### Color Confinement and Bose-Einstein Condensation

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- 'kinematical' confinement/deconfinement at large N
- Partial confinement/deconfinement
- Explicit demonstration at weak coupling
- Confinement = BEC  $\rightarrow$  generalization to strong coupling
- (Numerical evidence at strong coupling)



Confinement phase: E, S ~ N<sup>0</sup>

• Deconfinement phase: E, S ~ N<sup>2</sup>





This 'kinematical' characterization (Witten 1998)

works even at weak coupling and/or small volume.

(Sundborg 1998; Aharony et al 2003)

We consider the <u>large-N</u> limit.









Z=Z(T), P=P(T), E=E(T)

Unique vacuum in *micro-canonical ensemble* (maximize S at each E)

S=S(E), P=P(E), T=T(E)



(minimize F at each T)

Stable in *micro-canonical ensemble* (maximize S at each E)

### **Thermodynamics 101**



isolated system (E conserved)

microcanonical ensemble

maximize entropy S(E)

 $T^{-1} = dS/dE$ 

### **Thermodynamics 101**



### Thermodynamics 102

Canonical ensemble may or may not make sense at finite volume.



4d SYM on S<sup>3</sup>

radius R

typical length scale  $f(\lambda) \times R$ 

'tiny subsystem' may not make sense.

R

Micorocanonical ensemble always makes sense.



### Black Hole in $AdS_5 \times S^5 = 4d N = 4 SYM on S^3$





#### Hagedorn String







#### Large BH E ~ N<sup>2</sup>T<sup>4</sup>

Schwarzschild BH in D-dim spacetime  $\rightarrow$  T<sup>-(D-3)</sup>



- Small BH-like phase between QGP and hadron phase?
- What is happening there?

- 'kinematical' confinement/deconfinement at large N
- Partial confinement/deconfinement
- Explicit demonstration at weak coupling
- Confinement = BEC  $\rightarrow$  generalization to strong coupling?
- (Numerical evidence at strong coupling)

• Confinement phase: E, S ~ N<sup>0</sup>

• Deconfinement phase: E, S ~ N<sup>2</sup>





Ν

#### What if $E \sim N^2/100$ ?



• Confinement phase: E, S ~ N<sup>0</sup>

• Deconfinement phase: E, S ~ N<sup>2</sup>



















#### Intuitive picture in gravity (no proof yet)





# Heuristic justification

(more precise argument is given later)

#### Why doesn't a part of the volume deconfine?



#### (Exception: first order transition, large volume)

#### Why doesn't a part of the volume deconfine?



Deconfinement takes place even in matrix model, which has no spatial dimension.

(Exception: first order transition, large volume)

#### Why don't all N<sup>2</sup> d.o.f. gently deconfine?



#### Why don't all N<sup>2</sup> d.o.f. gently deconfine?



## In quantum mechanics, parametrically small excitation is impossible.

minimal energy quantum  $\sim\,$  latent heat

cf) water/ice



Why should large symmetry be preserved?



no symmetry

Why should large symmetry be preserved?



no symmetry

 $SU(M) \times SU(N-M)$ 

## It is natural to expect a large symmetry at saddle point.

"Confinement = BEC" will justify this expectation.

# Phase Diagrams

MH-Ishiki-Watanabe, arXiv:1812.05494 [hep-th]

- Hagedorn transition
- Gross-Witten-Wadia transition
- "Gauge symmetry breaking"

(more precise argument is given later)





All-to-all interaction → Nontrivial T-dependence





All-to-all interaction → Nontrivial T-dependence

Т

 $T_1$ 

Т

 $T_1=T_2$ 

 $T_1$ 

Т









All-to-all interaction → Nontrivial T-dependence

Polyakov loop

(Wilson loop wrapped on the temporal circle)

 $P = \frac{1}{N} \frac{1}{2}$  $\sum e^{i\theta_j}$ 

• Phase distribution:



Polyakov loop

(Wilson loop wrapped on the temporal circle)



• Phase distribution:



### Gross-Witten-Wadia transition = "partial deconfinement → complete deconfinement" transition



All-to-all interaction → Nontrivial T-dependence

Polyakov loop

(Wilson loop wrapped on the temporal circle)



• Phase distribution:



Polyakov loop

(Wilson loop wrapped on the temporal circle)

$$P = \frac{1}{N} \sum_{j=1}^{N} e^{i\theta_j}$$

• Phase distribution:






transition 2: partial deconfinement to complete deconfinement (black hole formation ends)



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$$SU(N) \rightarrow SU(M) \times SU(N-M) \rightarrow SU(N)$$



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$$SU(N) \rightarrow SU(M) \times SU(N-M) \rightarrow SU(N)$$

No need for center symmetry  $\rightarrow$  Applicable to QCD.

