

A Vectorlike Supersymmetric Grand Unified Model with Noncompact Horizontal Symmetry

Naoki Yamatsu

Department of Physics, Osaka University

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This talk is based on Ref. [1, N.Y.,PTEP,2013,123B01]

1. Introduction

How to understand Nature

Hints of Physics beyond the Standard Model

Matter sector: Quarks and Leptons

Three Chiral Generations

Hierarchical Mass Structures

Charge Quantization

Anomaly Cancellations

Only Fundamental Representations ⇒ ③

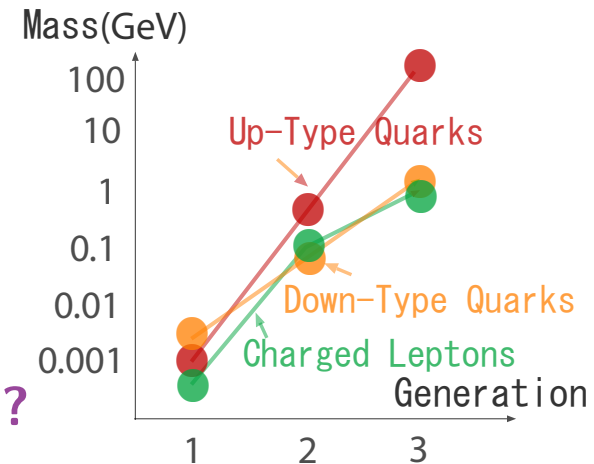
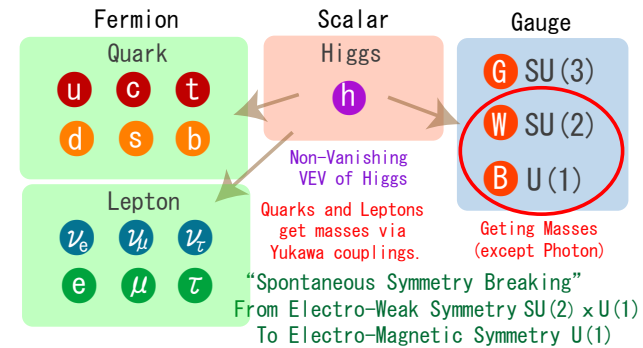
⇒ ①

⇒ ②

⇒ How can we understand these questions in a four dimensional effective field theory?

① Horizontal Symmetry? ② GUT? ③ Unknown.

The Standard Model



Approaches to understand generations using horizontal symmetry

Non-Abelian (e.g., $SU(3)$, A_4)

Naturally explaining three generations.

[2, 3, S.F.King, G.G.Ross, PLB'01; H. Ishimori et al.; ...]

Abelian $U(1)$

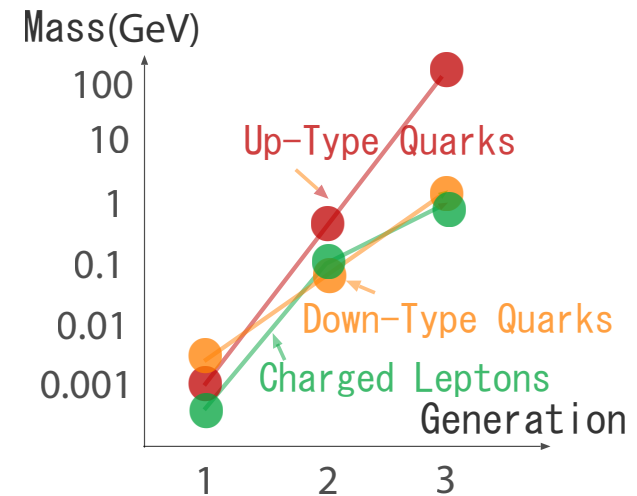
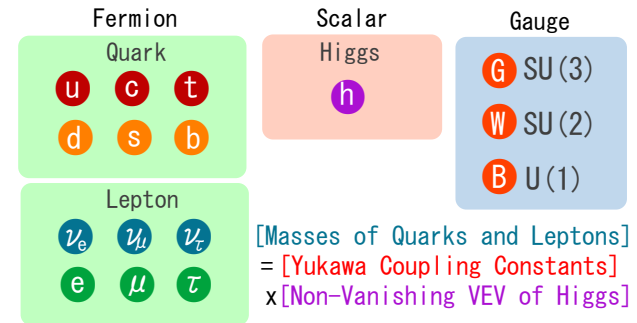
Naturally explaining the mass hierarchies.
Froggatt-Nielsen mechanism

[4, 5, C.D.Froggatt, H.B.Nielsen, NPB'79; N.Maekawa, PTP'01; ...]

Noncompact Non-Abelian $SU(1, 1)$

[6-8, K.Inoue, PTP'95; K.Inoue, N.Y., PTP'08; N.Yamatsu, PTEP'12; ...]

