

# Anomaly and Superconnection

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Based on arXiv:2106.01591 [hep-th]  
(杉本茂樹氏(基研)との共同研究)



# What is “anomaly”? (1)

## Anomaly (Quantum Anomaly)

An classical action have some symmetries, but sometimes these symmetries disappear in quantum theory.

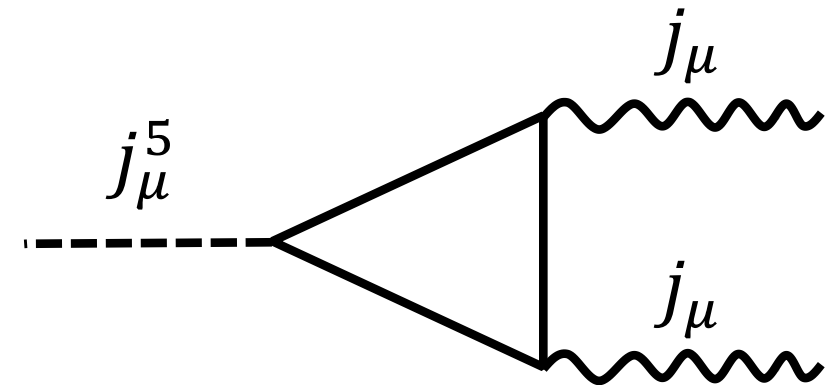
e.g.)  $\pi^0 \rightarrow 2\gamma$

- In massless QCD, there is a chiral symmetry  $U(N_f)_L \times U(N_f)_R$ .

$N_f$ : # of flavors

- If there is NO anomaly,  $\pi^0$  never decay.
- However,  $\pi^0$  decay into  $2\gamma$ , because of an anomaly!

$U(N_f)_L \times U(N_f)_R \supset U(1)_A$  has an anomaly.



# What is “anomaly”? (2)

e.g.) Gauge anomaly

- Let us consider an action that includes fermions and  $G$  gauge fields.
  - The theory has a gauged symmetry  $G$ , so that the action is invariant under  $G$  gauge transformation.
  - For example, consider  $U(N_f)_L \times U(N_f)_R$  symmetry as  $G$ .

$$G = U(N_f)_L \times U(N_f)_R$$

$$S = \int d^4x \left\{ \bar{\psi} i \not{D} \psi - \frac{1}{2g_L^2} \text{tr} [F_{\mu\nu}^L F^{L\mu\nu}] - \frac{1}{2g_R^2} \text{tr} [F_{\mu\nu}^R F^{R\mu\nu}] \right\}$$

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- The action is invariant under the  $G$  gauge transformation.
- How about the partition function  $Z$ ?
  - If the gauge sym. does not have any anomaly,  $Z$  is also invariant. (e.g. Standard model)
  - If the gauge sym. has some anomalies,  $Z$  is not invariant!
    - This theory cannot be gauged!

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$$S \rightarrow S' = S \quad (U(N_f)_L \times U(N_f)_R)$$

$$Z = \int \mathcal{D}\psi \mathcal{D}\bar{\psi} \mathcal{D}A_L \mathcal{D}A_R e^{-S}$$

$$Z \rightarrow Z' \quad (U(N_f)_L \times U(N_f)_R)$$

$$Z' = \int \mathcal{D}\psi \mathcal{D}\bar{\psi} \mathcal{D}A_L \mathcal{D}A_R e^{-S} e^{i \int d^4x \alpha \mathcal{A}} \neq Z$$

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# Theories what we want to think (1)

Let us consider 4dim action contains fermions.

$$S = \int d^4x \bar{\psi} i \not{D} \psi = \int d^4x \bar{\psi} i \gamma^\mu (\partial_\mu + A_\mu) \psi$$

- This action is massless, so it has a chiral symmetry  $U(N_f)_L \times U(N_f)_R$ .
- There also be a  $U(1)_A$  anomaly.

- Add mass term

- Mass term breaks the chiral symmetry.

$$S = \int d^4x \bar{\psi} \left( i \not{D} + m \right) \psi$$

- Let the mass depend on the spacetime.