## Simplest Example:

## Gauged Gaussian Two Matrix Model

$$\hat{H} = \frac{1}{2} \text{Tr} \left( \hat{P}_X^2 + \hat{X}^2 + \hat{P}_Y^2 + \hat{Y}^2 \right)$$

## (Other cases are very similar)

M.H., Jevicki, Peng, Wintergerst, 1909.09118 [hep-th]

 $\hat{H} = \frac{1}{2} \operatorname{Tr} \left( \frac{\hat{P}_X^2 + \hat{X}^2}{1 - 1} + \frac{\hat{P}_Y^2 + \hat{Y}^2}{1 - 1} \right)$  $\hat{B}, \hat{B}^{\dagger}$  $\hat{A}, \hat{A}^{\dagger}$ 

 $\operatorname{Tr}\left(\hat{A}^{\dagger}\hat{A}^{\dagger}\hat{B}^{\dagger}\hat{A}^{\dagger}\cdots\right)\left|0\right\rangle$ 

E = L (up to zero-pt energy)  $S = L \log 2$  (# of states ~ 2<sup>L</sup>) (valid at L«N<sup>2</sup>)

 $F = E - TS = L(1 - T\log 2)$ 

(up to zero-pt energy; valid at  $L \ll N^2$ )

$$F = 0 \oslash T = \frac{1}{\log 2}$$







$$S = N^2 P^2 \log 2$$

$$\rho(\theta) = \frac{1 + 2P\cos\theta}{2\pi}$$





 $S = N^2 P^2 \log 2$ 

$$\rho(\theta) = \frac{1 + 2P\cos\theta}{2\pi}$$







Т

$$S = N^2 P^2 \log 2 = \frac{M^2}{4} \log 2 + (N^2 - M^2) \times 0$$

$$\rho(\theta) = \frac{1+2P\cos\theta}{2\pi} = \frac{M}{N} \cdot \frac{1+\cos\theta}{2\pi} + \left(1-\frac{M}{N}\right) \cdot \frac{1}{2\pi}$$







Т

$$S = N^2 P^2 \log 2 = \frac{M^2}{4} \log 2 + (N^2 - M^2) \times 0$$

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GWW-point of SU(M) theory



GWW-point of SU(M) theory ground state (confining)



Free theory  $\rightarrow$  no interaction term



$$\begin{bmatrix} \mathsf{not} \ \mathsf{SU}(\mathsf{N})-\mathsf{invariant} \end{bmatrix}$$
$$E; \mathrm{SU}(M) \rangle = \mathrm{Tr} \left( \hat{A}'^{\dagger} \hat{A}'^{\dagger} \hat{B}'^{\dagger} \hat{A}'^{\dagger} \cdots \right) |0\rangle$$
$$E = E_{\mathrm{GWW}}(M) \longrightarrow S = S_{\mathrm{GWW}}(M)$$

#### M.H.-Jevicki-Peng-Wintergerst, 2019



M.H.-Jevicki-Peng-Wintergerst, 2019



## These states explain the entropy precisely.

M.H.-Jevicki-Peng-Wintergerst, 2019

## 'Spontaneous gauge symmetry breaking'

(It is a controversial phrase. The meaning will be clarified.)

#### (Consistent with Elitzur's theorem) PHYSICAL REVIEW D covering particles, fields, gravitation, and cosmology Highlights Recent Accepted Authors Referees Search Press About Impossibility of spontaneously breaking local symmetries S. Elitzur Phys. Rev. D 12, 3978 – Published 15 December 1975









$$\hat{O} = \operatorname{Tr}\left(\hat{X}\hat{Y}\cdots\right)$$
 : length ~ N<sup>o</sup>



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# $\langle \square \hat{O} | \square \rangle = 0$



