

• Membranes in the bulk from
monopole operators of boundary ABJM theory

or

Large angular momentum in

M-theory regime of AdS_4/CFT_3

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work in progress with

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1. Introduction

M-theory, matrix model

AdS₄ / CFT₃

large angular momentum.

('94 Hull-Townsend

'95 Witten)

M-theory

membrane (M2)

D=11 theory

no coupling const., single scale l_p

↓ on S^1

$$R_{11} = l_p g_s^{2/3}$$

D=10 IIA string

KK mom. = D0-charge

crucial in non-perturbative String theory

no established formulation. (microscopic DOF?
action?)

best candidate

→ Matrix model.

Matrix model

'88 de Wit, Hoppe, Nicolai

'96 Banks, Fischler, Shenker, Susskind

• DOF X^α ($\alpha=1, \dots, 9$) $\left[\begin{array}{c} \\ \\ \end{array} \right] \updownarrow$ #DO-branes

• action $S = \text{Tr} \int (D_t X^\alpha)^2 + [X^\alpha, X^\beta]^2 dt + \text{Fermions}$

• regularised theory of M2 (D=11 Supermembrane) \uparrow flat space

• matrix model of M-th. on pp-wave BKG ('02 BMN)

$$S = \text{Tr} \int (D_t X^\alpha)^2 - X^2 + i X [X, X] + [X, X]^2 dt$$

• best candidate, though with unsolved issues (matrix size $\rightarrow \infty$?
D=11 Lorentz inv.?)

questions

How much of M-th. is captured by M.M.?

How to extract observables using M.M.?

AdS₄/CFT₃

$$R \sim R_{S^7} = 2R_{\text{AdS}_4}$$

'06 ABJM

M-theory on
AdS₄ × S⁷/Z_k

$$= \text{D=3 ABJM theory}$$
$$= \phi^A, A=1, \dots, 4$$

↑ complex scalar

$$U(N) \times U(N)$$

A Â

CS action $\frac{1}{k}$ level

$$\frac{R^6}{l_p^6} = NR$$

• S⁷/Z_k → M-th. circle $R_{11} = \frac{R}{k}$

• IIA regime : $N \gg 1$, $\lambda = \frac{N}{k}$: fixed

M-th. regime : $N \gg 1$, $k \sim O(1)$ (fixed.) ← this talk.

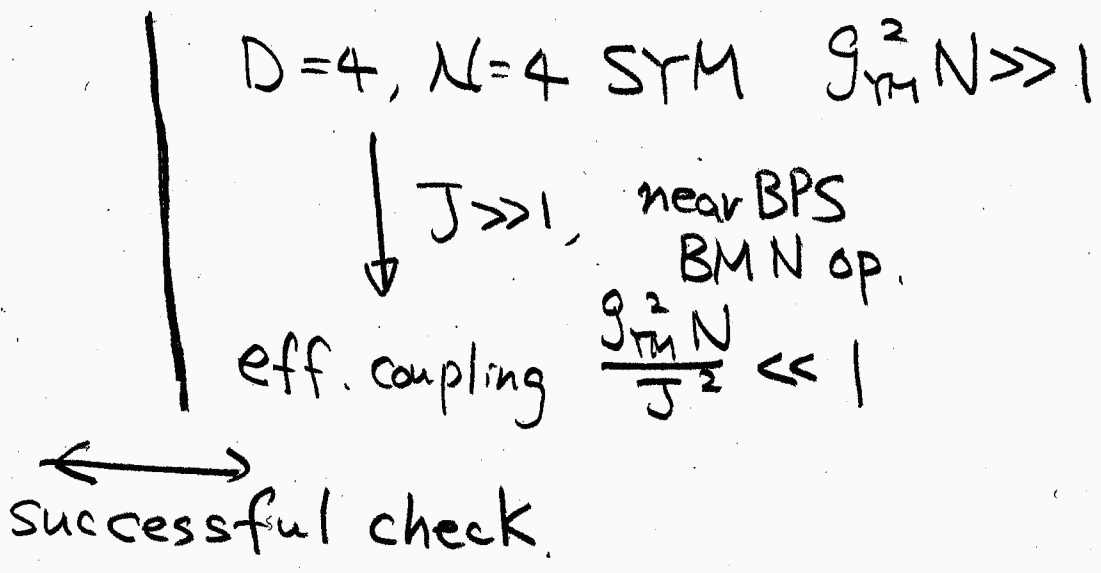
learn about
M-theory
AdS/CFT

e.g. matrix model ↔ ABJM?
non-stringy
all non-planar contributes

- To study **M-theory regime**, we consider states with large angular mom. $J \gg 1$
- Use $\frac{1}{J} \ll 1$ to introduce approximation schemes on both sides, then verify AdS/CFT
- WKB approx \sim large quantum number

BMN '02 Berenstein, Maldacena, Nastase in AdS₅/CFT₄

String on AdS₅ × S⁵
 $\downarrow J \gg 1$
 String on pp-wave BKG



Our work

★ $J \gg 1$ seems to provide good approx. on both sides

AdS side: pp-wave approx & loop exp.