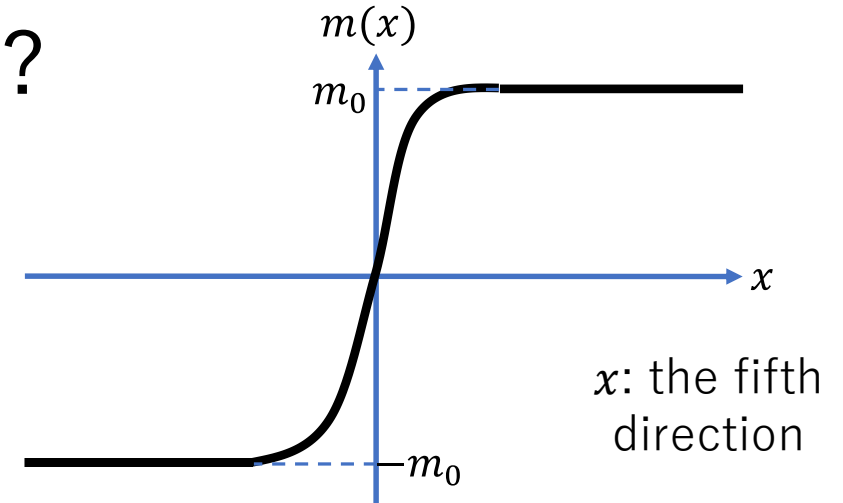
- This mass is almost same as the Higgs field.
- How change the symmetry and the anomaly?

$$S = \int d^4x \bar{\psi} \left( i \not{D} + m(x) \right) \psi$$

# The spacetime dependent mass

What is “the spacetime dependent mass”?

- e.g.) Domain wall fermions
  - One way to realize chiral fermions on the lattice.
  - Consider 5dim spacetime, and realize 4dim fermions on  $m(x) = 0$  subspace.
- Chiral anomalies on Higgs fields
  - If Higgs fields change as bifundamental under the  $U(N_f)_L \times U(N_f)_R$  chiral symmetry, the action is invariant for the symmetry.
  - It is known that chiral anomalies are not changed by adding Higgs fields.
  - See Fujikawa-san’s text book.



$$S = \int d^4x \bar{\psi} \left( i \not{D} + h(x) \right) \psi$$



# Theories what we want to think (2)

How about the spacetime dependent mass?

- The chiral anomaly is changed by the mass!!

- Difference between Higgs and mass
  - Higgs field : bounded
  - Spacetime dependent mass : unbounded

$$S = \int d^d x \bar{\psi} \left( i \not{D} + m(x) \right) \psi$$

- If the mass diverge at some points, it contribute to the anomaly.
  - This contribution might be unknown.
  - We can find the anomaly in any dimension.

- The anomaly can be written by “superconnection.”  $\mathcal{A} = \begin{pmatrix} A_R & iT^\dagger \\ iT & A_L \end{pmatrix}$

# Plan

## 1. Introduction (5)

- What is anomaly?
- Theories what we want to think

## 2. Fujikawa method (5)

- How to calculate the anomaly
- Calculation for massive case

## 3. Superconnection (3)

- Definition of superconnection
- Application for the anomaly

## 4. Application (10)

- Kink
- Vortex
- With boundary
- APS index theorem

## 5. String theory (4)

- Relation to string theory
- Tachyon condensation

(6. Detail of the derivation (7+1) )

## 7. Conclusion

## Fujikawa method

- There are some ways to calculate anomalies.
- Today, we focus on Fujikawa method.
  - Consider path integral for fermions.
  - Anomaly = Jacobian comes from path integral measure
- We calculate  $\log \mathcal{J}$  for anomalies in the last part of this talk.
- We focus on 4dim case at first.

$$Z[A] = \int \mathcal{D}\psi \mathcal{D}\bar{\psi} e^{-S}$$

$$\begin{array}{l} \text{e.g.) } U(1)_V \\ \text{transformation} \end{array} \quad \begin{array}{l} \psi(x) \rightarrow e^{i\alpha(x)} \psi(x), \\ \bar{\psi}(x) \rightarrow \bar{\psi}(x) e^{-i\alpha(x)} \end{array}$$

$$\begin{aligned} \mathcal{D}\psi \mathcal{D}\bar{\psi} &\rightarrow \mathcal{D}\psi' \mathcal{D}\bar{\psi}' = \mathcal{J} \mathcal{D}\psi \mathcal{D}\bar{\psi} \\ &= e^{-i \int d^4x \alpha(x) \mathcal{A}(x)} \mathcal{D}\psi \mathcal{D}\bar{\psi} \end{aligned}$$

anomaly

$$\log \mathcal{J} = -i \int d^4x \alpha(x) \mathcal{A}(x)$$

# Chiral symmetry

## Anomalous symmetries we calculate

- $U(N_f)_L \times U(N_f)_R$  chiral symmetry
  - For **even** dimension
  - Because chirality operators exist only even dimensions.
  - Fermions couple to  $U(N_f)_L$  background gauge field  $A_\mu^L$  and  $U(N_f)_R$  background gauge field  $A_\mu^R$ .
- $U(N_f)$  flavor symmetry
  - For **odd** dimension
  - No perturbative anomaly as usual.
  - With  $U(N_f)$  background gauge field.

