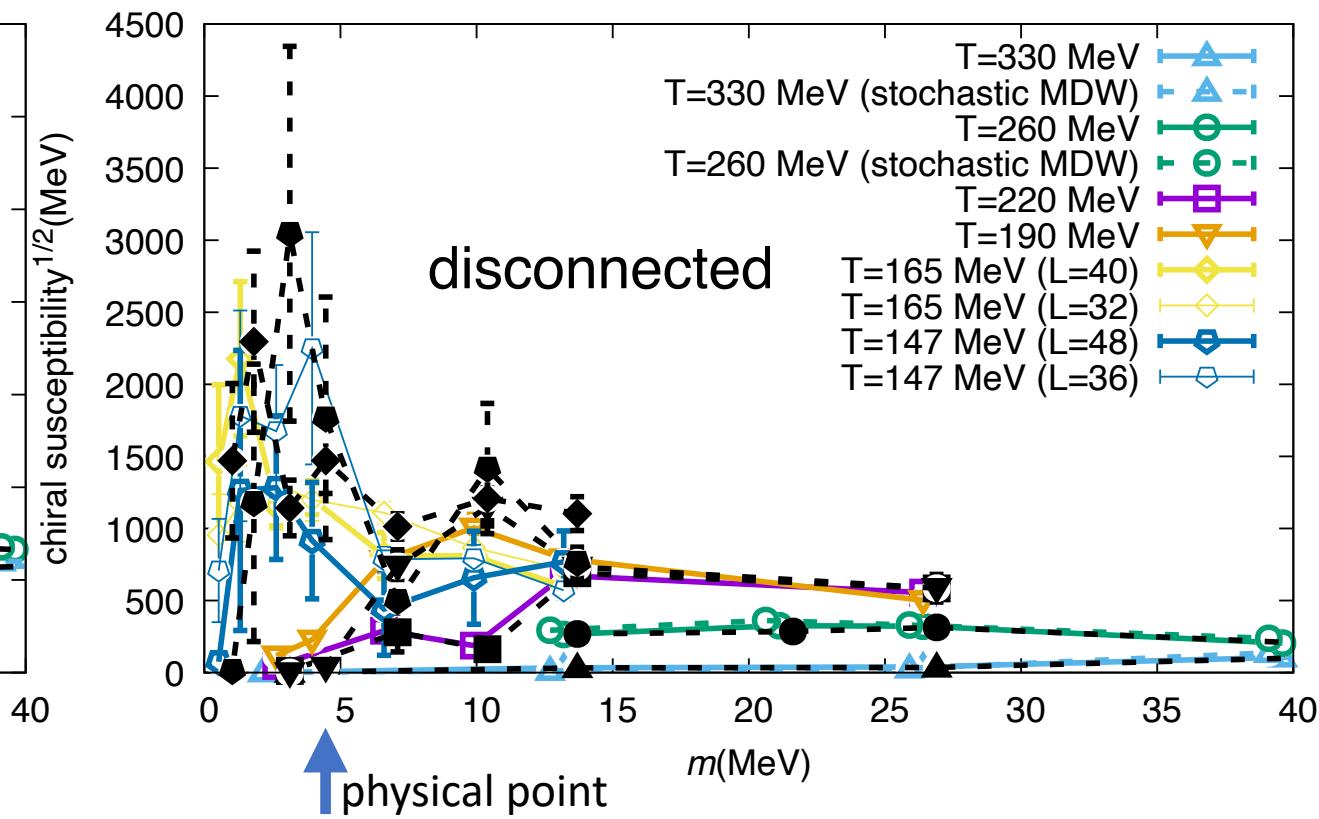
# Nf=2 QCD updates (w/ lower T and m and larger V)



Down to  $0.9T_c$  and  $1/5$  physical quark mass  
the axial  $U(1)$  dominance is still seen.