The Standard Model



Noncompact Non-Abelian Group (minimal $SU(1, 1)$)

Noncompact Model ~ A Vectorlike MSSM with Horizontal Symmetry

[6–8, K.Inoue,PTP'95; K.Inoue, N.Y.,PTP'08; N.Y., PTEP'13]

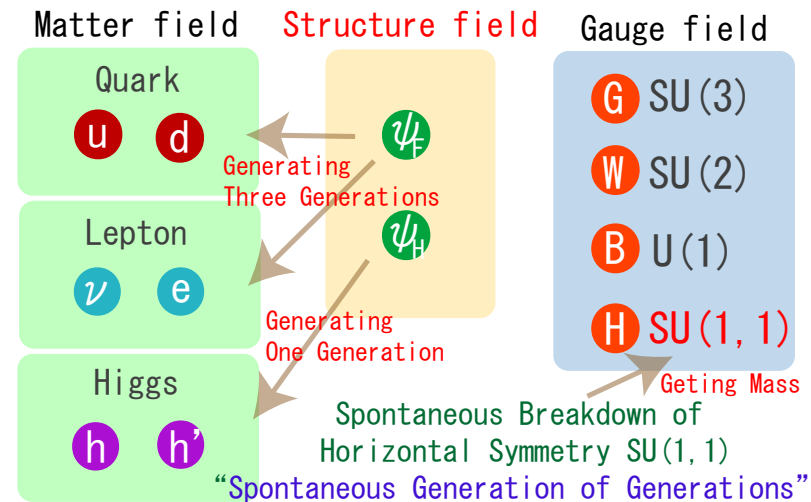
Three Chiral Generations

Chiral matters produced from Vectorlike matters via
“Spontaneous Generation of Generations”

Hierarchical Mass Structures

Hierarchical structure of Clebsch-Gordan coefficients

Noncompact Model



$\mathcal{N} = 1$ Supersymmetry [9, K.Inoue, H.Kubo & N.Y.,NPB'10]

Chirality of Scalar Fields and Stability of Vacuum Structures

Spontaneous Generation of Generations [6, K.Inoue, PTP'95]

We consider a vectorlike model such as QCD. (q_x : e.g., quark doublets)

Left :	q_1	q_2	q_3	q_4	q_5	q_6	*	*	*	*
Right :	q_1	q_2	q_3	q_4	q_5	q_6	*	*	*	*

⇓ Spontaneous Horizontal Symmetry Breaking

Left :	q_1	q_2	q_3	q_4	q_5	q_6	*	*	*	*
Right :				q_1	q_2	q_3	q_4	q_5	q_6	*

Chiral matters cannot be realized from finite vectorlike matters.

To produce chiral generations spontaneously, theory must include infinite matters.

$$\text{Left} : \hat{Q} = \{\hat{q}_1, \hat{q}_2, \hat{q}_3, \hat{q}_4, \hat{q}_5, \dots\dots\dots\}$$

$$\text{Right}^c : \hat{Q}^c = \{\hat{q}_1^c, \hat{q}_2^c, \hat{q}_3^c, \hat{q}_4^c, \hat{q}_5^c, \dots\dots\dots\}$$

I would like a horizontal symmetry G_H to control the infinite matters.

I would like quarks and leptons to belong to unitary reps. of G_H .

$\Rightarrow G_H$ must be a noncompact group.

Noncompact non-Abelian groups include $SU(1,1)$ as a subgroup.

We (at least K. Inoue and I) hope that $SU(1,1)$ is useful to consider the mechanism of the spontaneous generation of generations.

Hierarchy of Yukawa coupling constants [6, 7, K.Inoue, PTP'95; K.Inoue & N.Y., PTP'08]

$$W_{\text{Yukawa}}^{\text{chiral}} = \sum_{m,n=0}^2 y_u^{mn} q_m u_n^c h_u, \quad y_u^{mn} \sim \begin{pmatrix} 1 & * \epsilon & * \epsilon^2 \\ * \epsilon & * \epsilon^2 & * \epsilon^3 \\ * \epsilon^2 & * \epsilon^3 & * \epsilon^4 \end{pmatrix},$$

*: Coefficient determined by $SU(1, 1)$ CGCs.

ϵ : a dimensionless parameter depending on $SU(1, 1)$ breaking VEV.

The eigenvalues seem to be $O(1)$, $O(1)\epsilon^2$, $O(1)\epsilon^4$, but \dots .

Example: to choose an $SU(1, 1)$ weight set:

$$y_u^{\text{diag}} = 1 + O(\epsilon^2), \quad \frac{1}{12}\epsilon^2 + O(\epsilon^4), \quad \frac{1}{720}\epsilon^4 + O(\epsilon^6).$$

