

Duality and Weak Gravity


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refs: 2004.13732 w/S. Andriolo, T-C. Huang, H. Ooguri G. Shiu
1909.01352, 2006.06696 w/ G. Loges, G. Shiu
1810.13637 w/Y. Hamada, G. Shiu

July 20th 2020 @ Osaka

swampland :
apparently consistent, but not UV
completable when coupled to gravity



Weak Gravity Conjecture (WGC):
conjectured condition defining boundary
of landscape and swampland

landscape :
QFT models consistent w/quantum gravity

main results toward a proof of WGC:

1. **positivity bounds** imply WGC in many theories
2. but it is not the case once dilaton is turned on
 - **duality symmetries** are useful for WGC

plan

1. Introduction
2. WGC vs. positivity bounds
3. Role of duality symmetries
4. Summary and prospects

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Swampland Program [Vafa '05, Ooguri-Vafa '06]

goal: identify consistency conditions

for a QFT model to be embedded into quantum gravity!

1. better understanding of quantum gravity and string theory
 - which stringy ingredients are crucial for quantum gravity?
2. toward phenomenological tests of quantum gravity
 - test swampland conditions via particle phys. & cosmology

various swampland conditions motivated by string compactification:
no global symmetry, weak gravity conjecture, distance conjecture, ...

main question in this talk:

- string theory accommodates a rich structure (perhaps too complete?):
consistent amplitudes, ∞ gauge symmetries, dualities, holography, ...
- which ingredients are necessary for each swampland condition (if true)?
- specific to string theory or more robust in quantum gravity?

string theory = insurance w/full options



such a direction is better explored recently
in the context of Weak Gravity Conjecture

Weak Gravity Conjecture

[Arkani-Hamed et al '06]

claim: gravity is the weakest force [see next slide for motivation]

in graviton-photon system,

$$\exists \text{ a charged state w/ } g^2 q^2 \geq \frac{m^2}{2M_{\text{Pl}}^2} \quad (\text{gauge force} \geq \text{gravity})$$

- never be satisfied if we decouple photon $g \rightarrow 0$

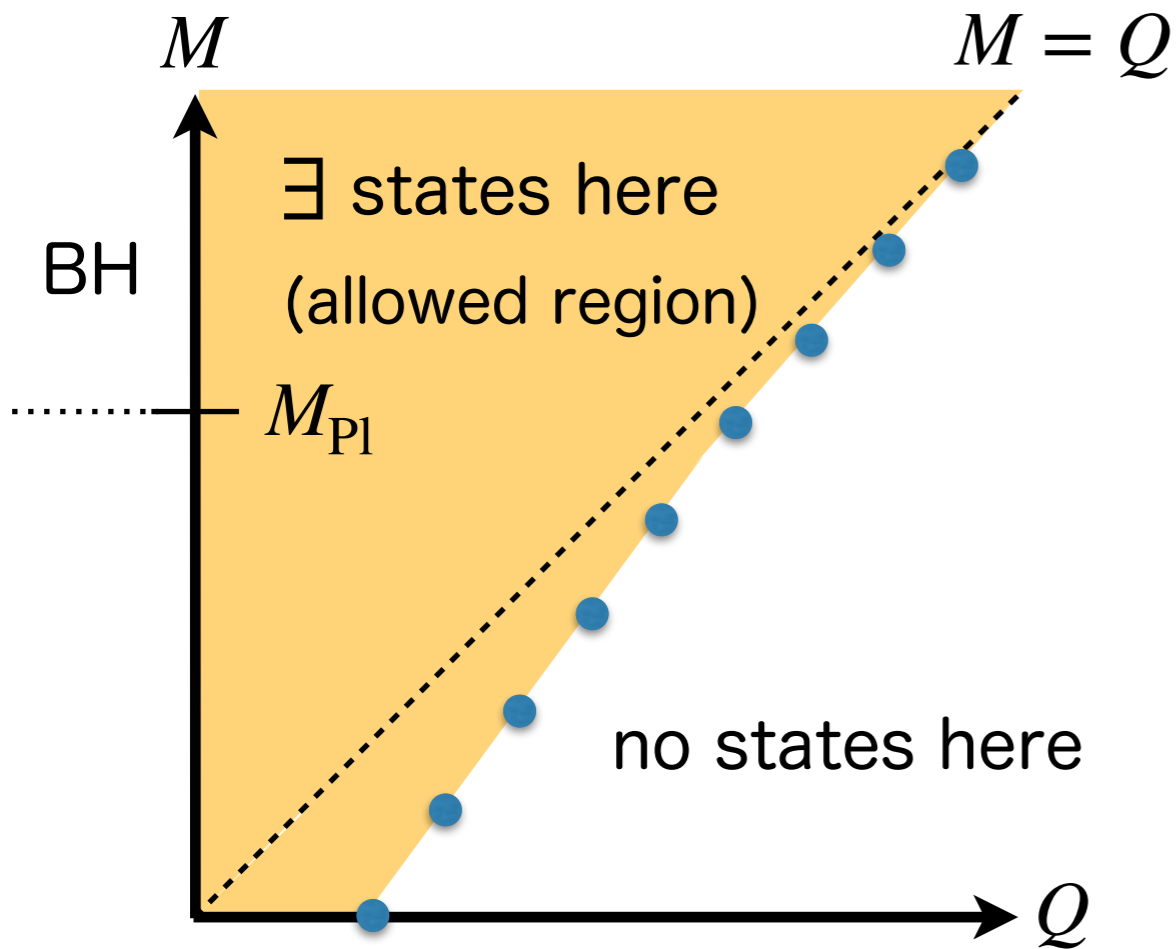
→ generalization of “no global symmetry in quantum gravity”

