Partial deconfinement in gauge theories

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Hanada & Maltz (2016) Hanada, Jevicki, Peng & Wintergerst, (2019) Hanada & Robinson, (2019)

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Introduction: large-Nc gauge theory

QCD : SU(3) gauge theory, 3 colors



gauge field (gluon)





SU(Nc) gauge theory, Nc colors

$$\begin{pmatrix} A_{\mu}^{11} & \cdots & A_{\mu}^{1N} \\ \vdots & \ddots & \vdots \\ A_{\mu}^{N1} & \cdots & A_{\mu}^{NN} \end{pmatrix} \qquad \begin{pmatrix} \Psi^{11} & \cdots & \Psi^{1N} \\ \vdots & \ddots & \vdots \\ \Psi^{N1} & \cdots & \Psi^{NN} \end{pmatrix} \qquad \begin{pmatrix} \Phi^{1} \\ \vdots \\ \Phi^{N} \end{pmatrix}$$

Large N limit

`t Hooft large N limit; [`+ Hooft, (1974)]

$$N \to \infty$$
, $\lambda \equiv g_{\rm YM}^2 N$: fixed

 Saddle point approximation becomes exact (up to 1/N corrections)

- This often gives good approximation to finite N, even N=3
- Energy and entropy can be estimated by counting color d.o.f.
- Another motivation; equivalence to string theory

Summing up vacuum diagrams



Genus expansion of string worldsheet

Deconfinement transition



From [Fukushima & Hatsuda, (2012)]

"deconfinement criterion" in large-N theory Confined phase; Deconfined phase; $E \sim N^0$ $E \sim N^a$

Phase transition takes place even finite volume.

$$\begin{cases} a = 1 & \text{fundamental matter} \\ a = 2 & \text{adjoint matter} \end{cases}$$

"Partial" deconfinement?

- Confined phase; $E \sim N^0$
- Deconfined phase; $E \sim N^2$

(thermal excitation of color d.o.f.)



What happens if energy is not so large or small? ex.) $E \sim N^2/100$

"Partial" deconfinement?

- Confined phase; $E \sim N^0$
- Deconfined phase; $E \sim N^2$

(thermal excitation of color d.o.f.)

What happens if energy is not so large or small? ex.) $E \sim N^2/100$

only SU(N/10) sector deconfines. $E \sim M^2 = \varepsilon N^2$ with $M \sim \sqrt{\varepsilon}N = N/10$

"Partially deconfined" phase



Phase structures

(Precise arguement is given later)



Phase structures

(Precise arguement is given later)

There are TWO transitions.



One of transitions is Gross-Witten-Wadia(GWW) transition [Gross & Witten, (1980)/ Wadia, (1980)]

Relation to Gross-Witten-Wadia transition



1/N and 1/N' corrections are both negligible.

At $M \leq N'$, SU(N) and SU(N') theories behave in same manner.



In partially deconfined phase,

 $E = E_{\text{GWW}}(M), \quad S = S_{\text{GWW}}(M)$

Point of view from Polyakov loop

Polyakov loop : an order parameter of confine/deconfine transition

$$P = \frac{1}{N} \operatorname{Tr} \mathscr{P} \exp \left[- \oint_{\text{temporal}} A_t \right] = \frac{1}{N} \sum_{j=1}^N e^{i\theta_j} = \int d\theta \ \rho(\theta) e^{i\theta}$$

$$\rho(\theta) = \frac{1}{N} \sum_{j} \delta(\theta - \theta_{j}) : \text{phase distribution}$$

Can be regarded as continuous function in large N limit





Phase distribution of Polyakov line phases

Polyakov loop : an order parameter of confine/deconfine transition



$$\rho(\theta) = \frac{N - M}{N} \rho_{\text{conf}}(\theta) + \frac{M}{N} \rho_{\text{GWW},M}(\theta) = \frac{N - M}{N} \cdot \frac{1}{2\pi} + \frac{M}{N} \rho_{\text{GWW},M}(\theta)$$

Partial deconfinement is "the mixture."