connected part  $\sim U(1)$  susceptibility

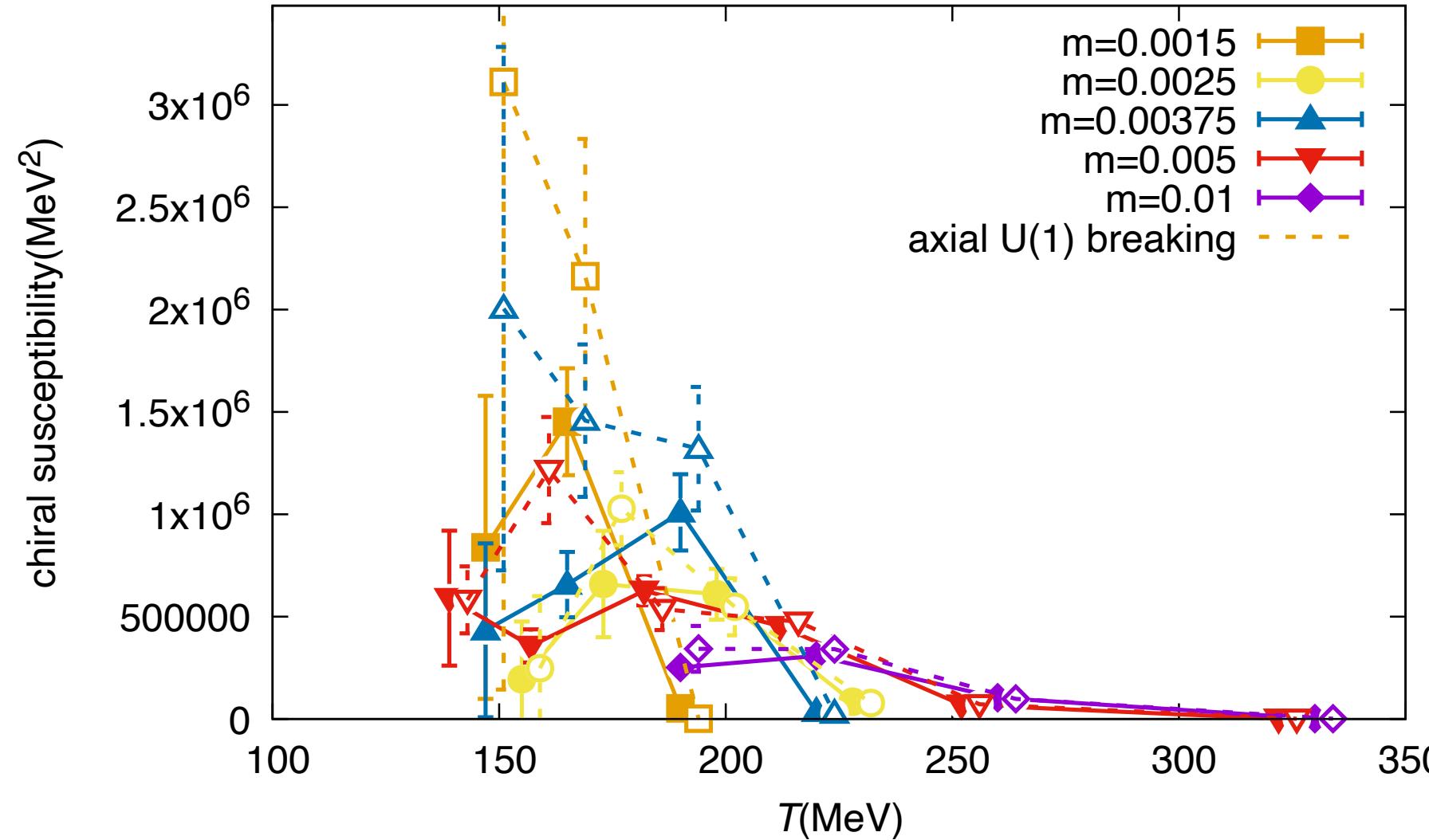
disconnected  $\sim$  topological susceptibility  $\times 2/m^2$ .



Colored open symbols: data for chiral susceptibility  
Black filled symbols: axial  $U(1)$  anomaly part