- trivially be satisfied if we decouple gravity $M_{\text{Pl}} \rightarrow \infty$

→ special in quantum gravity

Motivation from string compactification

ex. heterotic string compactified on tori w/generic Wilson lines



typical spectrum of charged state

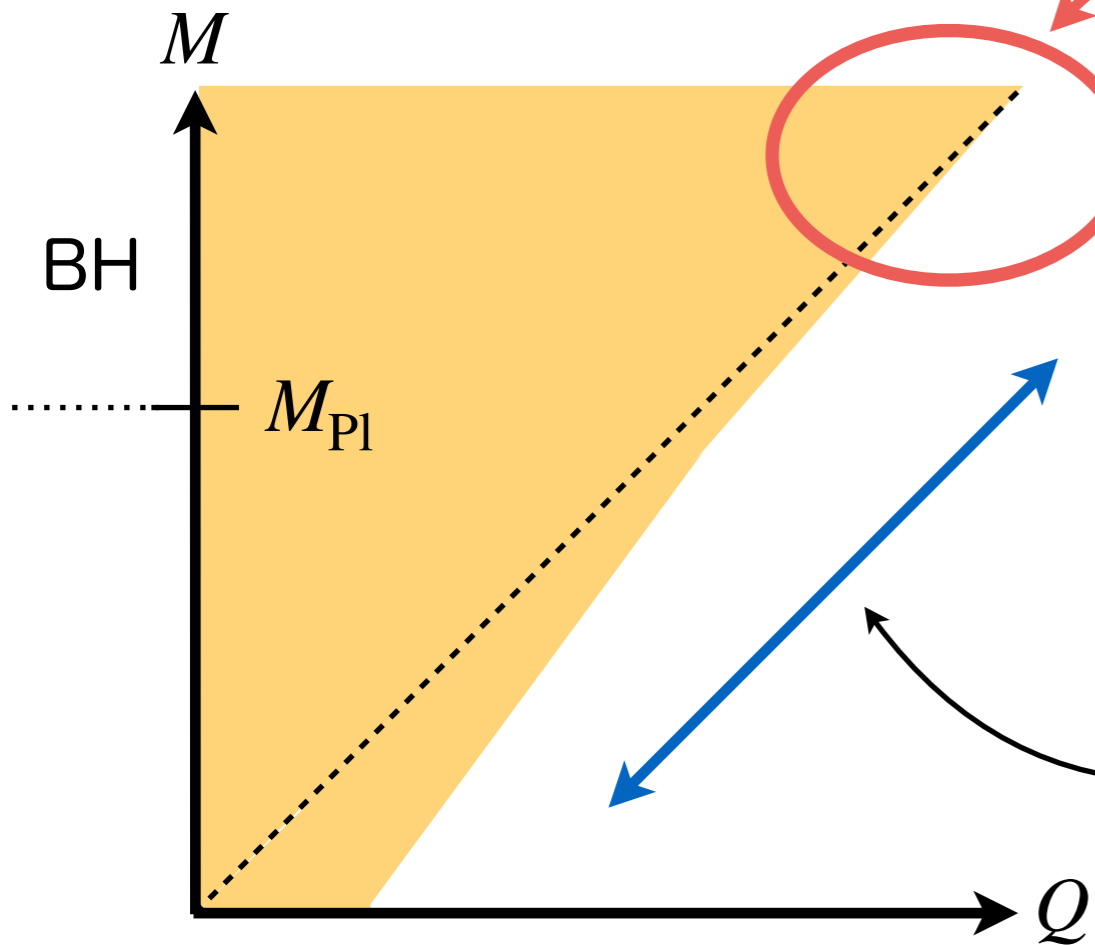
1. a tower of states w/ $M \leq Q$
 2. monotonic “boundary”
 3. it approaches to $M = Q$ for $M \gg M_{Pl}$
- ✂ extremal bounds on large BHs