CFT side: Born-Oppenheimer

★ AdS/CFT Dictionary for $J \gg 1$

analog of BMN op. (based on monopole operator)

\sim operator in ABJM

corresponding to near BPS fluctuation of membranes

★ Successful test at the leading order
of the approx. (near-BPS)

Outline

1. Introduction

2. AdS side

pp-wave approx.

BPS states

near BPS

3. CFT side

radial gtz. & monopole op.

BPS

near BPS

gauge fixing

Born-Oppenheimer approx.

4. Summary & Discussion

☆ $J \gg 1 \rightarrow$ approx. on both sides

☆ AdS/CFT dictionary

☆ test at the leading order
(for near BPS op.)

2. AdS side

Pp-wave approx.

membrane Hamiltonian

(matrix size) = J

BPS states \sim Concentric spherical membranes

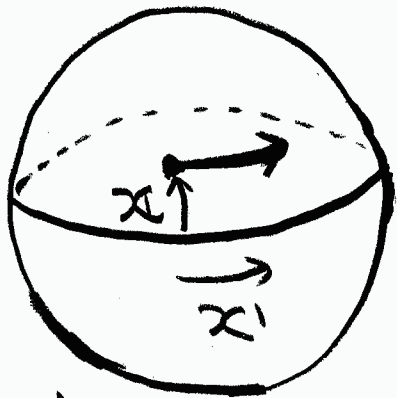
fluctuation ; near BPS states

tree vs 1-loop

$$N^{\frac{1}{3}} \ll J \ll J^{\frac{1}{2}}$$

- pp-wave approx. (ultrarelativistic (infinite mom.) limit on curved space)

- Simple ex.
particle on $\mathbb{R} \times S^n$



radius R .

$$|x| \ll R$$

- $ds^2 \approx -dt^2 + (dx^i)^2 \times \left(1 - \frac{x^2}{R^2}\right) + dx^2$

- $P_0 = -E, P_i = P = \frac{J}{R} \gg 1$

- $0 = G^{\mu\nu} P_\mu P_\nu$ dynamics of a particle.

$$= -E^2 + P^2 \times \left(1 + \frac{x^2}{R^2}\right) + P^2$$

$$\leadsto E - P = \frac{P^2}{2P} + \frac{P}{2} \frac{x^2}{R^2}$$

non-rel
particle

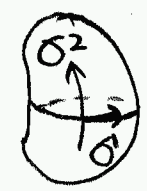
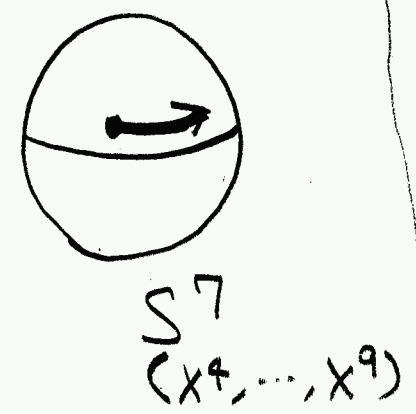
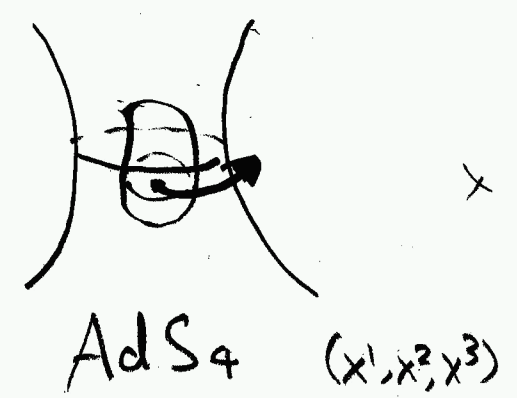
(using $E + P \approx 2P$)

harm. osc.
potential

J : large \rightarrow strong harm. osc. potential.