M θ_j s are in deconfined phase and *N*-*M* θ_j s are in confined phase

(For string theorists)

Connection to String Theory

Berkowitz, Hanada & Maltz, (2016) Hanada & Maltz, (2016) Berenstein, (2018)

Black hole in $AdS_5 \times S^5 \ll 4d N=4 SU(N) SYM$

Strongly coupled 4d SYM / dual string theory (E: fix)



Large BH (AdS BH) $E \sim N^2 T^4$



Black hole in $AdS_5 \times S^5 \ll 4d N=4 SU(N) SYM$



D-branes with open strings & BH

Dp-brane : the objects that open strings can put their endpoints.



Classical vacua (:minima of potential)

$$X_M = \operatorname{diag}\left(x_M^1, x_M^2, \cdots, x_M^N\right)$$

 $(X_M)_{ii} = x_M^i$: Position of *i* th *Dp*-brane $(X_M)_{ij}$'s fluctuation

: Open strings between *i* th and *j* th *Dp*-brane

D-branes with open strings & BH



When E is not so large/small,

[Hanada & Maltz, (2016)/Berkowitz, Hanada & Maltz, (2016)]



gauge theory counterpart of small black hole

Negative specific heat can appear

Roughly, temperature ~ energy per d.o.f.





 $T \sim E/N^2$ $T' \sim E'/N^2$

 N^2 :fixed, $\rightarrow T' > T$, if E' > E



It's possible that T decreases when E increases.

Contents

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2, Explicit demonstrations of partial deconfinement

- Weakly-coupled 4D Yang-Mills theories
- Lower dimensional theories
- 3, Some applications
 - partial deconfinement and gauge fixing
- 4, Summary & Discussion

(For all) Explicit demonstrations of partial deconfinement

Hanada, Ishiki & HW, (2018) Hanada, Jevicki, Peng & Wintergerst, (2019) Hanada & Robinson, (2019)

Weakly-coupled 4D Yang-Mills theories

• 4d SU(N) Yang-Mills theory with adjoint matters on S³;

[Sundborg, (2000)/Aharony, Marsano, Minwalla, Papadodimas & Raamsdonk, (2003)]

At zero 't Hooft coupling;

$$Z(x) = \int [dU] \exp\left\{\sum_{m=1}^{\infty} \frac{1}{m} \left(z_{B}(x^{m}) + (-1)^{m+1} z_{F}(x^{m})\right) \operatorname{tr}(U^{m}) \operatorname{tr}(U^{\dagger})^{m})\right\}$$

$$x \equiv e^{-\beta}, \quad z(x) = \sum_{i} x^{E_{i}} \text{ :Single particle particle partition function}$$

$$\int [dU] \rightarrow \prod_{i} \int_{-\pi}^{\pi} [d\theta_{i}] \prod_{i < j} \sin^{2} \left(\frac{\theta_{i} - \theta_{j}}{2}\right), \quad \operatorname{tr}(U^{n}) \rightarrow \sum_{j} e^{in\theta_{j}}$$

$$Z(x) = \int [d\theta_{i}] \exp\left(-\sum_{i \neq j} V(\theta_{i} - \theta_{j})\right)$$

$$V(\theta) = \ln(2) + \sum_{n=1}^{\infty} \frac{1}{n} \left(1 - z_{B}(x^{n}) - (-1)^{n+1} z_{F}(x^{n})\right) \cos(n\theta)$$

$$P, E$$

At small nonzero 't Hooft coupling;

 $Z(\beta) = \int [dU] \exp\left[-\left(|\operatorname{tr}(U)|^2 \left(m_1^2 - 1\right) + b |\operatorname{tr}(U)|^4 / N^2\right)\right]$

[Aharony, Marsano, Minwalla, Papadodimas & Raamsdonk, (2005)]

At ; GWW transition

Depending on sign of b,

phase structure changes.