in the unit $M = Q$ for large extremal BHs

existence of states w/ $M \leq Q$ is common in string theory

[ArkaniHamed-Motl-Nicolis-Vafa 06', ...]

How generic this picture is?



this asymptotic behavior (of BHs) follows from **positivity bounds** in graviton-photon systems

[Hamada-TN-Shiu '18]

✘ **existence proof of (mild) WGC**

if UV theory has a **worldsheet structure**, **spectral flow** may relate the two regions

[Heidenreich et al '16, Alasma et al '19]

combination of two observations

→ suggests **a stronger condition** called sublattice/tower WGC

[Heidenreich et al '16, Andriolo-Junghan-TN-Shiu '18]

Positivity bounds are not enough??

Recently, we collected more data on WGC vs. positivity bounds
beyond graviton-photon systems

[Loges-TN-Shiu '19, '20, Andriolo-Huang-TN-Ooguri-Shiu '20]

1. **positivity bounds** imply WGC

in graviton-photon systems and graviton-axion systems

2. but it is not the case once **dilaton** is turned on:

in these theories **duality symmetries** are useful for WGC

In the rest of my talk, I will explain details for axionic WGC

(which is technically simpler than the Maxwell case)

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axionic WGC vs. Euclidean wormholes

[Andriolo-Huang-TN-Ooguri-Shiu '20]

axionic WGC

form field	charged state	gravitational objects	coupling	size
photon	particle	charged BH	qg	mass
axion	instanton	Euclidean (semi)wormhole	$\frac{n}{f}$	action

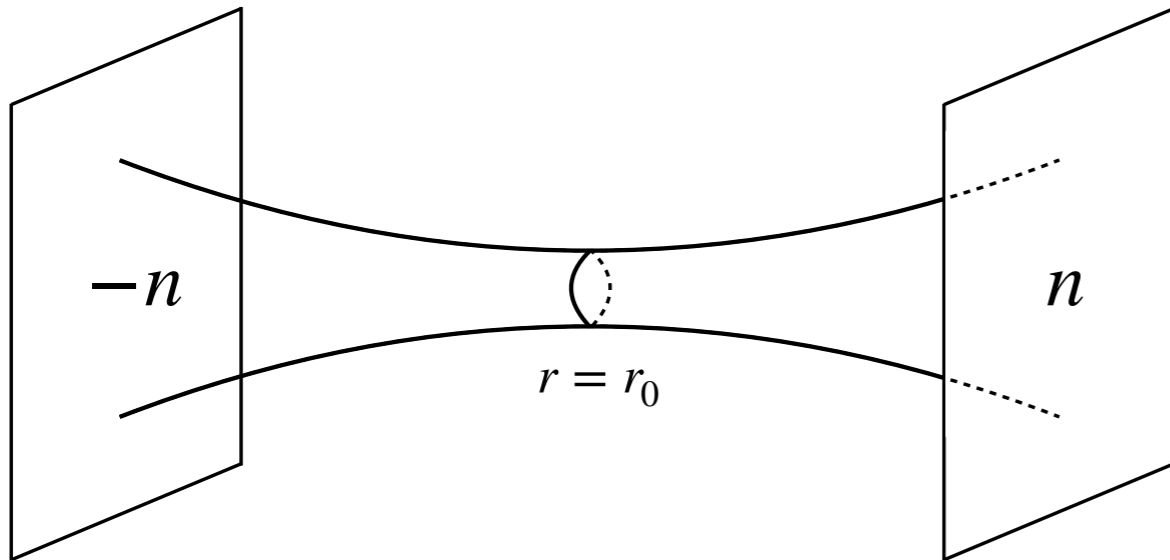
(size) < (coupling) implies \exists an instanton w/ $S < \mathcal{O}(1) \cdot \frac{|n| M_{\text{Pl}}}{f}$