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 : length ~ N<sup>o</sup>

# $\langle \square \hat{O} | \square \rangle = 0$

Analogous to super-selection





$$|E; \mathrm{SU}(M)\rangle = \mathrm{Tr}\left(\hat{A}^{\dagger}\hat{A}^{\dagger}\hat{B}^{\dagger}\hat{A}^{\dagger}\cdots\right)|0\rangle \qquad |\blacksquare\rangle$$
  
Indistinguishable (unless very long operators are used)
$$|E\rangle_{\mathrm{inv}} \equiv \mathcal{N}^{-1/2} \int dU \,\mathcal{U}\left(|E; \mathrm{SU}(M)\rangle\right) \qquad (|\blacksquare\rangle + |\Box\rangle)$$



- Global part of gauge symmetry breaks spontaneously.
- It is convenient to fix the local part, like usual Higgsing.
- Gauge fixing of the local part makes physics more easily understandable.





transition 2: partial deconfinement to complete deconfinement (black hole formation ends)



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## Confinement and BEC

MH-Shimada-Wintergerst, 2020





Bose



### Partial Deconfinement = Partial Confinement

- Many colors fall into ground state (confined sector).
- Ground state and excited state can coexist.
- Happens even at zero-coupling limit.
- Gauge redundancy is crucial.

**Bose-Einstein Condensation** 



- Many particles fall into ground state (BE condensate).
- Ground state and excited state can coexist.
- Happens even at zero-coupling limit.
- Permutation redundancy is crucial.



re-interpretation of Shenker-Yin, 2011







Non-interacting atoms in harmonic trap in R<sup>d</sup>

'S<sub>N</sub> vector quantum mechanics'

 $x_1, ..., x_N; y_1, ..., y_N; z_1, ..., z_N$ 





Non-interacting atoms in harmonic trap in R<sup>d</sup>

'S<sub>N</sub> vector quantum mechanics'

 $x_1, \ldots, x_N; y_1, \ldots, y_N; z_1, \ldots, z_N$ 

$$\frac{M}{N} = \left(\frac{T}{T_c}\right)^d T_c = \left(\frac{N}{\zeta(d)}\right)^{1/d} \omega$$



Free O(N) vector model on S<sup>d</sup>  

$$\Phi_{1}(\mathbf{X}), \dots, \Phi_{N}(\mathbf{X})$$

$$\frac{M}{N} = \left(\frac{T}{T_{c}}\right)^{d}$$

$$T_{c} = \left(\frac{N}{4(1-2^{1-d})\zeta(d)}\right)^{1/d} \cdot \frac{1}{R}$$

$$E(T = T_{c}(M)) = E_{c}(M)$$

$$S(T = T_{c}(M)) = S_{c}(M)$$
Non-interacting atoms in harmonic trap in R<sup>d</sup>  
X\_{1}, \dots, X\_{N}; Y\_{1}, \dots, Y\_{N}; Z\_{1}, \dots, Z\_{N}
$$\frac{M}{N} = \left(\frac{T}{T_{c}}\right)^{d}$$

$$T_{c} = \left(\frac{N}{\zeta(d)}\right)^{1/d} \omega$$

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## Positive interference of wave function

(e.g. Feynman 1953)



$$Z = \sum_{g \in S_N} \sum_{\vec{n}_1, \cdots, \vec{n}_N} \langle \vec{n}_1, \cdots, \vec{n}_N | \hat{g} e^{-\beta \hat{H}} | \vec{n}_1, \cdots, \vec{n}_N \rangle$$
  
$$= \sum_{\vec{n}_1, \cdots, \vec{n}_N} e^{-\beta \left( E_{\vec{n}_1} + \cdots + E_{\vec{n}_N} \right)} \sum_{g \in S_N} \langle \vec{n}_1, \cdots, \vec{n}_N | \hat{g} | \vec{n}_1, \cdots, \vec{n}_N \rangle$$
  
$$= \sum_{\vec{n}_1, \cdots, \vec{n}_N} e^{-\beta \left( E_{\vec{n}_1} + \cdots + E_{\vec{n}_N} \right)} \sum_{g \in S_N} \langle \vec{n}_1, \cdots, \vec{n}_N | \vec{n}_{g(1)}, \cdots, \vec{n}_{g(N)} \rangle$$

$$|\vec{n}_{1},\vec{n}_{2},\cdots,\vec{n}_{N}\rangle \equiv \prod_{i=1}^{d} \frac{\hat{a}_{i1}^{\dagger n_{i1}}}{\sqrt{n_{i1}!}} \frac{\hat{a}_{i2}^{\dagger n_{i2}}}{\sqrt{n_{i2}!}} \cdots \frac{\hat{a}_{iN}^{\dagger n_{iN}}}{\sqrt{n_{iN}!}} |0\rangle$$
## Positive interference of wave function

$$Z = \sum_{g \in G} \operatorname{Tr} \left( \hat{g} e^{-\beta \hat{H}} \right)$$