Weakly-coupled 4D Yang-Mills theories

• 4d SU(N) Yang-Mills theory with adjoint matters on S³;

[Sundborg, (2000)/Aharony, Marsano, Minwalla, Papadodimas & Raamsdonk, (2003)]

$$\rho(\theta) = \begin{cases} \frac{1}{2\pi} & (T \le T_1 = T_2) \\ \frac{1}{2\pi} (1 + A\cos\theta) & (T = T_1 = T_2) \\ \frac{A}{\pi}\cos\frac{\theta}{2}\sqrt{\frac{1}{A} - \sin^2\frac{\theta}{2}} & (T \ge T_1 = T_2, |\theta| < 2\arcsin\sqrt{A^{-1}}) \end{cases}$$

At
$$T = T_1 = T_2$$
,

$$\rho(\theta) = \frac{1}{2\pi} (1 + A\cos\theta)$$

$$= (1 - A) \cdot \frac{1}{2\pi} + A \cdot \frac{1}{2\pi} (1 + \cos\theta)$$

$$= (1 - A)\rho_{\text{conf}} + A \cdot \rho_{\text{GWW}}(\theta)$$

 $\rho(\theta) = \frac{N-M}{N} \cdot \rho_{\text{conf}} + \frac{M}{N} \rho_{\text{GWW},M}(\theta) \quad \text{with} \quad \frac{M}{N} = A$



Phase structures revisited



Weakly-coupled 4D Yang-Mills theories

4d SU(N_c) Yang-Mills theory with N_f fundamental matters on S³;
 [Schnitzer, (2004) / Hollowood & Myers, (2012)]

 $N_f = 0$ [Hanada, Jevicki, Peng & Wintergerst, (2019) / Hanada & Robinson, (2019)]

$$\beta F = N_c^2 \sum_n a_n(T) u_n^2 \qquad a_n(T) = \frac{1}{n} \left(1 - 2 \sum_{l=1}^\infty l(l+2) e^{-n\beta \frac{(l+1)}{R}} \right), \quad u_n = \frac{1}{N_c} \operatorname{tr}(U^n)$$

Searching the minimum of effective action;

• At low temperature,

$$u_n > 0, \quad \therefore u_n = 0$$

Phase transition happens at

$$a_1(T_c = T_1 = T_2) = 0$$

$$u_1 = P = \frac{1}{N_c} \operatorname{tr} U, \quad 0 \le P \le \frac{1}{2}$$



$$E = -\frac{\partial(\beta F)}{\partial\beta}\bigg|_{T=T_c} = N_c^2 P^2 \times \frac{\partial a_n}{\partial\beta}\bigg|_{T=T_c} = E_{\text{GWW}}(M_c), \qquad S = \beta(E-F) = S_{\text{GWW}}(M_c)$$

Weakly-coupled 4D Yang-Mills theories

4d SU(N_c) Yang-Mills theory with N_f fundamental matters on S³;
 [Schnitzer, (2004) / Hollowood & Myers, (2012)]

 $N_f > 0$ [Hanada, Jevicki, Peng & Wintergerst, (2019) / Hanada & Robinson, (2019)]

$$\beta F = \sum_{n} \left(N_c^2 a_n(T) u_n^2 + N_c N_f b_n(T) u_n \right)$$
$$b_n(T) = \frac{(-1)^n}{n} \cdot 4 \sum_{l=1}^{\infty} l(l+1) e^{-n\frac{\beta}{R} \sqrt{\left(l+\frac{1}{2}\right)^2 + m^2 R^2}}$$