- We focus on  **$U(1)$  parts** of these sym.
  - We calculate mixed anomaly between  $U(1)_V$  and  $SU(N_f)_L \times SU(N_f)_R \times U(1)_A$  for even dim,  $U(1)$  and  $SU(N_f)$  for odd dim.
  - Not  $U(1)_A$  part, even for even dim.

$$S = \int d^d x \bar{\psi} i \gamma^\mu \left\{ \partial_\mu + \begin{pmatrix} A_\mu^R & 0 \\ 0 & A_\mu^L \end{pmatrix} \right\} \psi$$

For even dimension

$$S = \int d^d x \bar{\psi} i \gamma^\mu \left\{ \partial_\mu + A_\mu \right\} \psi$$

For odd dimension

# The anomalies for massless cases

We focus on 4dim case.

- Mass less case
  - With  $U(N_f)_L \times U(N_f)_R$  chiral sym.
  - $U(1)_V$  anomaly is written by the field strength.
- With a Higgs field
  - With  $U(N_f)_L \times U(N_f)_R$  chiral sym.
  - The  $U(1)_V$  anomaly is same for massless case.
- How about the massive case?

$$S = \int d^4x \bar{\psi} i \gamma^\mu \left\{ \partial_\mu + \begin{pmatrix} A_\mu^R & 0 \\ 0 & A_\mu^L \end{pmatrix} \right\} \psi$$

$$\begin{aligned} \log \mathcal{J} &= \frac{i}{32\pi^2} \int d^4x \alpha(x) \epsilon^{\mu\nu\rho\sigma} \text{tr} [F_{\mu\nu}^R F_{\rho\sigma}^R - F_{\mu\nu}^L F_{\rho\sigma}^L] \\ &= \frac{i}{8\pi^2} \int \alpha(x) \text{tr} [F^R \wedge F^R - F^L \wedge F^L] \end{aligned}$$

$$S = \int d^4x \bar{\psi} \left( i \not{D} + h(x) \right) \psi$$

# For massive case

Let us consider spacetime dependent mass!

- The action for general even dim has  $U(N_f)_L \times U(N_f)_R$  symmetry.

$$S = \int d^4x \bar{\psi} \left[ i\gamma^\mu \left\{ \partial_\mu + \begin{pmatrix} A_\mu^R & 0 \\ 0 & A_\mu^L \end{pmatrix} \right\} + \begin{pmatrix} im(x) & 0 \\ 0 & im^\dagger(x) \end{pmatrix} \right] \psi$$

- For odd dim case, there is only  $U(N_f)$  sym, we put  $A_\mu = A_\mu^R = A_\mu^L$  and  $m = m^\dagger$ .
- We take  $m(x)$  divergent.
  - $I$  is some directions  $m(x)$  change the values.  $|m(x^I)| \rightarrow \infty$  ( $|x^I| \rightarrow \infty$ )
- We calculated  $U(1)_V$  anomaly for this action by Fujikawa method.
  - It is easy to get the anomaly for any dimension.
  - It is also easy to get the anomaly for  $U(N_f)_L \times U(N_f)_R$ , not only for  $U(1)_V$ .