cf. instanton generates axion potential

→ implications to axion cosmology (inflation, DM)

[see, e.g., Hebecker-Mikhali-Soler '18 for a review]

Giddings-Strominger wormhole



Euclidean wormhole can be regarded as an instanton anti-instanton pair

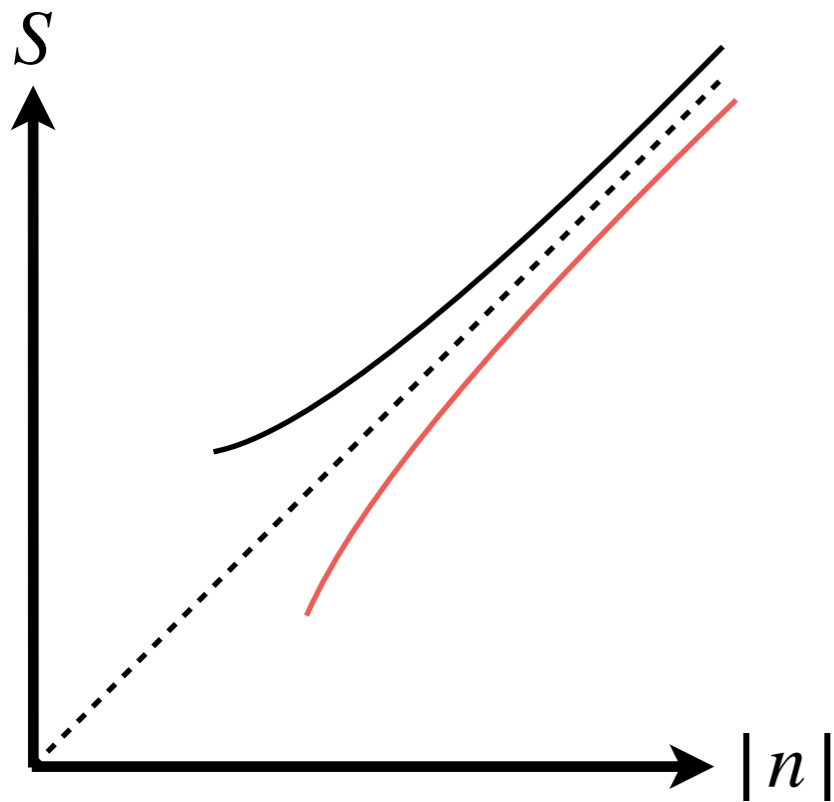
Euclidean (semi)wormhole in Einstein-axion theory:

$$ds^2 = \frac{dr^2}{1 - (r_0/r)^4} + r^2 d\Omega_3^2, \quad r_0^4 = \frac{n^2 f^2}{24\pi^4 M_{\text{Pl}}^6} \quad (n : \text{axion charge}, f : \text{decay const.})$$

✧ each semiwormhole (instanton) has an action $S = |n| \frac{\sqrt{6}\pi}{4} \cdot \frac{M_{\text{Pl}}}{f}$

✧ this fixes the $\mathcal{O}(1)$ constant in the WGC bound: $S \leq \frac{\sqrt{6}\pi}{4} \cdot \frac{|n| M_{\text{Pl}}}{f}$

higher derivative corrections



action-charge relation of semiwormholes

----- : Einstein-axion $S = |n| \frac{\sqrt{6}\pi}{4} \cdot \frac{M_{\text{Pl}}}{f}$