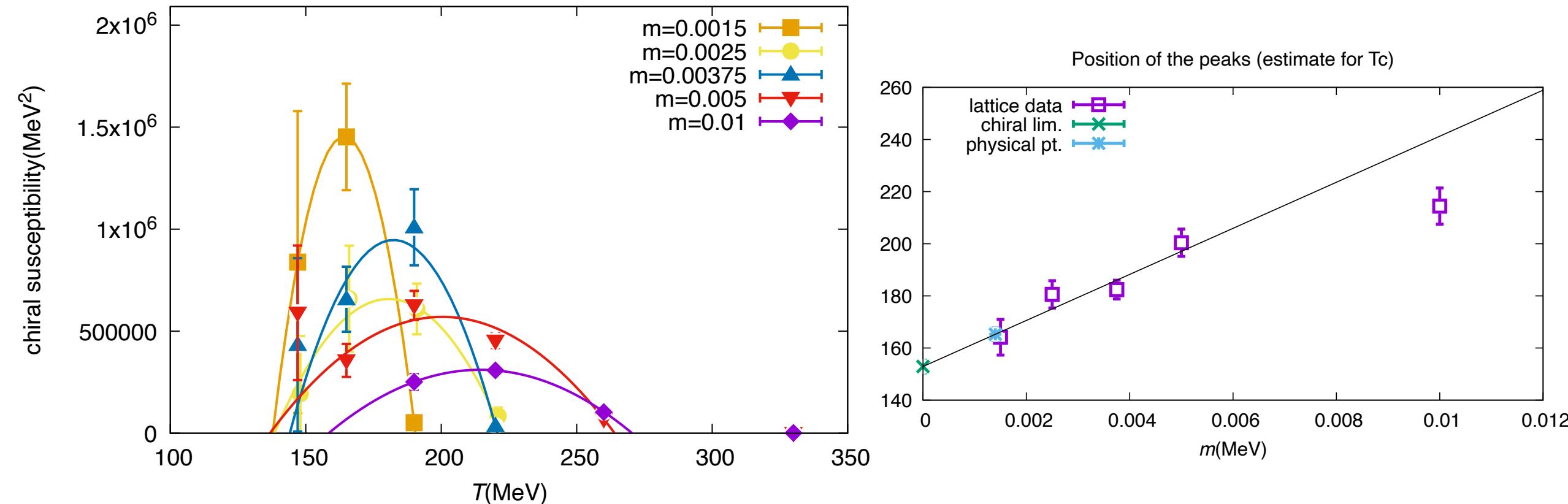
Finite  $V$  effects look under control.

# T dependence of disconnected part



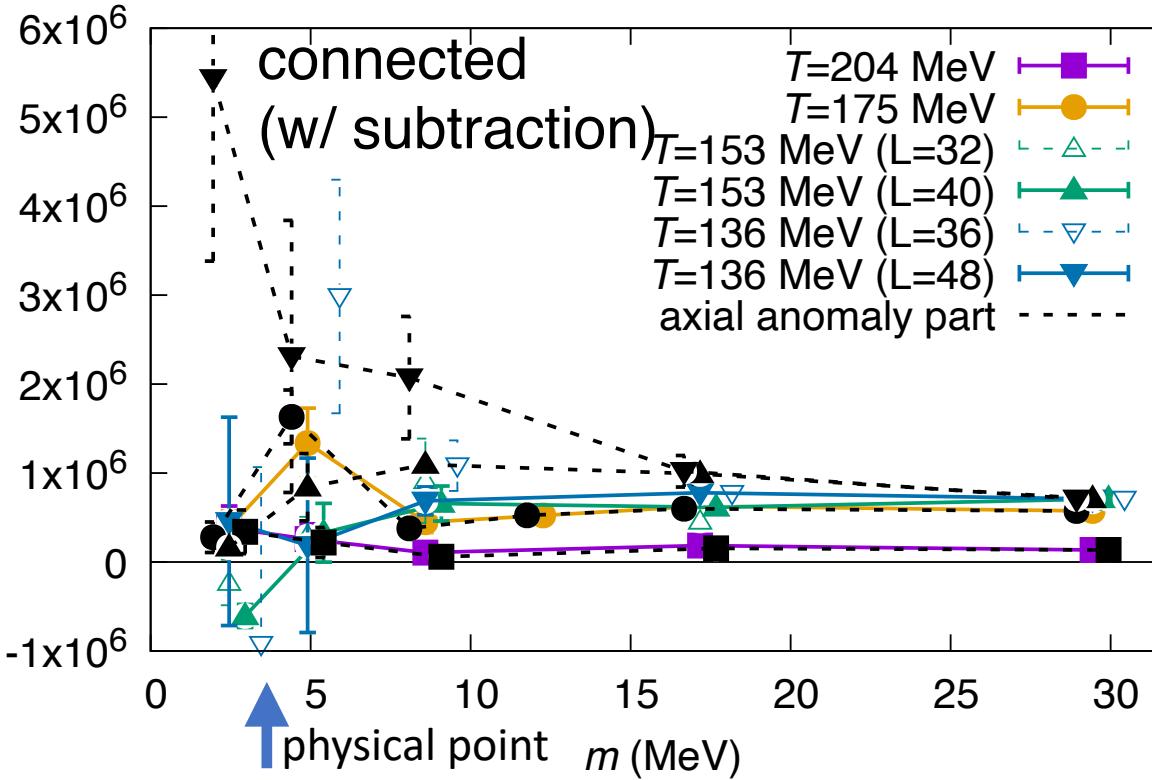
At higher  $T$  than the peaks, axial U(1) breaking is dominant.  
But it deviates at lower  $T_s$ .