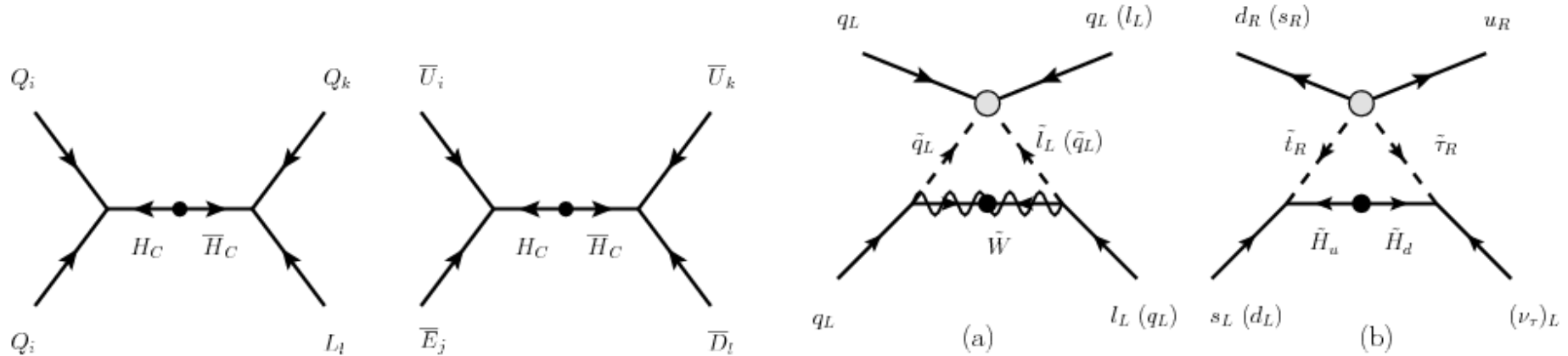
Representations of G_{SM} for Quarks and Leptons

- Chiral gauge anomalies are miraculously canceled out.
- $U(1)_Y$ (and $U(1)_{em}$) charges are quantized.
- Only fundamental and trivial reps of $SU(3)_C$ and $SU(2)_L$ are included.
- When the SM gauge groups $G_{SM} (:= SU(3)_C \times SU(2)_L \times U(1)_Y)$ are embedded into a GUT group $SU(5)_{GUT}$, the SM chiral fermions can be embedded into $SU(5)$ fundamental reps. **10** and **5***. When we consider a GUT group $SO(10)_{GUT}$, the SM chiral fermions can be embedded into a fundamental rep. **16**.

The above facts seem to suggest that the concept of grand unified theory is true.

Minimal $SU(5)$ SUSY GUT: Problem-I (Proton Decay)

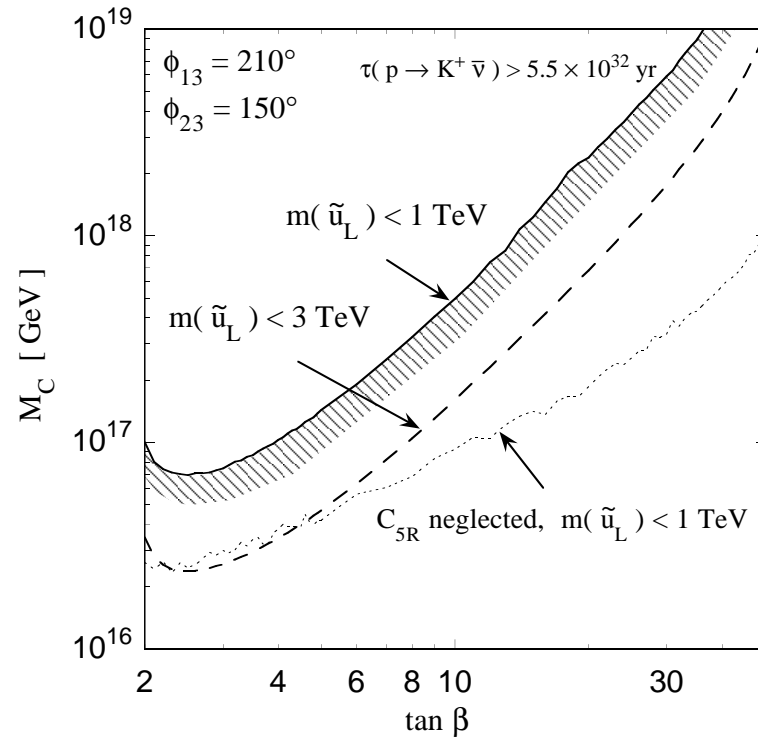
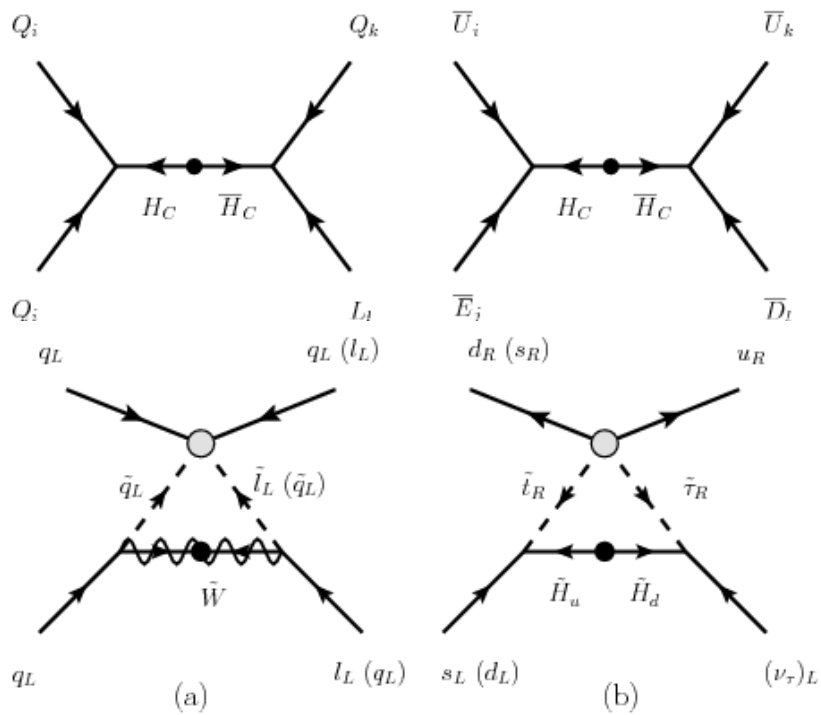
- Colored Higgses with $M_C \simeq O(M_{GUT})$ produce too rapid proton decay (as long as we assume that sparticle masses are $O(1)$ TeV).