- Ground state → all N particle are in the same state
   → all g's returns the same value
  - → factor N! enhancement (compared to classical Boltzmann statistics)
- All N particles are in different states
   → only g=1 gives nonzero value

$$|\vec{n}_{1},\vec{n}_{2},\cdots,\vec{n}_{N}\rangle \equiv \prod_{i=1}^{d} \frac{\hat{a}_{i1}^{\dagger n_{i1}}}{\sqrt{n_{i1}!}} \frac{\hat{a}_{i2}^{\dagger n_{i2}}}{\sqrt{n_{i2}!}} \cdots \frac{\hat{a}_{iN}^{\dagger n_{iN}}}{\sqrt{n_{iN}!}} |0\rangle$$

# Positive interference of wave function

$$Z = \sum_{g \in G} \operatorname{Tr} \left( \hat{g} e^{-\beta \hat{H}} \right)$$

- Ground state  $\rightarrow$  all N  $\rho_{and colors}$  are in the same state  $\rightarrow$  all g's returns the same value
  - → factor N! enhancement volume of O(N), SU(N) (compared to classical Boltzmann statistics)
- All N pullings are in different states
  - → only g=1 gives nonzero value

$$|\vec{n}_{1},\vec{n}_{2},\cdots,\vec{n}_{N}\rangle \equiv \prod_{i=1}^{d} \frac{\hat{a}_{i1}^{\dagger n_{i1}}}{\sqrt{n_{i1}!}} \frac{\hat{a}_{i2}^{\dagger n_{i2}}}{\sqrt{n_{i2}!}} \cdots \frac{\hat{a}_{iN}^{\dagger n_{iN}}}{\sqrt{n_{iN}!}} |0\rangle$$

Why should large symmetry be preserved?



no symmetry

Why should large symmetry be preserved?



no symmetry

SU(M)×SU(N-M)

### Larger enhancement factor (volume of SU(N-M))

(compared to classical Boltzmann statistics)





L. Onsager

O. Penrose



C. N. Yang

# Off-Diagonal Long Range Order (ODLRO) vs Polyakov Loop



A. Polyakov



L. Susskind

$$|\vec{n}_1, \vec{n}_2, \cdots, \vec{n}_N\rangle \equiv \prod_{i=1}^d \frac{\hat{a}_{i1}^{\dagger n_{i1}}}{\sqrt{n_{i1}!}} \frac{\hat{a}_{i2}^{\dagger n_{i2}}}{\sqrt{n_{i2}!}} \cdots \frac{\hat{a}_{iN}^{\dagger n_{iN}}}{\sqrt{n_{iN}!}} |0\rangle$$

Reduced density matrix  $\hat{\rho}_1 = N \cdot \operatorname{Tr}_{2,3,\cdots,N} \hat{\rho}$ 

$$\hat{\rho}_{1} = n_{\max} |\Psi\rangle \langle \Psi| + \sum_{i} n_{i} |\Psi_{i}\rangle \langle \Psi_{i}|$$
O(N) term  $\rightarrow$  BEC

 $\langle x|\hat{
ho}_1|y
angle$  non-vanishing at long distance Off-Diagonal Long Range Order

Works even with interaction! (e.g. superfluid helium)





 $Z = \sum_{g \in G} \operatorname{Tr} \left( \hat{g} e^{-\beta \hat{H}} \right)$ 

 $Z = \sum \operatorname{Tr} \left( \hat{g} e^{-\beta \hat{H}} \right)$  $g \in G$ Polyakov loop







Polyakov loop

• Choose a 'typical' state.

$$|\vec{n}_1, \vec{n}_2, \cdots, \vec{n}_N\rangle \equiv \prod_{i=1}^d \frac{\hat{a}_{i1}^{\dagger n_{i1}}}{\sqrt{n_{i1}!}} \frac{\hat{a}_{i2}^{\dagger n_{i2}}}{\sqrt{n_{i2}!}} \cdots \frac{\hat{a}_{iN}^{\dagger n_{iN}}}{\sqrt{n_{iN}!}} |0\rangle$$