# The anomaly for massive case

The  $U(1)_V$  anomaly is,

$$\tilde{m} = m/\Lambda$$

$\Lambda$  is UV cut-off  
comes from  
heat kernel  
regularization.

$$\log \mathcal{J} = \frac{i}{(2\pi)^2} \int d^4x \alpha(x) \text{tr} \left[ \epsilon^{\mu\nu\rho\sigma} \left\{ \frac{1}{8} \left( F_{\mu\nu}^R F_{\rho\sigma}^R - F_{\mu\nu}^L F_{\rho\sigma}^L \right) \right. \right. \\ \left. \left. + \frac{1}{12} \left( D_\mu \tilde{m}^\dagger D_\nu \tilde{m} F_{\rho\sigma}^R - D_\mu \tilde{m} D_\nu \tilde{m}^\dagger F_{\rho\sigma}^L + F_{\mu\nu}^R D_\rho \tilde{m}^\dagger D_\sigma \tilde{m} \right. \right. \right. \\ \left. \left. - F_{\mu\nu}^L D_\rho \tilde{m} D_\sigma \tilde{m}^\dagger - D_\mu \tilde{m} F_{\nu\rho}^R D_\sigma \tilde{m}^\dagger + D_\mu \tilde{m}^\dagger F_{\nu\rho}^L D_\sigma \tilde{m} \right) \right. \\ \left. \left. + \frac{1}{24} \left( D_\mu \tilde{m}^\dagger D_\nu \tilde{m} D_\rho \tilde{m}^\dagger D_\sigma \tilde{m} - D_\mu \tilde{m} D_\nu \tilde{m}^\dagger D_\rho \tilde{m} D_\sigma \tilde{m}^\dagger \right) \right\} \right] e^{-\tilde{m}^\dagger \tilde{m}}$$

- This result seems very complicated...
- Can we write it more simple way?

# 3. Superconnection

Introduction (5)

Fujikawa method (5)

Superconnection (3)

Application (10)

String theory (4)



# Superconnection (1)

[’85 Quillen]

- We define the superconnections for even and odd dimensions.
- This is made by Quillen, who is a mathematician, in 1985.

## Even dimension

- Superconnection

$$\mathcal{A} = \begin{pmatrix} A_R & iT^\dagger \\ iT & A_L \end{pmatrix}$$

$A_R : U(N_f)_R$  gauge field (1-form)

$A_L : U(N_f)_L$  gauge field (1-form)

$T : U(N_f)_L \times U(N_f)_R$  bifundamental scalar field (0-form)

- Field strength

$$\mathcal{F} = d\mathcal{A} + \mathcal{A}^2$$

$$\equiv \begin{pmatrix} F^R - T^\dagger T & iDT^\dagger \\ iDT & F^L - TT^\dagger \end{pmatrix}$$

- Supertrace

$$\text{Str} \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \text{tr}(a) - \text{tr}(d)$$

## Odd dimension

- Superconnection

$$\mathcal{A} = \begin{pmatrix} A & iT \\ iT & A \end{pmatrix} \quad \begin{array}{l} A : U(N_f) \text{ gauge field (1-form)} \\ T : U(N_f) \text{ adjoint scalar field (0-form)} \end{array}$$

- Field strength

$$\begin{aligned} \mathcal{F} &\equiv d\mathcal{A} + \mathcal{A}^2 \\ &= \begin{pmatrix} F - T^2 & iDT \\ iDT & F - T^2 \end{pmatrix} \end{aligned}$$

- Supertrace

$$\text{Str} \begin{pmatrix} a & b \\ b & a \end{pmatrix} = \sqrt{2i} \text{tr}(b)$$

We apply superconnection to write the anomaly.

# Rewrite the anomaly

- We can rewrite the  $U(1)_V$  anomaly by superconnection.

$$\log \mathcal{J} = -i \left( \frac{i}{2\pi} \right)^{\frac{d}{2}} \int \alpha(x) \text{Str} [e^{\mathcal{F}}] \Big|_{d\text{-form}} \quad \begin{matrix} \mathcal{F} = d\mathcal{A} + \mathcal{A}^2 \\ \equiv \begin{pmatrix} F^R - T^\dagger T & iDT^\dagger \\ iDT & F^L - TT^\dagger \end{pmatrix} \end{matrix}$$

$$\mathcal{F} \equiv \begin{pmatrix} F^R - \tilde{m}^\dagger \tilde{m} & i(D\tilde{m})^\dagger \\ iD\tilde{m} & F^L - \tilde{m}\tilde{m}^\dagger \end{pmatrix} \quad \text{Str} \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \text{tr}(a) - \text{tr}(d)$$

- For odd dimension case, put  $A_\mu = A_\mu^R = A_\mu^L$  and  $m = m^\dagger$ . Then, we get  $U(1)$  anomaly.
  - In odd dimension, the definition of Str is little different from even dim case.

$$\text{Str} \begin{pmatrix} a & b \\ b & a \end{pmatrix} = \sqrt{2i} \text{tr}(b)$$

- It is easy to check this for 4dim massless case.