From Ref. [10, J.Hisano et al., '13]

Superpotential quartic terms $\hat{Q}\hat{Q}\hat{Q}\hat{L}$ and $\hat{U}^c\hat{U}^c\hat{D}^c\hat{E}^c$ are generated by colored Higgses, and lead to dimension-6 operators $qqql$ and $u^c u^c d^c e^c$ causing proton decays.

Proton decays mediated by colored Higgses



From Ref. [10, J.Hisano et al., '13]

From Ref. [11, T.Goto, T.Nihei, PRD'99]

(Recent Super-Kamiokande result $\tau(p \rightarrow K^+ \bar{\nu}) > 3.3 \times 10^{33} \text{ yr}$ [12, M.Miura, PoS'2010])

Minimal $SU(5)$ SUSY GUT: Problem-II (Higgses)

- How to realize doublet-triplet Higgs mass splitting .

Higgses (doublet) have masses $O(M_{EW}) \sim O(10^2)$ GeV, while the corresponding colored higgses (triplet) must have masses $O(M_{GUT}) \sim O(10^{16})$.

In the minimal $SU(5)$ SUSY GUT, the doublet part of the original $SU(5)$ μ -term $\mu_5 \hat{H}_{u5} \hat{H}_{d5^*}$ is canceled by using the “ μ ”-term $\langle \hat{\Phi}_{24} \rangle \hat{H}_{u5} \hat{H}_{d5^*}$.

Even if we ignore the naturalness problem, the colored Higgs must have $O(10^{17})$ GeV regardless of the value of $\tan \beta$.

Note that since $SO(10)$ and E_6 GUT models lead to Yukawa coupling unification, $\tan \beta$ must be around 40 – 50, and then the colored Higgs masses must exceed $O(M_{\text{Planck}})$.

Minimal $SU(5)$ SUSY GUT: Problem-III (Yukawa couplings)

- In the minimal model, the Yukawa coupling matrix of down-type quark is the same as that of charged lepton.

Some known methods to avoid this problem

- ‡ To take into account of superpotential higher order terms, e.g., quartic terms and quintic terms.

$$W = \sum_{n=1} \kappa_n \frac{\langle \Phi_{24} \rangle^n}{\Lambda^n} \hat{F}_{10} \hat{G}_{5^*} \hat{H}_{d5^*}$$

κ_n : a dimensionless coupling constant, Λ : a dimensional parameter.

- ‡ To introduce higher dimensional representations, e.g., **45,45*** and **75** representations of $SU(5)$ [13, H.Georgi,C.Jarlskog,PLB'79].

Some known methods suppressing proton decays via colored higgses

- # SUSY particle's masses are much heavier than 1 TeV. [10, J.Hisano et al.,JHEP'13; . . .]
- # In an orbifold extra dimension model $S^1/(Z_2 \times Z'_2)$, when only doublet higgses have zero modes and colored higgses have no zero modes [14, Y.Kawamura,PTP'01], the colored higgses have Dirac mass terms. The contribution to proton decay via colored higgses is strongly suppressed [15, L.J.Hall,Y.Nomura,PRD'01;. . .].

The $SU(5) \times SU(1, 1)$ Model

- # When only doublet higgses are chiral and colored higgses are vectorlike via spontaneous generation of generations [7, 16, K.Inoue,N.Yamashita,PTP'00;K.Inoue,N.Y.,PTP'08], the colored higgses have Dirac mass terms. The contribution to proton decay via colored higgses is strongly suppressed [1, N.Y.,PTEP'13].

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2. A Vectorlike $SU(5) \times SU(1, 1)$ SUSY GUT model

Representations of $SU(1, 1)$ in the Noncompact Model

Matter field = infinite-dim. unitary reps. of the noncompact group

$$\begin{cases} \hat{F} = \{\hat{f}_{+\alpha}, \hat{f}_{+\alpha+1}, \hat{f}_{+\alpha+2}, \dots\}, \\ \hat{F}^c = \{\hat{f}_{-\alpha}^c, \hat{f}_{-\alpha-1}^c, \hat{f}_{-\alpha-2}^c, \dots\}, \end{cases}$$