Hamiltonian of membrane theory

R=1 Qp=1



$x^\alpha(\sigma^1, \sigma^2)$
 \longleftrightarrow cons. $p_\alpha(\sigma^1, \sigma^2)$

$$H = \int \frac{(p^\alpha)^2}{2P} + \frac{1}{2P} \{x^\alpha, x^\beta\}^2 + \frac{P}{R^2} x^2 + \frac{1}{R} \epsilon_{\alpha\beta\gamma} x^\alpha \{x^\beta, x^\gamma\}$$

↑
inertia
↑
tension
↑
pp-wave approx.
↑
flux in AdS

($\epsilon_{123}=1$, perm, otherwise = 0)

$$\{f, g\} = \frac{\partial f}{\partial \sigma^1} \frac{\partial g}{\partial \sigma^2} - \frac{\partial f}{\partial \sigma^2} \frac{\partial g}{\partial \sigma^1}$$

can use old results on membrane, matrix model on pp-wave BKG

('02 Dasgupta, Sheikh-Jabbari, van Raamsdonk
 Kim, Plefka
 Sugiyama, Tachida)

$$\underline{R=1}$$

- regularisation.

$$X^\alpha(\sigma^1, \sigma^2), P^\alpha(\sigma^1, \sigma^2)$$

$$\rightarrow X^\alpha, P^\alpha : \text{matrices}$$

- (matrix size) = J

$$S^1/\mathbb{Z}_R \rightarrow M\text{-th. circle}$$

$$\rightsquigarrow J = \text{KK mom. on } M\text{-theory circle}$$

$$= \# \text{DO}$$

$$= \text{matrix size}$$

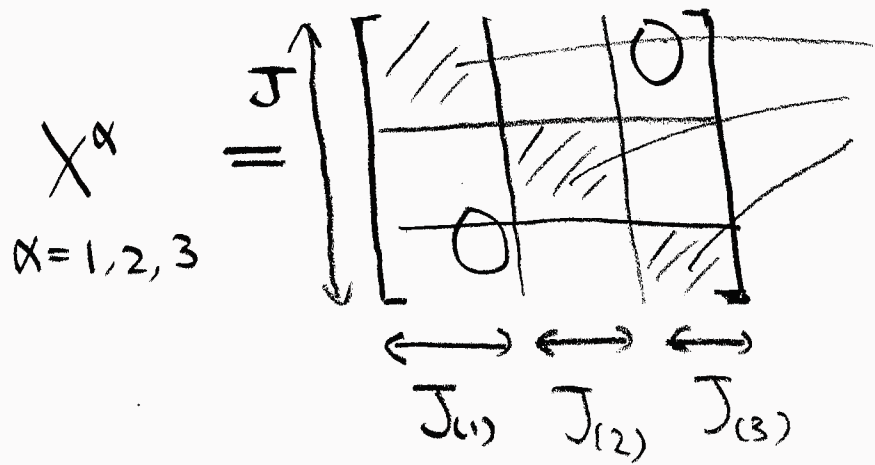
- cf. BMN op. $\sim \text{Tr } Z^J \sim$ closed string reg. by lattice with J sites

$$H = \text{Tr} \left(R(P^\alpha)^2 - R [X^\alpha, X^\beta]^2 + \frac{1}{R^3} (X^4)^2 + i \frac{1}{R} \epsilon_{\alpha\beta\gamma} X^\alpha [X^\beta, X^\gamma] \right)$$

pp-wave matrix model

BPS states

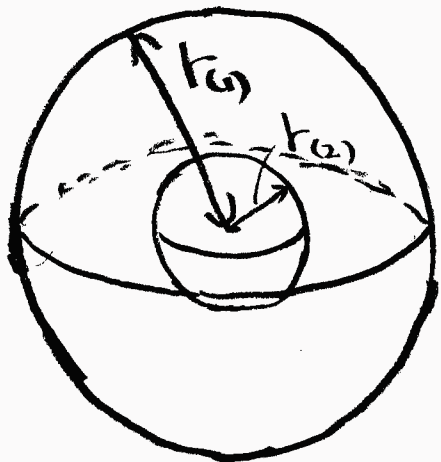
classical ground states $E=0$



$$r_{(i)} \times \frac{1}{J_{(i)}} L_{\alpha}^{(i)}$$

$$[L_{\alpha}^{(i)}, L_{\beta}^{(i)}] = i \epsilon_{\alpha\beta\gamma} L_{\gamma}^{(i)}, \quad J_{(i)} \times J_{(i)} \text{ matrices}$$

$$J = J_{(1)} + J_{(2)} + \dots$$



collection of fuzzy spheres

concentric spherical membranes
with radii $r_{(i)}$

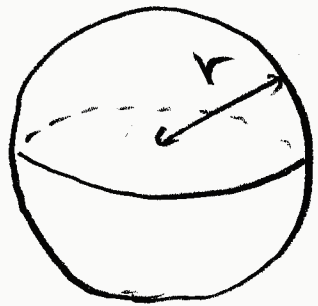
$$r_{(i)} = \frac{J_{(i)}}{R^2}$$

pp-wave approx.