# 4. Application

Introduction (5)

Fujikawa method (5)

Superconnection (3)

Application (10)

String theory (4)

# How can we apply the anomaly?

Mass means a wall for some cases!

- If a fermion is massive enough, it does not have any propagating mode.
  - If the mass depends on spacetime, fermions are massless in some regions, but they can be massive in the others.
  - That means fermions localize in some areas!  
→ We can make fermions localize by the mass!
- We can make some systems to decide mass configurations.
  - Kink, vortex and general codimension case
  - With boundary
- We also discuss about some index theorems.
  - APS index theorem
  - (Callias type index theorem)

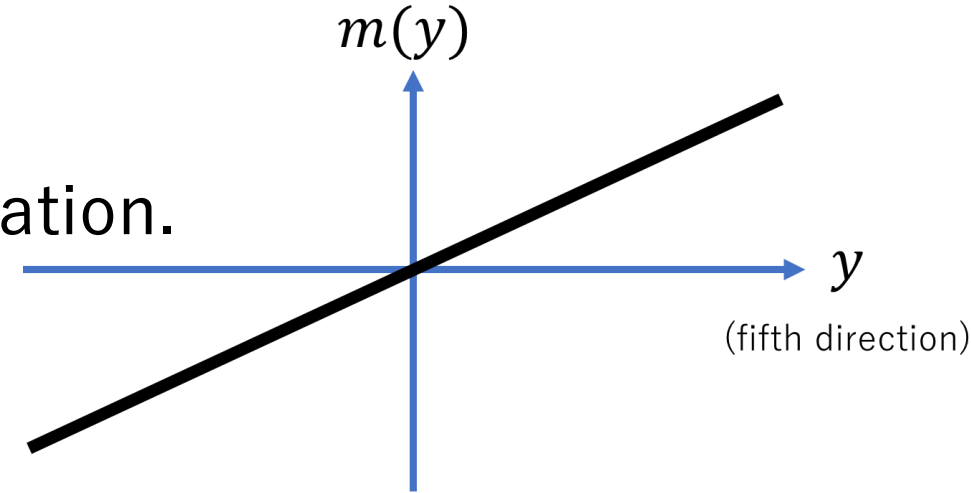
# Kink (1)

## Mass kink for our set up

- For example, let's consider 5dim case.
- In this set up, “kink” means this mass configuration.

$$m(y) = uy \quad y = x^5$$

- This “mass” diverges at  $y \rightarrow \pm\infty$ .
- 5dim fermions with  $U(N_f)$  sym, and the mass depends on only  $y$  direction.



- The  $U(1)$  anomaly is,

$$\log \mathcal{J} = \pm \frac{i}{8\pi^2} \int \alpha(x) \text{tr} [F \wedge F]$$

- Recall 4dim  $U(1)_V$  anomaly, Corresponds to the sign of  $u$ .

$$\log \mathcal{J} = \frac{i}{8\pi^2} \int \alpha(x) \text{tr} [F^R \wedge F^R - F^L \wedge F^L]$$