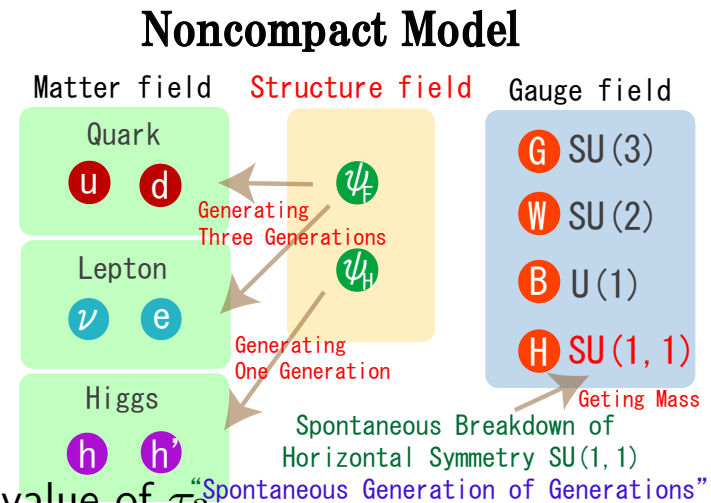
$\alpha = [\text{real and positive}]$.

Structure field = finite-dim. reps.

$$\hat{\Psi} = \{\hat{\psi}_{-S}, \hat{\psi}_{-S+1}, \dots, \hat{\psi}_{S-1}, \hat{\psi}_S\},$$

$S = [\text{Integer or half-integer}], \text{ called } SU(1, 1) \text{ spin.}$

Subscripts, such as α, S , stand for the eigenvalue of τ_3 .



All Matter and Structure fields are chiral superfields in $\mathcal{N} = 1$ SUSY.

[8, N.Y., PTEP'13]

Matter Content in the $SU(5) \times SU(1, 1)$

Matter fields

Field	$\hat{F}_{10}^{(l)}$	$\hat{G}_{5^*}^{(l)}$	\hat{H}_{u5}	\hat{H}_{d5^*}	$\hat{F}_{10^*}^{(l)c}$	$\hat{G}_5^{(l)c}$	$\hat{H}_{u5^*}^c$	\hat{H}_{d5}^c
$SU(5)$	10	5*	5	5*	10*	5	5*	5
$SU(1, 1)$	$+\alpha^{(l)}$	$+\beta^{(l)}$	$-\gamma$	$-\delta$	$-\alpha^{(l)}$	$-\beta^{(l)}$	$+\gamma$	$+\delta$

Structure fields

Field	$\hat{\Phi}_1$	$\hat{\Phi}'_{24}$	$\hat{\Psi}_{1/24}$
$SU(5)$	1	24	1 or 24
$SU(1, 1)$	S	S'	S''

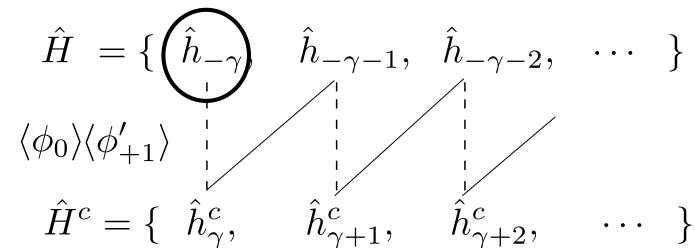
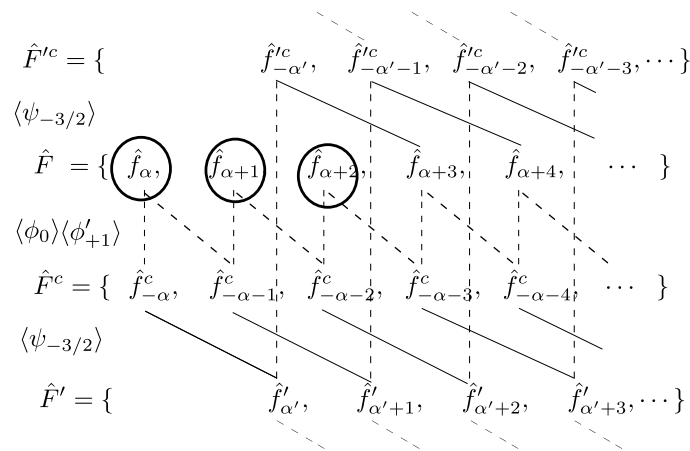
Assuming that the nonvanishing VEVs of the structure fields break $SU(5) \times SU(1, 1)$ into $G_{SM}(M_{GUT} \simeq O(10^{16}) \text{ GeV})$: $\langle \hat{\Phi}_1 \rangle = \langle \phi_0 \rangle$, $\langle \hat{\Phi}'_{24} \rangle = \langle \phi'_{+1} \rangle$, $\langle \hat{\Psi}_{1/24} \rangle = \langle \psi_{-3/2} \rangle$.