$$\frac{r}{R} = \frac{J}{R^3} = \frac{J}{N^2} \ll 1$$

near BPS fluct.

single membrane case $J = J_{(1)}$



Spherical membrane

- focus on fluct. in S^7 -direction ~ 6 -scalars \leftarrow polarisation
- oscillation mode corresponds to $Y_{lm}(0, \varphi)$

$$\omega = \frac{1}{R} \sqrt{\frac{1}{4} + l(l+1)}$$

\uparrow harm. osc. \uparrow tension

\leftarrow tree level

1-loop correction ('02 Dasgupta et al.)

$$H = H_2 + H_3 + H_4$$

\uparrow
free

$$\Delta E = \sum_{4r'} \frac{|\langle 4r' | H_3 | 4r' \rangle|^2}{E - E'} + \langle 4r' | H_4 | 4r' \rangle$$

$$\frac{\text{1-loop}}{\text{tree}} = \frac{N}{J^3} \leftarrow = \left(\frac{\ell_p}{r}\right)^3$$

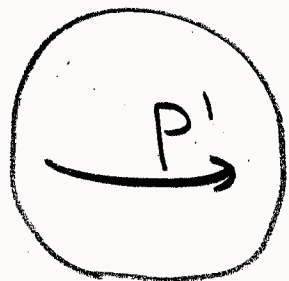
$J \gg 1 \rightarrow$

- H_2 : large
- # (Intermediate State) : large

SUSY

$$N^{\frac{1}{3}} \xleftarrow{\text{loop exp.}} J \xleftarrow{\text{pp-wave}} N^{\frac{1}{2}}$$

Summary of 2. AdS side



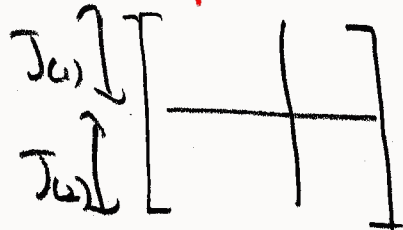
large (angular) mom. in curved space

→ H contains $\frac{P}{R^2} (\alpha')^2$

matrix size = J

BPS states. collection of fuzzy spheres

$$J = J_{(1)} + J_{(2)} + \dots$$



near BPS states

Y_{lm}

$$\rightarrow \omega = \sqrt{\frac{1}{4} + l(l+1)} = \frac{1}{2} + l$$

validity of approx.

loop exp.

pp-wave approx.

$N^{\frac{1}{3}}$

←

J

←

$N^{\frac{1}{2}}$

3. CFT side

monopole op.

BPS states

near BPS fluctuation

gauge fixing & "Higgs mechanism"

Born - Oppenheimer approx.

Large J in ABJM

J: R-charge in ABJM.

4 complex scalars

$\phi^1, \phi^2, \phi^3, \boxed{\phi^4}$ = bi-fundamental of $U(N) \times U(N)$

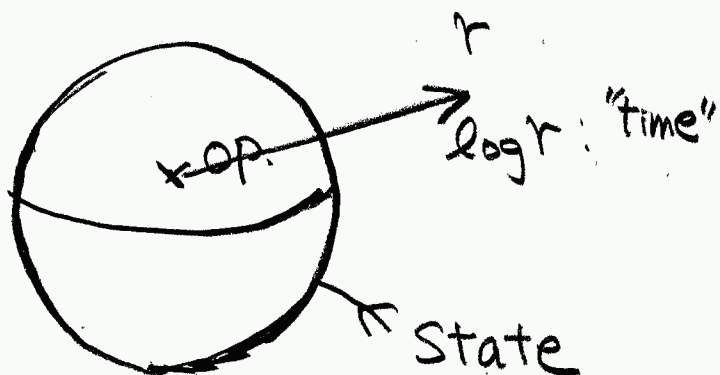
ϕ^4 carries unit charge

~~$\text{Tr}(\phi^4)^J$~~ ← wrong, not gauge inv.

needs monopole op. ('06 ABJM '02 Kapustin et al.)