———— : corrected one w/ $\alpha < 0$

———— : corrected one w/ $\alpha > 0$

※ WGC requires an instanton w/ $S \leq |n| \frac{\sqrt{6}\pi}{4} \cdot \frac{M_{\text{Pl}}}{f}$

graviton-axion EFT up to four-derivatives

$$S = \int d^4x \sqrt{-g} \left[\frac{M_{\text{Pl}}^2}{2} R - \frac{1}{2} \partial_\mu a \partial^\mu a + \alpha (\partial_\mu a \partial^\mu a)^2 + \beta_1 W_{\mu\nu\rho\sigma}^2 + \beta_2 a W_{\mu\nu\rho\sigma} \widetilde{W}^{\mu\nu\rho\sigma} \right]$$

+ appropriate boundary terms

※ modify wormhole solutions and so their action: $\Delta S = -24\pi^2 M_{\text{Pl}}^4 \alpha + \mathcal{O}(1/n)$

if the α operator has a **positive coefficient $\alpha > 0$** ,
macroscopic (semi)wormholes satisfy the WGC bound.
indeed, $\alpha > 0$ follows from analyticity, unitarity and locality
of UV scattering amplitudes (**positivity bounds**) [Adams et al '06]
→ **an existence proof of (the mild form of) WGC**

caveat: applicable only when gravitational Regge states are negligible

[see Hamada-TN-Shiu '18 for details]

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Generalization to graviton-axion-dilaton system

[Andriolo-Huang-TN-Ooguri-Shiu '20]

graviton-axion-dilaton EFT

Einstein-axion-dilaton action

$$S = \int d^4x \sqrt{-g} \left[\frac{M_{\text{Pl}}^2}{2} R - \frac{1}{2} e^{\lambda\phi} \partial_\mu a \partial^\mu a - \frac{1}{2} \partial_\mu \phi \partial^\mu \phi \right]$$

- we focus on $|\lambda| < 4/\sqrt{6}$, otherwise no regular wormholes

four-derivative terms relevant to our problem

$$\begin{aligned} \Delta \mathcal{L} = & \alpha_1 e^{2\lambda\phi} (\partial_\mu a \partial^\mu a)^2 + \alpha_2 (\partial_\mu \phi \partial^\mu \phi) \\ & + \alpha_3 e^{\lambda\phi} (\partial_\mu a \partial^\mu a) (\partial_\nu \phi \partial^\nu \phi) + \alpha_4 e^{\lambda\phi} (\partial_\mu a \partial^\mu \phi)^2 \end{aligned}$$