3. Realization of Chiral Generations

The Spontaneous Generation of Generations

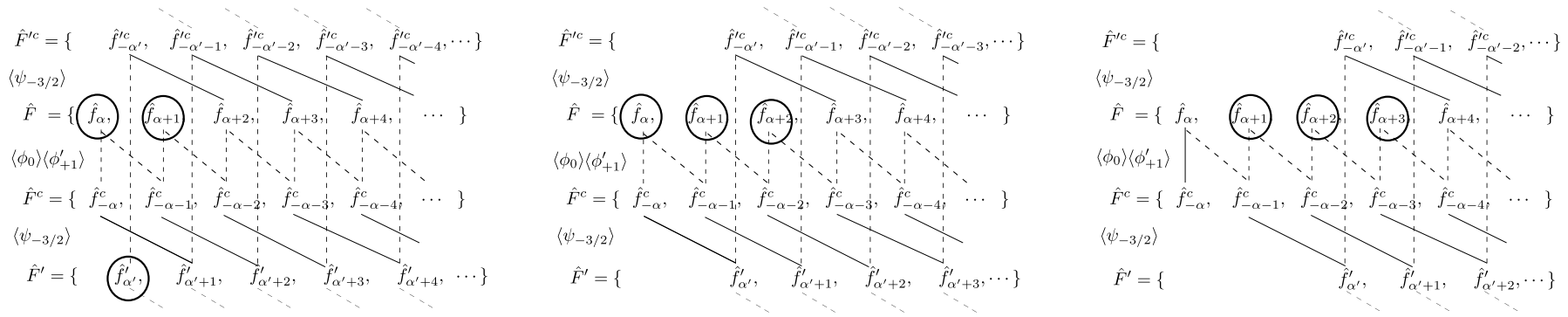
- ① A structure field with an $SU(1, 1)$ integer spin [6, K.Inoue,PTP'95]
- ② Structure fields with $SU(1, 1)$ integer spins [16, K.Inoue,N.Yamashita,PTP'00]
- ③ Structure fields with $SU(1, 1)$ integer and half-integer spins [8, N.Y.,PTEP'13]

In the current model, the discussion for quarks and leptons is corresponding to ③, and the discussion for higgses is corresponding to ②. We glance at them.



Three Chiral Generations of Quarks and Leptons [8, N.Y.,PTEP'13]

The patterns of massless modes can be classified into three types by using the $SU(1,1)$ weight of matter fields.



This patterns determine structures of Yukawa couplings in part.

One Chiral Generation of Higgses [7, 16, K.Inoue,N.Yamashita,PTP'00;K.Inoue,N.Y.,PTP'08]

Whether massless modes appear or not depends on the VEVs of the structure fields and the coupling constants between structure fields and matter fields.

If the condition $\epsilon_2 < \epsilon_{cr} < \epsilon_3$ is satisfied, the doublet components appear at low energy without triplet components.

$\epsilon_2 < \epsilon_3$: depending on VEVs and coupling constants; ϵ_{cr} : depending on $SU(1, 1)$ spin.

I.e., the lightest doublet higgs has no Dirac mass, while the lightest colored higgs has a Dirac mass $O(M_{GUT}) \sim O(10^{16})$ GeV.

$$\hat{H} = \{ \hat{h}_{-\gamma}, \hat{h}_{-\gamma-1}, \hat{h}_{-\gamma-2}, \dots \}$$

$$\langle \phi_0 \rangle \langle \phi'_{+1} \rangle$$

$$\hat{H}^c = \{ \hat{h}_{\gamma}^c, \hat{h}_{\gamma+1}^c, \hat{h}_{\gamma+2}^c, \dots \}$$

$$\hat{T} = \{ \hat{t}_{-\gamma}, \hat{t}_{-\gamma-1}, \hat{t}_{-\gamma-2}, \dots \}$$

$$\langle \phi_0 \rangle \langle \phi'_{+1} \rangle$$

$$\hat{T}^c = \{ \hat{t}_{\gamma}^c, \hat{t}_{\gamma+1}^c, \hat{t}_{\gamma+2}^c, \dots \}$$

4. Structures of Yukawa Couplings

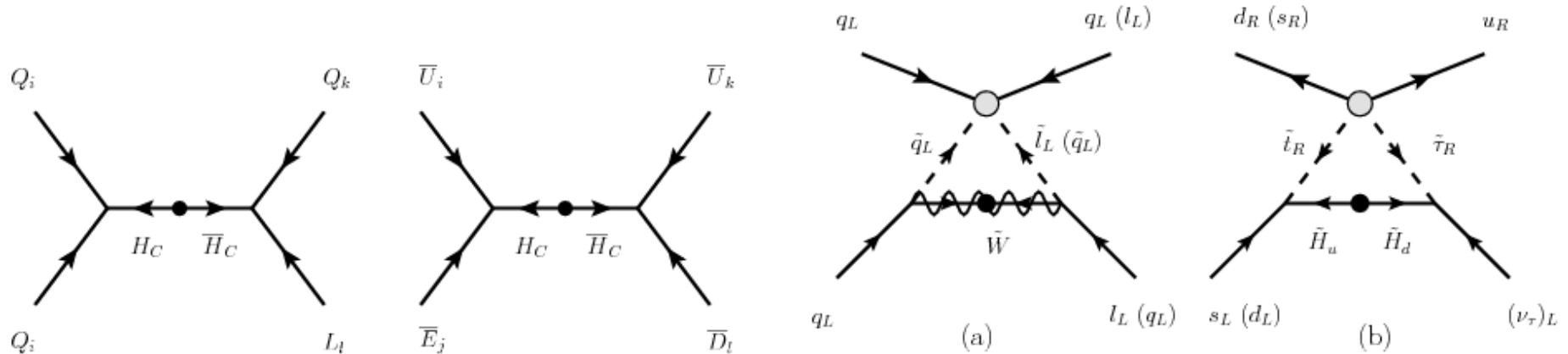
Patterns of Yukawa Couplings:

- ① Minimal Higgs sector [6, K.Inoue,PTP'95]
- ② Extended Higgs sector [7, K.Inoue & N.Y., PTP'08]
- ③ Non-trivial quarks, leptons, and Higgs sector [8, N.Y., PTEP'13]

Short summary:

‡ In the current model, chiral down-type quarks and charged leptons are affected by the $SU(5)$ breaking effect, i.e., the VEV of the structure fields with the adjoint representation of $SU(5)$. Thus, the Yukawa coupling matrix of the down-type quark is different from that of the charged lepton.

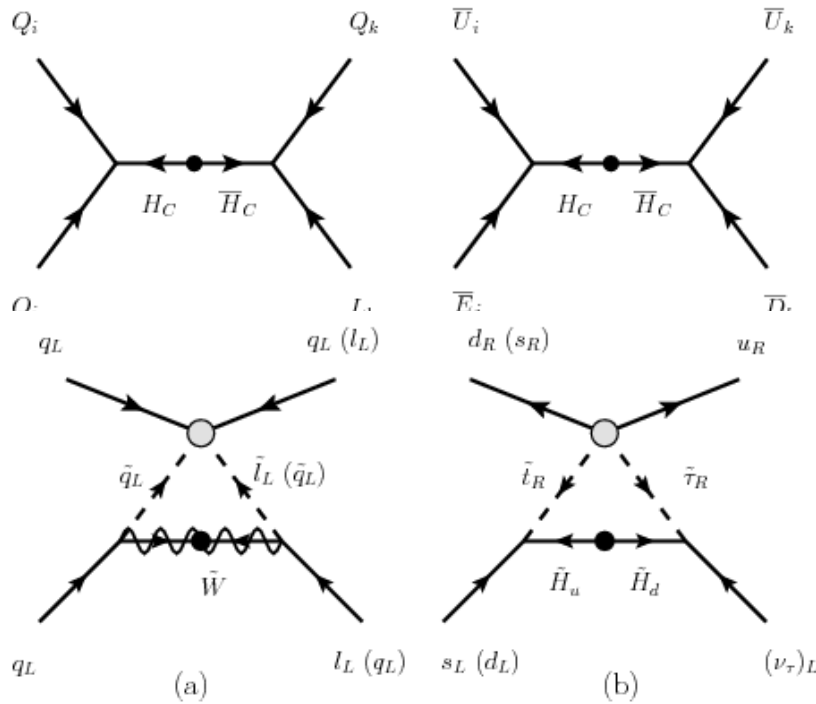
5. Proton Decay



From Ref. [10, J.Hisano et al.,JHEP'13]

Superpotential quartic terms $\hat{Q}\hat{Q}\hat{Q}\hat{L}$ and $\hat{U}^c\hat{U}^c\hat{D}^c\hat{E}^c$ are generated by colored higgses, and lead to dimension-6 operators causing proton decays.

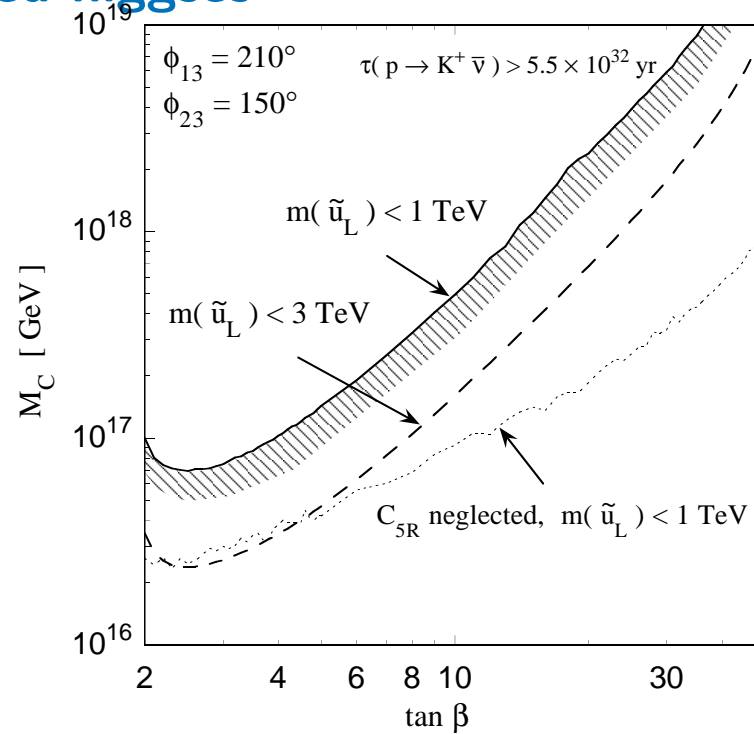
Proton decays mediated by colored higgses