• Permutations leaving this state invariant is dominant.

$$Z = \sum_{g \in G} \operatorname{Tr} \left( \hat{g} e^{-\beta \hat{H}} \right)$$

$$-\pi$$
  $\pi$ 

Polyakov loop

• Choose a 'typical' state.

$$|\vec{n}_1, \vec{n}_2, \cdots, \vec{n}_N\rangle \equiv \prod_{i=1}^d \frac{\hat{a}_{i1}^{\dagger n_{i1}}}{\sqrt{n_{i1}!}} \frac{\hat{a}_{i2}^{\dagger n_{i2}}}{\sqrt{n_{i2}!}} \cdots \frac{\hat{a}_{iN}^{\dagger n_{iN}}}{\sqrt{n_{iN}!}} |0\rangle$$

Permutations leaving this state invariant is dominant.

$$|\vec{0},\vec{0},\cdots,\vec{0},\vec{n},\vec{n}',\cdots\rangle$$

invariant under  $S_{N-M}$  in  $S_N$ 

Long cyclic permutation becomes dominant (Feynman 1953) length k ( $\sim$ N–M)  $\rightarrow$  eigenvalues  $e^{2\pi i l/k}$ ,  $l = 0, 1, \cdots, k-1$  $\rightarrow$  constant offset





# Partial deconfinement at <u>strong coupling</u>

Watanabe-Bergner-Bodendorfer-Funai-M.H.-Rinaldi-Schaefer-Vranas, 2005.04103 [hep-th]

#### 渡辺展正

• Gaussian matrix model (free)

#### Analytically solvable

- 'Confined' and 'deconfined' sectors are not interacting

$$S = N \int_0^\beta dt \, \text{Tr} \left\{ \frac{1}{2} (D_t X_I)^2 + \frac{1}{2} X_I^2 \right\}$$

• Yang-Mills matrix model (interacting)

$$S = N \int_0^\beta dt \, \text{Tr} \left\{ \frac{1}{2} (D_t X_I)^2 - \frac{1}{4} [X_I, X_J]^2 \right\}$$

Lattice simulation, & find *typical configuration* (~master field)

• Gaussian matrix model (free)

$$S = N \int_0^\beta dt \, \text{Tr} \left\{ \frac{1}{2} (D_t X_I)^2 + \frac{1}{2} X_I^2 \right\}$$

• Yang-Mills matrix model (interacting)

$$S = N \int_0^\beta dt \, \text{Tr} \left\{ \frac{1}{2} (D_t X_I)^2 - \frac{1}{4} [X_I, X_J]^2 \right\}$$

$$\rho(\theta) = \frac{1 + 2P\cos\theta}{2\pi} = \frac{M}{N} \cdot \frac{1 + \cos\theta}{2\pi} + \left(1 - \frac{M}{N}\right) \cdot \frac{1}{2\pi}$$

This holds in both cases. (Not important, but makes analysis simpler.)



$$\rho(\theta) = \frac{1 + 2P\cos\theta}{2\pi} = \frac{M}{N} \cdot \frac{1 + \cos\theta}{2\pi} + \left(1 - \frac{M}{N}\right) \cdot \frac{1}{2\pi}$$
$$\theta_{1,\dots,\theta_{M}} \qquad \theta_{M+1,\dots,\theta_{N}}$$





deconfined  $\rightarrow X_{ij}$  large

confined  $\rightarrow X_{ij}$  small

$$S = N \int_0^\beta dt \, \text{Tr} \left\{ \frac{1}{2} (D_t X_I)^2 - \frac{1}{4} [X_I, X_J]^2 \right\}$$





# Summary (& Speculation)

- Confinement is (essentially) BEC (at least at large N)
- 'Partially' confined/deconfined phase exists
  - Analytic demonstration at weak coupling
  - Numerical evidence at strong coupling

## Intuitive picture in gravity (no proof yet)





## Intuitive picture in gravity (no proof yet)



Emergent space from entanglement of color d.o.f?



transition 1: confinement to partial deconfinement (black hole formation begins)

transition 2: partial deconfinement to complete deconfinement (black hole formation ends)

$$SU(N) \rightarrow SU(M) \times SU(N-M) \rightarrow SU(N)$$

No need for center symmetry  $\rightarrow$  Applicable to QCD.