At
$$T = T_c = T_{\text{GWW}}(M_c, N_f)$$
,

$$E = \sum_n \left(N_c^2 u_n^2 \frac{\partial a_n}{\partial \beta} + N_c N_f u_n \frac{\partial b_n}{\partial \beta} \right)$$

$$= \sum_n \left(M_c^2 \tilde{u}_n^2 \frac{\partial a_n}{\partial \beta} + M_c N_f \tilde{u}_n \frac{\partial b_n}{\partial \beta} \right) = E_{\text{GWW}} \left(M_c, N_f \right)$$

$$S = S_{\text{GWW}}\left(M_c, N_f\right)$$
 with $\tilde{u}_n = \frac{N_c}{M_c}u_p$



3d free O(N) vector model (Nf=1 for simplicity)

Holographic dual to Vasiliev higher spin gravity

$$\rho(\theta) = \frac{1}{2\pi} - \frac{\pi b^2}{6} + \frac{b^2(|\theta| - \pi)^2}{2\pi} \text{ [Shenker & Yin, (2011)]}$$

$$= \frac{1}{2\pi} \left(1 - \frac{b^2}{b_{\text{GWW}}^2} \right) + \frac{b^2}{b_{\text{GWW}}^2} \cdot \frac{b_{\text{GWW}}^2(|\theta| - \pi)^2}{2\pi} \qquad b = \frac{T}{\sqrt{N}} \le b_{\text{GWW}} = \frac{\sqrt{3}}{\pi}$$

$$= \rho(\theta; b_{\text{GWW}}) = \rho_{\text{GWW},M}(\theta)$$
with $\frac{M}{N} = \frac{b^2}{b_{\text{GWW}}^2}$, $T = b\sqrt{N} = b_{\text{GWW}}\sqrt{M}$

$$\rho(\theta) = \frac{N - M}{N} \cdot \frac{1}{2\pi} + \frac{M}{N}\rho_{\text{GWW},M}(\theta)$$

At $1 \ll T \leq T_{\rm GWW}$,

 $E \approx 16\zeta(5)T^5 \sim M^{5/2}, \quad S \approx 20\zeta(5)T^4 \sim M^2$

[Hanada, Jevicki, Peng & Wintergerst, (2019)]

Numerical check of partial deconfinement

The bosonic part of plane wave matrix model (PWMM or BMN matrix model) • = the mass deform. of (0+1)d SYM / Matrix quantum mechanics.

$$L = N \operatorname{Tr} \left(\frac{1}{2} \sum_{I=1}^{9} \left(D_{t} X_{I} \right)^{2} + \frac{1}{4} \sum_{I,J=1}^{9} \left[X_{I}, X_{J} \right]^{2} - \frac{\mu^{2}}{2} \sum_{i=1}^{3} X_{i}^{2} - \frac{\mu^{2}}{8} \sum_{a=4}^{9} X_{a}^{2} - \mathrm{i} \sum_{i,j,k=1}^{3} \mu \epsilon^{ijk} X_{i} X_{j} X_{k} \right)$$

Check by Monte Carlo simulation;

- Hysteresis $(T_2 \leq T_1)$
- Phase distribution

Ρ

 $\rho(\theta) = \begin{cases} \frac{1}{2\pi} \\ \frac{1}{2\pi} (1 + A\cos\theta) \\ \frac{A}{\pi} \cos\frac{\theta}{2} \sqrt{\frac{1}{A} - \sin^2\frac{\theta}{2}} \end{cases}$ 0.25 0.2 ρ(θ) 0.15 0.1 (N = 128)0.05 T₁

The bosonic part of BFSS matrix model ($\mu = 0$) had been studied recently • by [Bergner, Bodendorfer, Hanada, Rinaldi, Schafer & Vranas, (2019)]

GWW ansatz

gauged Gaussian matrix model

[Hanada, Jevicki, Peng & Wintergerst, (2019)] [Bergner, Bodendorfer, Hanada, Rinaldi & HW, (in progress)]

$$S = N \sum_{I=1}^{D} \int_{0}^{\beta} dt \operatorname{Tr} \left(\frac{1}{2} (D_{t} X_{I})^{2} + \frac{1}{2} X_{I}^{2} \right)$$