- suppressed terms w/Weyl tensor, which do not correct the action

- also see our paper for more general dilaton couplings

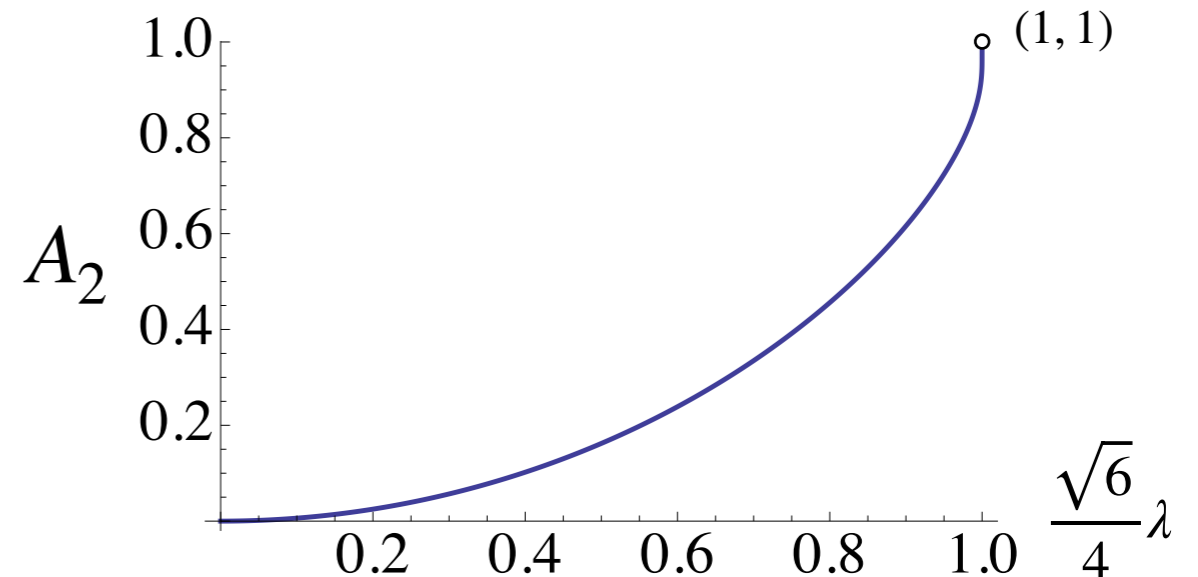
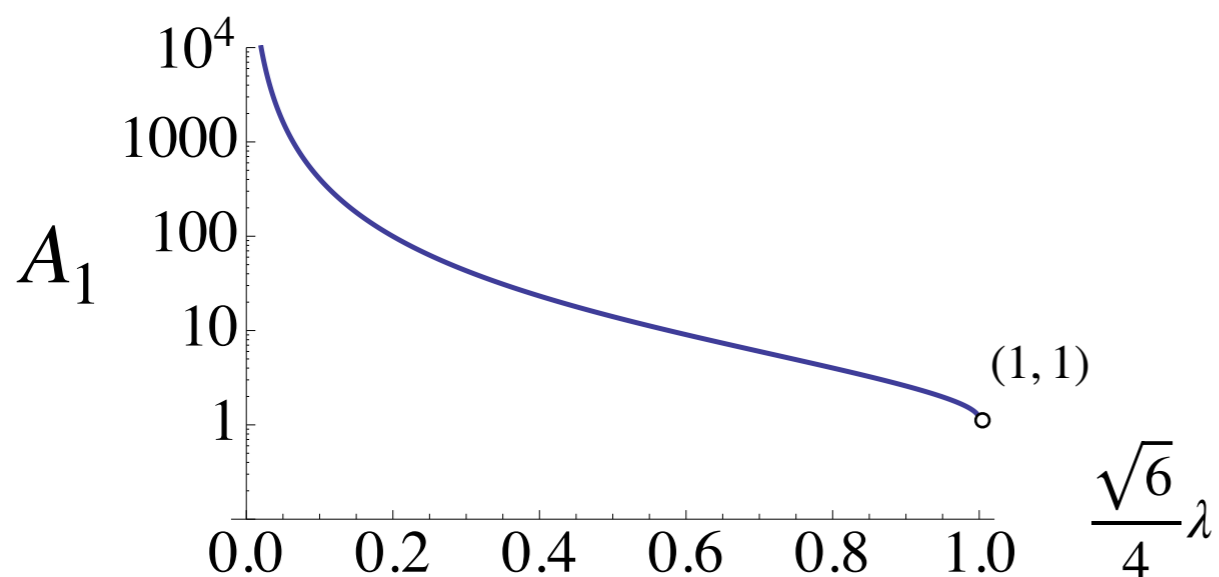
Corrections to (semi)wormhole action

four-derivative corrections to the (semi)wormhole action

$$\Delta S = 36\pi^2 M_{\text{Pl}}^4 \int_0^{\pi/2} dt \cos^3 t \left[-\alpha_1 \sec^4 \left[\frac{\sqrt{6}}{4} \lambda \cdot t \right] - \alpha_2 \tan^4 \left[\frac{\sqrt{6}}{4} \lambda \cdot t \right] + (\alpha_3 + \alpha_4) \sec^2 \left[\frac{\sqrt{6}}{4} \lambda \cdot t \right] \tan^2 \left[\frac{\sqrt{6}}{4} \lambda \cdot t \right] \right] + \mathcal{O}(1/n)$$

- the condition for $\Delta S < 0$ and so WGC reads

$$\alpha_3 + \alpha_4 < A_1(\lambda) \alpha_1 + A_2(\lambda) \alpha_2 \quad (A_{1,2} : \lambda\text{-dep. positive coefficients})$$