State op. mapping

ABJM on $S^2 \times \mathbb{R}^1$



ϕ^A : mass = $\frac{1}{2}$

Energy = conformal dimension

ABJM on $S^2 \times \mathbb{R}^1$

$$\begin{cases} A=1, 2, 3, 4 \\ \hat{i}=1, \dots, N \\ \hat{j}=1, \dots, N \end{cases}$$

SU(4) flavour.
U(N) colour
the other U(N) colour.

$$\begin{pmatrix} \Phi^A_{\hat{i}} \\ \Phi^{\dagger}_{\hat{j}A} \end{pmatrix} \xleftrightarrow{\text{conj.}} \begin{pmatrix} \Pi^{\hat{i}}_A \\ \Pi^{\dagger A}_{\hat{j}} \end{pmatrix}$$

$$\begin{pmatrix} A_r^{\hat{i}} \\ \hat{A}_r^{\hat{j}} \end{pmatrix}$$

$r=1, 2$ sphere.

$$H = \text{tr} \int \frac{1}{\sin\theta} \Pi^A \Pi_A + \text{grs } D_r \Phi^A D_s \Phi_A \sin\theta$$

$$+ \frac{1}{4} \Phi^A \Phi_A \sin\theta + \left(\frac{2\pi}{R}\right)^2 V_6(\Phi) + \dots d\theta d\varphi$$

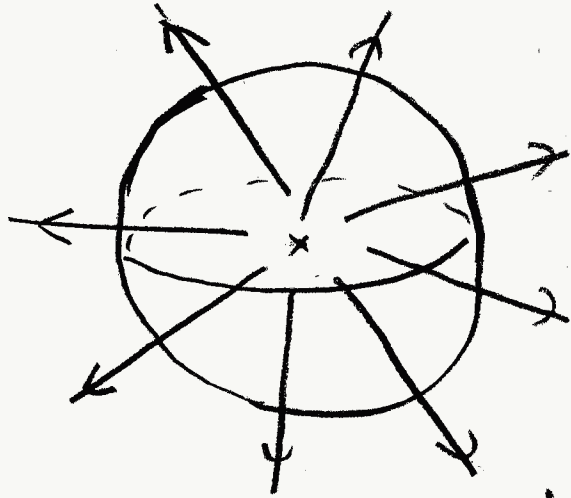
mass[↑] from radial gtz. Φ^{\dagger} -potential.

Gauss' law constr.

$$\frac{R}{2\pi} F_{\theta\varphi} = \rho, \quad -\frac{R}{2\pi} \hat{F}_{\theta\varphi} = \hat{\rho}$$

$$\rho = i \Phi^A \Pi_A - i \Pi^{\dagger A} \Phi^{\dagger}_A + \dots, \quad \hat{\rho} = i \Phi^{\dagger}_A \Pi^{\dagger A} - i \Pi_A \Phi^A + \dots$$

• monopole op. & BPS states ('06 ABJM, '09 Seok Kim)



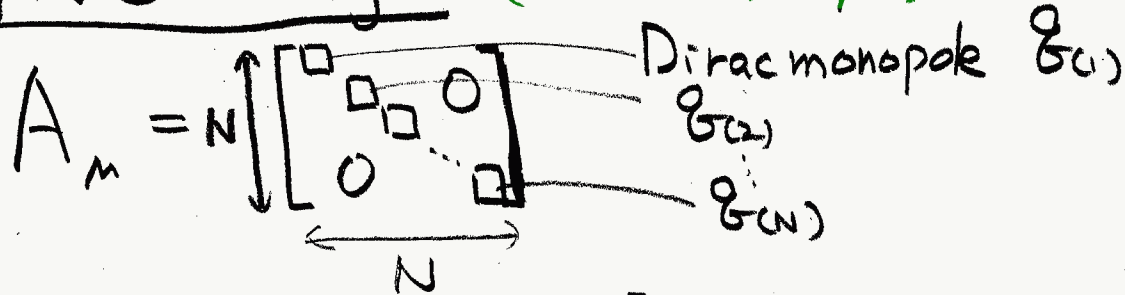
• excite 0-mode of ϕ^4 , J times

• Gauss' law constr.

$$\frac{R}{2\pi} F_{\theta\phi} = \rho \sim J$$

• needs to introduce const. BKG $F_{\theta\phi} \sim J$

• GNO charge (Goddard, Nuyts, Olive '77)



$$J = \sum_{i=1}^N 2\mathcal{G}_i$$

→ matches with AdS side for large J (cf. '09 Simon Sheikh-Jabbari)

• near BPS fluct. S^7 -direction \sim 6 polarisation.