# Determination of Tc (very preliminary)

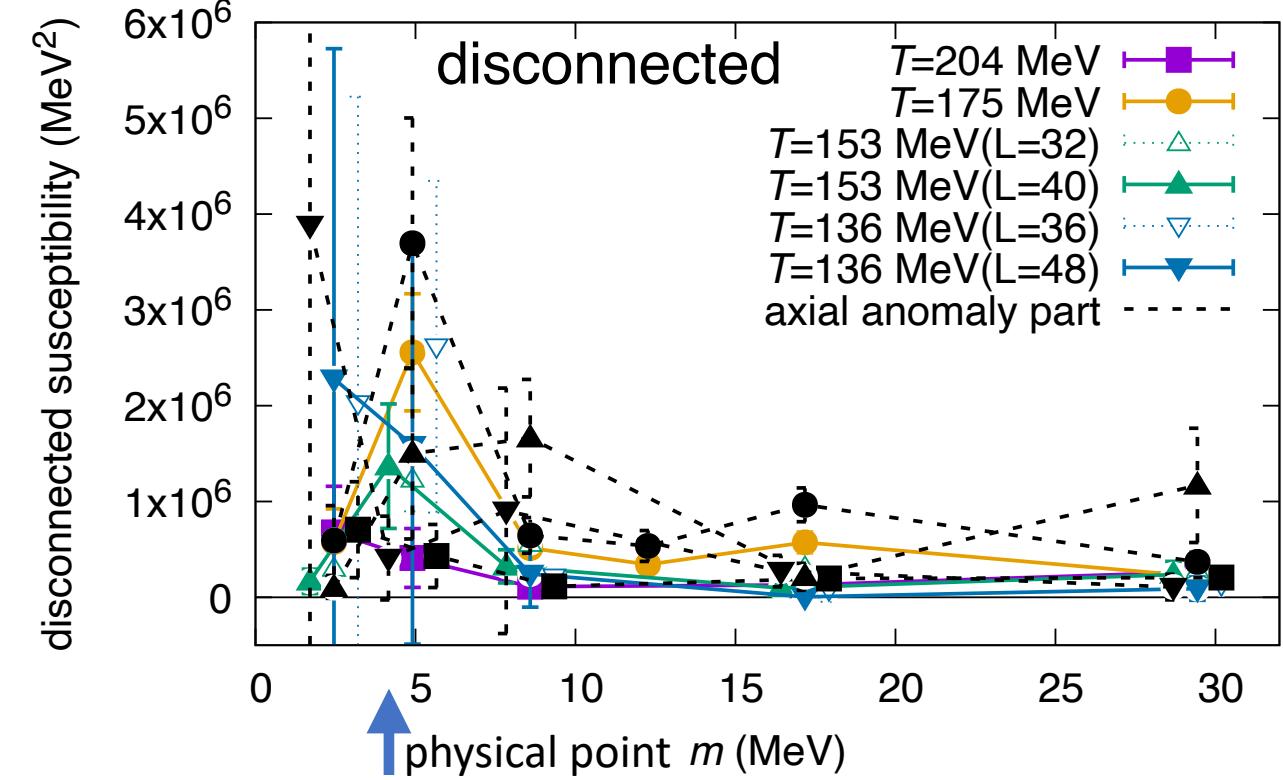


From a quadratic estimate for the position of the peak, we obtain Tc (physical pt.) = 165(3) MeV, Tc(chiral limit)=153(3) MeV.

# Nf=2+1 results



Colored open symbols: data for chiral susceptibility  
Black filled symbols: axial U(1) anomaly part



Axial U(1) dominance is seen.

However, statistically noisy.

Different Vs are consistent.

At the physical point  $m \sim 4$  MeV, pseudo-critical  $T$  is estimated to be 140-150 MeV.

# Summary

1. We simulate  $N_f=2$  and  $2+1$  lattice QCD at high temperatures.
2. Chiral condensate and susceptibility are related to both  $SU(2) \times SU(2)$  and  $U(1)_A$ .
3. In the spectral decomposition of the Dirac operator **with exact chiral symmetry**, we can separate the purely  $U(1)$  anomaly effect.
4. **Connected/disconnected susceptibilities are dominated by  $U(1)$  breaking at  $T >= T_c$ .**

Connected part  $\sim$  axial  $U(1)$  susceptibility.

Disconnected part  $\sim$  top. susceptibility  $\times 2/m^2$

But for larger mass and lower  $T$ , the deviation becomes sizable.

Axial  $U(1)$  anomaly may play more important role in the QCD phase diagram than expected.