Implications of positivity bounds

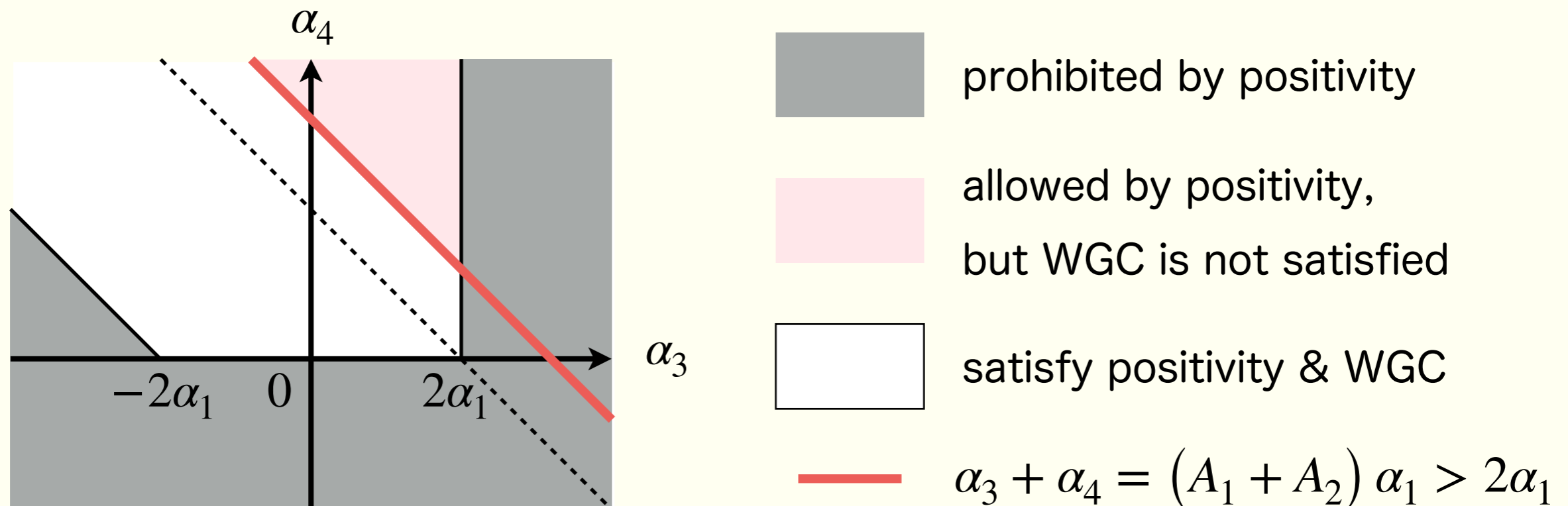
positivity of $aa \rightarrow aa$, $\phi\phi \rightarrow \phi\phi$, $a\phi \rightarrow a\phi$: $\alpha_1, \alpha_2, \alpha_4 > 0$

scattering of superpositions of a, ϕ :

2-para. family of bounds \rightarrow its envelop gives $-\alpha_4 - 2\sqrt{\alpha_1\alpha_2} < \alpha_3 < 2\sqrt{\alpha_1\alpha_2}$

\rightarrow large positive α_4 violates WGC bound $\alpha_3 + \alpha_4 < A_1\alpha_1 + A_2\alpha_2$

projection onto $\alpha_2 = \alpha_1$ plane for illustration



 positivity is not enough to demonstrate WGC

Q. any additional UV input which implies WGC?

$SL(2, R)$ duality symmetry of axion & dilaton

is **an** example for such UV information!

Implications of duality constraints

$SL(2,R)$ transformation in our convention:

$$\tau \rightarrow \frac{a\tau + b}{c\tau + d} \quad (a, b, c, d \in R, ad - bc = 1) \quad w/\tau = \frac{\lambda}{2}a + ie^{-\frac{\lambda}{2}\phi}$$

only two $SL(2, R)$ invariant operators: $\frac{(\partial_\mu \tau \partial^\mu \bar{\tau})^2}{(\text{Im } \tau)^4}$, $\frac{|\partial_\mu \tau \partial^\mu \tau|^2}{(\text{Im } \tau)^4}$

in our language it means $\alpha_2 = \alpha_1$, $\alpha_3 + \alpha_4 = 2\alpha_1$

under these conditions, we have $\Delta S = -24\pi^2 M_{\text{Pl}}^4 \alpha_1$

→ positivity $\alpha_1 > 0$ implies $\Delta S < 0$ and so WGC!