excite ϕ^1, ϕ^2, ϕ^3 modes corresponding to Y_{lm}

$$\Delta \sim \sqrt{\left(\frac{l}{2}\right)^2 + l(l+1)} \rightarrow \text{matches with AdS side.}$$

gauge fixing

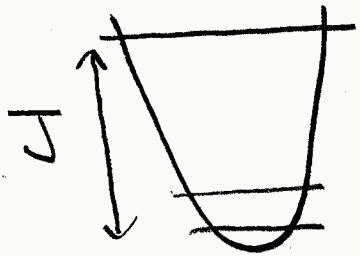
BKG gauge + unitary gauge + Coulomb gauge

Gaupp' law constr.

$$\frac{R}{2\pi} F_{\theta\phi} = \rho \leftarrow \underbrace{\phi^{\dagger}}_{\substack{\uparrow \text{ excited } J\text{-times} \\ \uparrow \text{ complex scalar}}}$$

BKG gauge $A_T = \text{monopole BKG} + \text{fluct.}$
(choose sector) (Wu-Yang)

RHS "background" seems to be **quantum** rather than classical.



$$\langle \phi \rangle = 0, \quad \langle \phi \phi^* \rangle \sim J$$

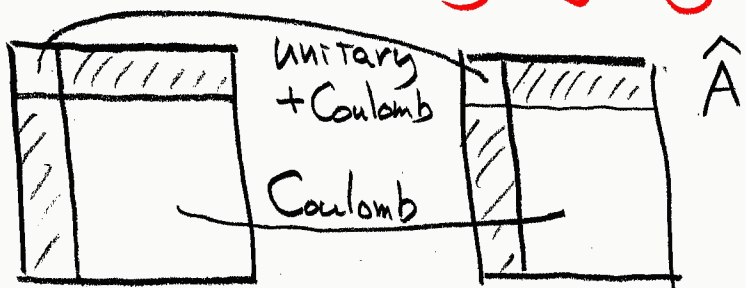
use "polar coordinate" in ϕ -space

~ **unitary gauge**

$$\text{Im } \phi^{\dagger} \uparrow = 0$$

\equiv : unitary gauge $\hat{z}', \hat{z}' = 2, \dots, N$

$$\phi^{\dagger} \hat{z}' = 0 \quad \phi^{\dagger} \hat{z}' \uparrow = 0$$

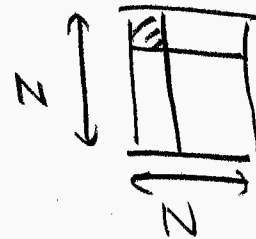


next step.

eliminate conj. mom. of gauge fixed variables

by solving the Gauss law constr. (cf. '73 GGRT LC gauge string)

$$\Phi^{\dagger} \uparrow = \underbrace{(f+u)}_{\substack{\uparrow \\ \text{real part}}} + i \underbrace{v}_{\substack{\uparrow \\ \text{imag. part}}} \quad , \quad v = 0$$



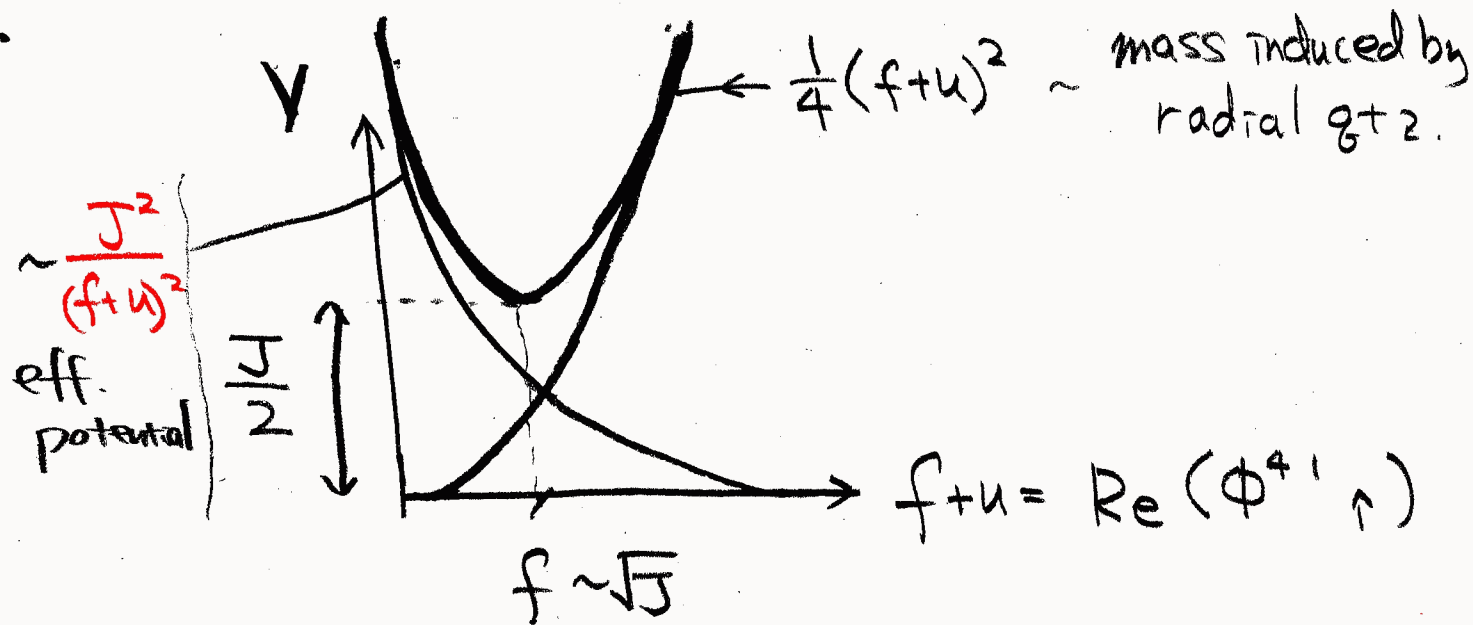
Gauss' law. $\frac{k}{2\pi} F_{0\varphi} = \rho$