 $D_t X_I = \partial_t X_I - \mathrm{i} \left[A_t, X_I \right]$

Physical quantities are solvable exactly;

$$E(T = T_c) = \frac{DN^2}{2} + N^2 P^2, \quad S(T = T_c) = N^2 P^2 \ln D$$



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Partial deconfinement and gauge fixing

[Hanada, Jevicki, Peng & Wintergerst, (2019)] [Bergner, Bodendorfer, Hanada, Rinaldi & HW, (in progress)]

$$L = N \operatorname{Tr}\left(\frac{1}{2} \sum_{I=1}^{9} \left(D_{t} X_{I}\right)^{2} + \frac{1}{4} \sum_{I,J=1}^{9} \left[X_{I}, X_{J}\right]^{2}\right) \qquad S = N \sum_{I=1}^{D} \int_{0}^{\beta} \mathrm{d}t \operatorname{Tr}\left(\frac{1}{2} (D_{t} X_{I})^{2} + \frac{1}{2} X_{I}^{2}\right)$$

• Determine M as $M = \lfloor 2NP \rfloor$ config. by confing.

• Plot and fit $\frac{N}{\beta} \int dt \operatorname{Tr} X_I^2$ as $r_0 N^2 + r_1 M^2$, etc. to use as input parameter





Partial deconfinement and gauge fixing

[Hanada, Jevicki, Peng & Wintergerst, (2019)] [Bergner, Bodendorfer, Hanada, Rinaldi & HW, (in progress)]

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Other applications

- Applying the same techniques to Wilson loop of spacial direction
 - cf. black hole/black string topology change <=> 2D SYM
- Prospects to Real-world QCD
 - Neither center symmetry nor chiral symmetry is exact
 - How much contributes finite Nc correction?
 - Consequences of partial deconfinement?
 - Hadron/QGP phase transition is "crossover".
 - SU(4) enhanced symmetry reported by Glozman et al.

Summary & Discussion

- We proposed the partial deconfinement in which only SU(M) sector of SU(N) theory deconfines.
 - It's relating to small BH in dual gravity via holography
- Several examples of partial deconfinement are found in large-Nc gauge theories.
 - Needs to more analysis to strong-coupling theories.
 - Is this applicable to real QCD?

Backup Slides

Yang-Mills and water

[Hanada, Ishiki & HW, (2018)/Hanada & Robinson, (2019)]





Weakly-coupled 4D Yang-Mills theories

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[Sundborg, (2000)/Aharony, Marsano, Minwalla, Papadodimas & Raamsdonk, (2003)]

At zero 't Hooft coupling;

$$Z(x) = \int [d\theta_i] \exp\left(-\sum_{i \neq j} V(\theta_i - \theta_j)\right) \qquad V(\theta) = \ln(2) + \sum_{n=1}^{\infty} \frac{1}{n} \left(1 - z_{\rm B}(x^n) - (-1)^{n+1} z_{\rm F}(x^n)\right) \cos(n\theta)$$
$$x \equiv e^{-\beta}$$

At low temperature;

$$S_{\text{eff}}\left[\rho(\theta)\right] = N^2 \int d\theta_1 \int d\theta_2 \rho(\theta_1) \rho(\theta_2) V(\theta_1 - \theta_2) = \frac{N^2}{2\pi} \sum_{n=1}^{\infty} |\rho_n|^2 V_n(T)$$
$$\rho_n \equiv \int d\theta \rho(\theta) \cos(n\theta)$$
$$V_n \equiv \int d\theta V(\theta) \cos(n\theta) = \frac{2\pi}{n} \left(1 - z_{\text{B}}(x^n) - (-1)^{n+1} z_{\text{F}}(x^n)\right)$$



$$T < T_{\rm H} = -1/\ln x_{\rm H}, \quad z_{\rm B}(x^n) + (-1)^{n+1} z_{\rm F}(x^n) < 1, \quad \to \rho_n = 0$$

$$T = T_{\rm H} = -1/\ln x_{\rm H}, \quad z(x_{\rm H}) \equiv z_{\rm B}(x_{\rm H}) + z_{\rm F}(x_{\rm H}) = 1, \quad \to \rho_1 \neq 0 \quad \clubsuit \quad \Omega(E) \propto E^0 e^{\beta_{\rm H} E}$$