we have found that positivity alone is not enough,

but positivity + $SL(2,R)$ duality invariance does imply WGC

Implications of duality constraints

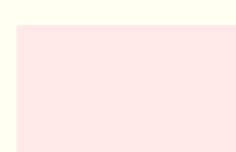
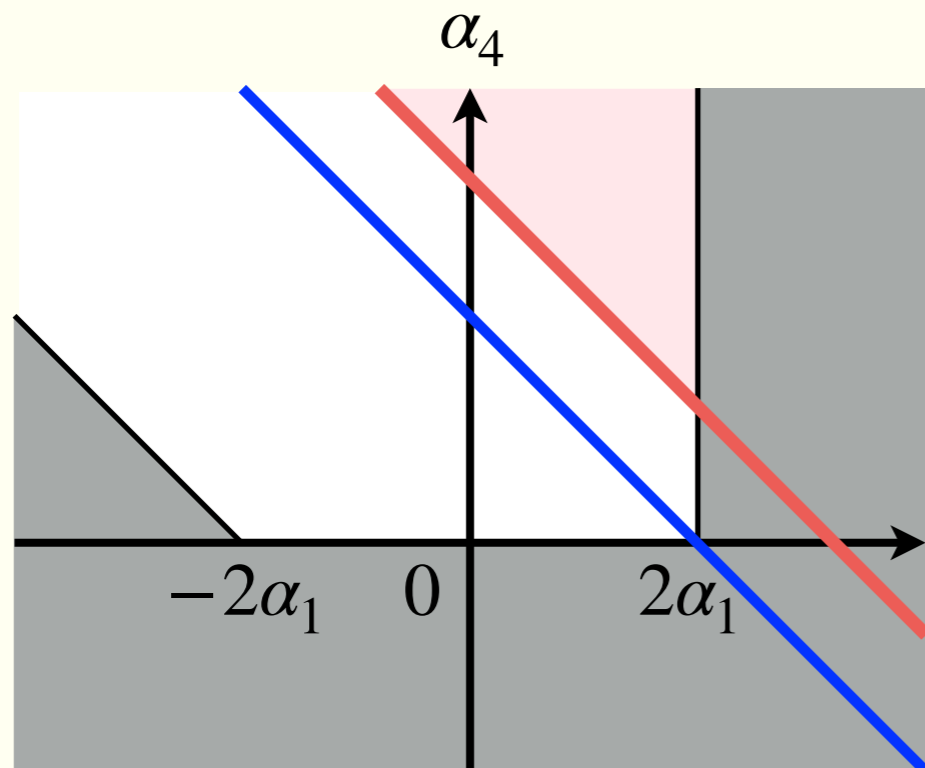
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in our language it means $\alpha_2 = \alpha_1$, $\alpha_3 + \alpha_4 = 2\alpha_1$

$$\alpha_2 = \alpha_1$$



allowed by positivity,
but WGC is not satisfied



satisfy positivity & WGC



$\alpha_3 + \alpha_4 = (A_1 + A_2) \alpha_1 > 2\alpha_1$



SL(2,R) invariant: $\alpha_3 + \alpha_4 = 2\alpha_1$

Related results for BHs

WGC in Einstein-Maxwell-dilaton-axion:

[Loges-TN-Shiu '19]

there exists a parameter space

which is allowed by positivity, but does not satisfy WGC

[Loges-TN-Shiu '20]

duality symmetries are again useful to demonstrate WGC

1. positivity + $SL(2,R) \rightarrow$ WGC

2. null energy condition + $O(d,d;R) \rightarrow$ WGC

⊗ positivity bounds are not applicable for $O(d,d;R)$ case

because gravitational Regge states are not negligible

4. summary and prospects

summary and prospects

positivity implies WGC in graviton-photon and graviton-axion systems under the assumption that gravitational Regge states are negligible

- ✂ can we incorporate gravitational Regge states in positivity?

but it is not the case once dilaton is turned on:

duality symmetries such as $SL(2,R)$ and $O(d,d;R)$ are useful for WGC

- ✂ are there other UV inputs useful for demonstrating WGC?

we provided evidences for axionic WGC, which constraints axion potential

- ✂ can we generalize our results to potential of other moduli fields?

(cf. scalar WGC, non-SUSY AdS, dS, ...)

Thank you!