$$\frac{k}{2\pi} (\partial_0 a_\varphi - \partial_\varphi a_0) = (f+u) P_v - \cancel{v P_v} + \dots$$

$$P_v \sim \frac{J}{f+u} + \dots$$

"effective potential"

H contains P_v^2 - term. $\rightarrow H \sim \dots + \frac{J^2}{(f+u)^2} + \dots$



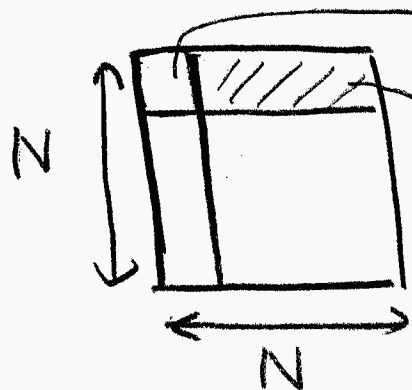
$f^2 \sim J$: vacuum expectation value of $|\Phi^{41} \uparrow|^2$

\rightarrow contributes to spectrum & vertices
 via Φ^6 potential, --
 (resembles standard Higgs mechanism)

• Born - Oppenheimer approx.

"slow" or "light" modes
↓
modes

• fields in ABJM



do not feel flux, "free" → $\gamma_{em} \quad \omega = \sqrt{(\frac{1}{2})^2 + l(l+1)}$

feel flux → $\gamma_{8em} \quad l = 8, 8+1, \dots$

$\omega = \sqrt{(\frac{1}{2})^2 + l(l+1)}$ "fast" or "heavy" modes

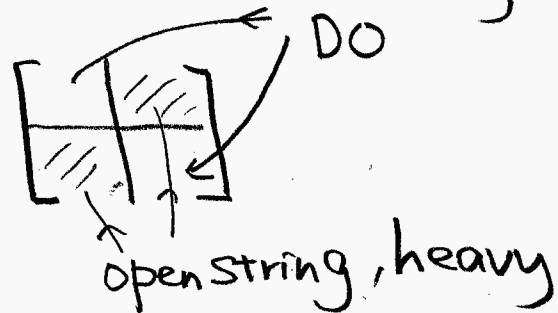
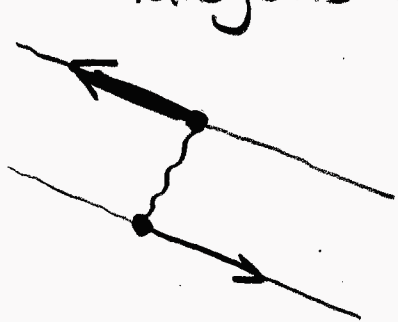
• order J gap in the frequency or energy

→ integrate out fast modes \sim B-O approx.