; Hagedorn growth

gauged Gaussian matrix model

[Hanada, Jevicki, Peng & Wintergerst, (2019)] [Bergner, Bodendorfer, Hanada, Rinaldi & HW, (in progress)]

$$S = N \sum_{I=1}^{D} \int_{0}^{\beta} dt \operatorname{Tr} \left(\frac{1}{2} (D_{t} X_{I})^{2} + \frac{1}{2} X_{I}^{2} \right)$$

 $D_t X_I = \partial_t X_I - \mathrm{i} \left[A_t, X_I \right]$

Physical quantities are solvable exactly;

$$\beta F = -\ln Z(\beta)$$

= $\frac{N^2 D}{2} \ln \left(\det(-D_0^2 + 1) \right) - \frac{N^2}{2} \ln \left(\det(-D_0^2) \right)$

Faddeev-Popov term (static diagonal gauge)

$$x \equiv e^{-\beta}$$

At
$$T = T_c$$
, $0 \le |u_1| \le \frac{1}{2}$, $|u_n| = 0 \ (n \ge 2)$
 $1 - Dx_c = 1 - De^{-1/T_c} = 0$, $\therefore T_c = \frac{1}{\ln D}$

 $= \frac{N^2 D\beta}{2} + N^2 \sum_{n=1}^{\infty} \frac{1 - Dx^n}{n} |u_n|^2$

Relation to gauge symmetry breaking

[Hanada, Jevicki, Peng & Wintergerst, (2019)]

In Hamiltonian formalism of gaugeed Gaussian matrix model, (D = 2 for simplicity)







Modified ant model (Ant-Man model)

$$\frac{dx}{dt} = (\tilde{\alpha} + px)(1 - x) - \frac{\tilde{s}x}{\tilde{s} + x} \cdot (1 - x^2) \qquad \qquad x \equiv \frac{N_{\text{trail}}}{N}, \quad \tilde{s} = \frac{s}{N}, \quad \tilde{\alpha} = \frac{\alpha}{N}$$

saddle point





Black hole thermodynamics in AdS space

$$S_{\rm BH} = \frac{k_{\rm B}}{4} \frac{A_{\rm BH}}{l_{\rm pl}^2} = \frac{A_{\rm BH}}{4G} , \qquad dM = \frac{T_{\rm BH}}{4G} dA_{\rm BH} , \quad T_{\rm BH} = \frac{f'(r_0)}{4\pi} \left(ds^2 = -f(r)dt^2 + \frac{dr^2}{f(r)} + \cdots \right) dt^2 + \frac{dr^2}{f(r)} + \cdots \right) dt^2 + \frac{dr^2}{f(r)} dt^2 + \frac{dr^2}{f(r)} + \cdots$$

AdS-Schwarzschild BH with horizon at $(r = r_+)$

$$ds^{2} = -f(r, R_{\text{AdS}})dt^{2} + \frac{dr^{2}}{f(r, R_{\text{AdS}})} + r^{2}d\Omega_{3}^{2} + R_{\text{AdS}}^{2}d\Omega_{5}^{2} \qquad f(r, R_{\text{AdS}}) = \frac{r^{2}}{R_{\text{AdS}}^{2}} + 1 - \frac{r_{0}^{4}}{R_{\text{AdS}}^{2}r^{2}}$$