From Ref. [10, J.Hisano et al.,JHEP'13]

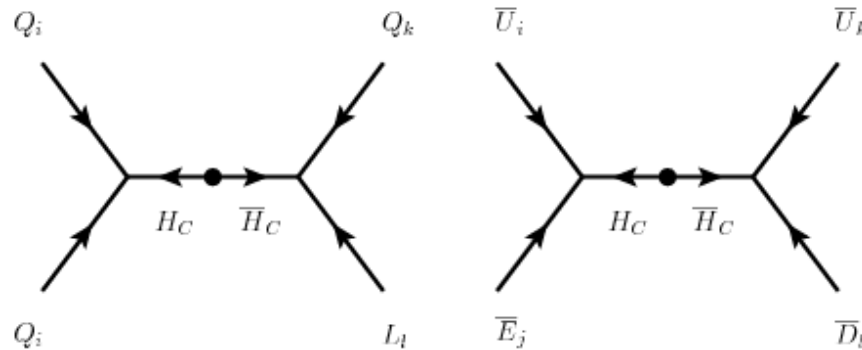
$$W \sim \frac{1}{M_C} \left(\hat{Q}\hat{Q}\hat{Q}\hat{L} + \hat{U}^c\hat{U}^c\hat{D}^c\hat{E}^c \right).$$

(Recent Super-Kamiokande result $\tau(p \rightarrow K^+ \bar{\nu}) > 3.3 \times 10^{33}$ yr [12, M.Miura,PoS'2010])



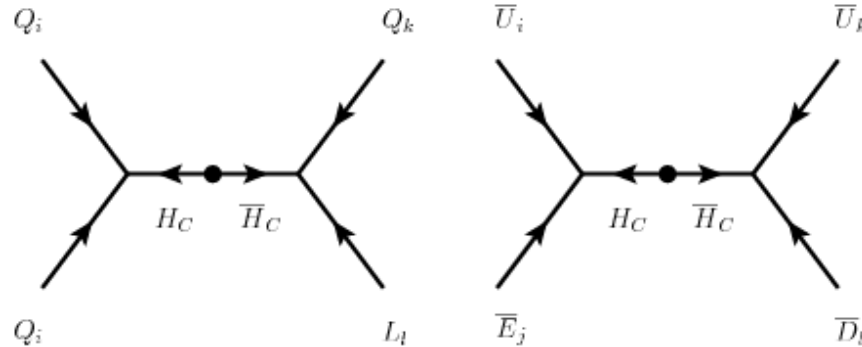
From Ref. [11, T.Goto, T.Nihei,PRD'99]

The $SU(5) \times SU(1, 1)$ Model



- μ -term $\hat{H}_u \hat{H}_d$, $\hat{Q} \hat{Q} \hat{Q} \hat{L}$ and $\hat{U}^c \hat{U}^c \hat{D}^c \hat{E}^c$ are forbidden by the horizontal symmetry $SU(1, 1)$. (They are allowed by ordinary R -parity.)
- Spontaneous horizontal symmetry breaking can produce nonzero μ -parameter $O(m_{\text{SUSY}})$, and then $\hat{Q} \hat{Q} \hat{Q} \hat{L}$ and $\hat{U}^c \hat{U}^c \hat{D}^c \hat{E}^c$ are also generated.
- The masses of the colored higgses come from mass terms $O(M_{\text{GUT}})$ between the colored higgses and their conjugate fields as well as the effective μ -term $O(m_{\text{SUSY}})$ between up- and down-type colored higgses.

The $SU(5) \times SU(1, 1)$ Model



$$W \sim \frac{\mu}{M_{T_u} M_{T_d}} \left(\hat{Q} \hat{Q} \hat{Q} \hat{L} + \hat{U}^c \hat{U}^c \hat{D}^c \hat{E}^c \right), \quad "M_C'' := \frac{M_{T_u} M_{T_d}}{\mu},$$

where $\mu = O(m_{\text{SUSY}})$ and $M_{T_u} \simeq M_{T_d} = O(M_{\text{GUT}})$.

$$\Rightarrow "M_C'' = O(M_{\text{GUT}}^2 / m_{\text{SUSY}}) \sim O(10^{29}) \text{ GeV}.$$

- The contribution to proton decay via colored higgses ($p \rightarrow K^+ \bar{\nu}$) is highly suppressed. Thus the main contribution comes from the GUT gauge bosons ($p \rightarrow \pi^0 e^+$).

6. Summary

A Vectorlike $SU(5) \times SU(1, 1)$ SUSY GUT model

- ① Three chiral generations of quarks and leptons and one chiral generation of Higgses can be realized by using structure fields with integer and half-integer $SU(1, 1)$ spins discussed in Ref. [8, N.Y., PTEP'13].
- ② In this case, the doublet-triplet mass splitting of Higgses can be naturally realized.
- ③ The Yukawa coupling matrix of down-type quark is different from that of charged lepton.
- ④ Since the colored Higgses have Dirac masses, the contribution to proton decay derived from colored Higgses is highly suppressed.

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