should introduce exp. param $(\frac{1}{J})^{\odot}$

SUSY important \sim cancellation of large contribution to the potential

analogous to D-brane scattering



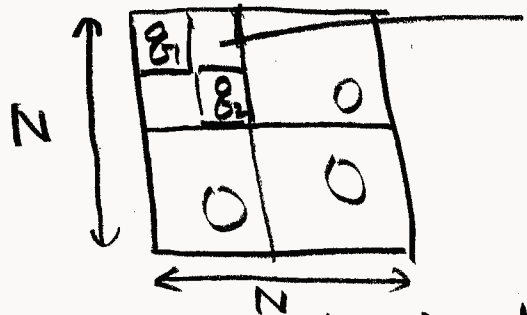
SUSY

→ $\frac{g^4}{r^7} \ll 1$

Case with two membranes

ABJM (CFT) side

$$\mathcal{G}_1 - \mathcal{G}_2 \sim O(1)$$

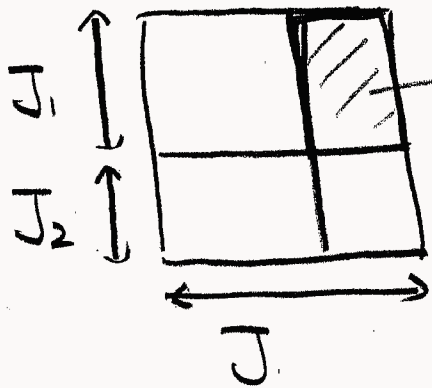


$\Upsilon \mathcal{G}_{2m}$ with $\mathcal{G} = \mathcal{G}_1 - \mathcal{G}_2$
not heavy

matrix model (AdS) side

$$J_1 \sim \mathcal{G}_1, J_2 \sim \mathcal{G}_2$$

$$J_1 - J_2 \sim O(1)$$



rectangular matrices
can be decomposed by matrices
corresponding to $\Upsilon \mathcal{G}_{2m}$
(Tsuchiya et al. '06)

$$\mathcal{G} \sim J_1 - J_2$$

off-diag. DOF in ABJM

↔ block off-diag. in matrix model

4. Summary

$J \gg 1 \rightarrow$ approx. on both sides $N^{\frac{1}{3}} \ll J \ll N^{\frac{1}{2}}$

	AdS	CFT
framework	pp-wave matrix model	radial gtz with large flux
approx.	$J \gg 1 \rightarrow$ large H_2	$J \gg 1 \rightarrow$ off diag heavy \rightarrow Born-Oppenheimer approx.
exp. param.	$\frac{NR}{J^3} \ll 1$?
BPS	Fuzzy spheres $J = J_{(1)} + J_{(2)} + \dots$	const. flux $\mathcal{G} = \mathcal{G}_{(1)} + \mathcal{G}_{(2)} + \dots$
near BPS fluctuation	modes labelled by Γ_{em} $\omega = \sqrt{(\frac{1}{2})^2 + l(l+1)}$ $S^7: x^4, \dots, x^9$ $(AdS_4: x^1, x^2, x^3)$	$l = 0, 1, \dots$ ϕ^1, ϕ^2, ϕ^3 (ϕ^4, A, \hat{A})

Important next step

Quantum correction agrees?

Same exp. param?

(exp. param on AdS sides $\frac{NR}{J^3} \sim \frac{N}{g^3 R^2}$
Seems to suggest 1-loop in AdS = 2-loop in ABJM
1-loop in ABJM = 0?)

Other directions

Symmetry in this gauge? renormalisation?

How to see $J \ll N^{\frac{1}{2}}$ in ABJM?

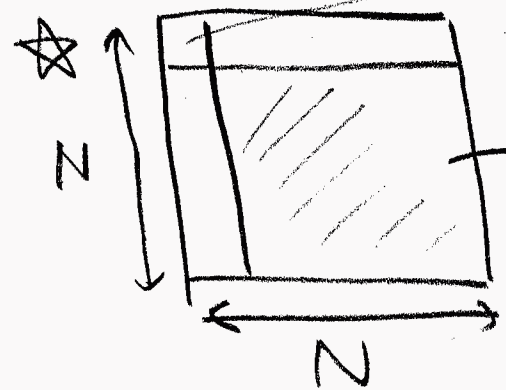
(neglecting processes

GNO charges change
membrane interaction
longi. mom. transfer)

3 pt functions?

matrix model may contain splitting/joining
of membranes

Observation



← excite → ^{create} phonon on spherical membrane
1st gtz.

← excite → Create small membrane
2nd gtz.

★ rather **direct** correspondence

AdS CFT
bulk / boundary

Spherical membrane
in bulk



Sphere used in
radial gtz.

We hope that our work leads to

good approximation

and then to deeper understanding of

M-theory, matrix model, AdS/CFT