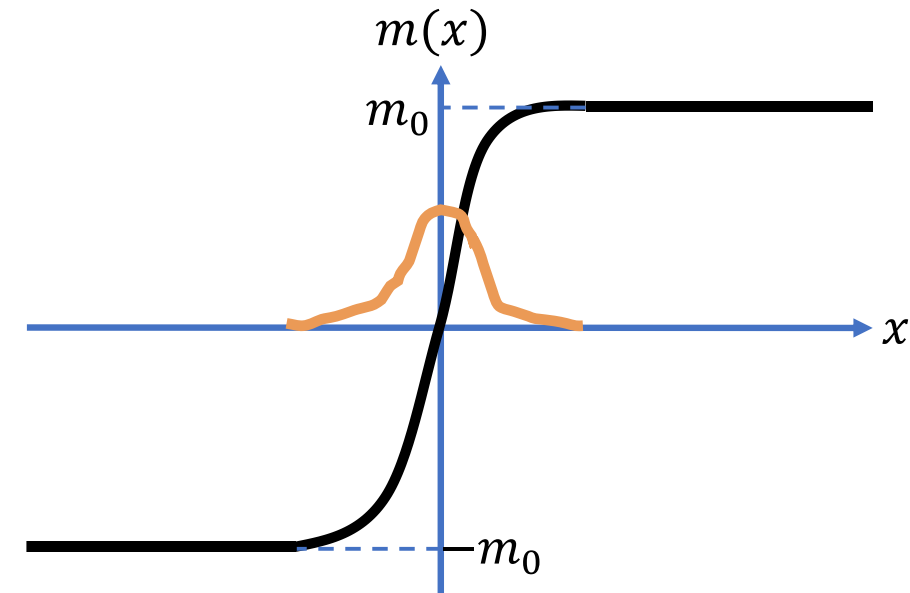
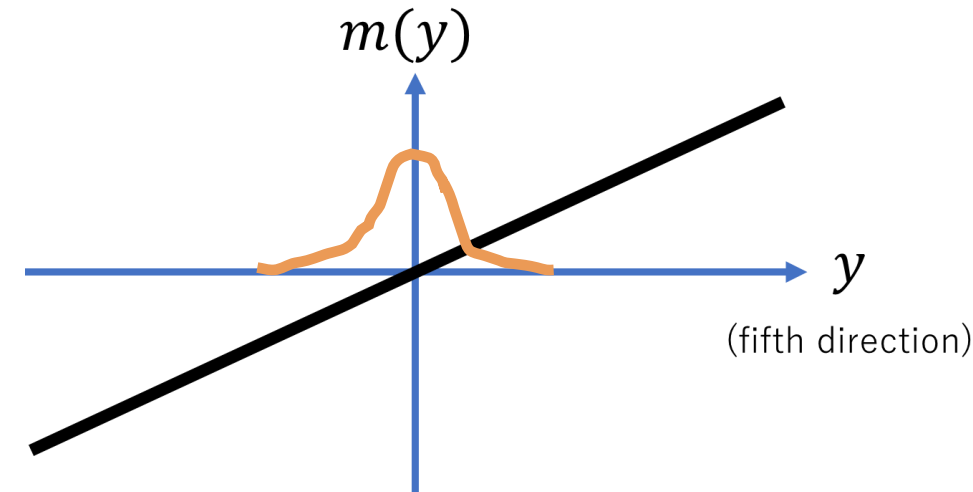
# Kink (2)

What is the meaning of the anomaly?

- 4dim Weyl fermions are localizing at  $y = 0$ .
  - When  $u > 0$  corresponds to chirality + (right-handed) fermion, and  $u < 0$  corresponds to chirality - (left-handed) fermion.

## Domain wall fermion

- This Weyl fermions correspond to domain wall fermions.
  - But the regularization is different, so that I don't know the correspondence in detail.



# Vortex

Next, we check codim-2 case.

- Vortex is 2dim topological object.

- Let us consider  $2r + 2$  dim.

$$m(z) = uz \mathbf{1}_{N \times N}$$

$$z = x^{\mu=2r+1} - ix^{\mu=2r+2}$$

- $m(z)$  depends on 2 directions, and it is complex valued “mass”.

- This mass diverges at  $|z| \rightarrow \infty$ .

- For simplicity, we put  $A_L = A_R$  in  $2r + 2$ dim.

- The  $U(1)_V$  anomaly is,

$$\log \mathcal{J} = -i \left( \frac{i}{2\pi} \right)^r \int \alpha(x) \text{Str} [e^F] \Big|_{2r\text{-form}}$$

- This is  $2r$ dim  $U(1)$  anomaly with  $U(N_f)_R$  gauge field.

- If you want to get chirality – (left-handed) result, use  $m(\bar{z}) = u\bar{z}$ , instead.



# General defects

We can apply this formula to general codimension cases.

- When we think  $d$  dim system with  $n$  dim topological defects, we get  $d - n$  dim  $U(1)$  anomalies.
  - If  $d - n$  is odd, we get nothing because odd dim mass less fermions are anomaly-free.
  - The mass configurations for general codimension is,

$$m(x) = u \sum_{I=1}^n \Gamma^I x^I$$
$$\gamma^I = \Gamma^I \quad (n = \text{odd})$$
$$\gamma^I = \begin{pmatrix} 0 & \Gamma^I \\ \Gamma^{I\dagger} & 0 \end{pmatrix} \quad (n = \text{even})$$

- This results correspond to “tachyon condensation” in string theory.
  - We will discuss it in the next section.

# With boundary (1)

Next, we will make boundary.

- Fermions are massive = boundary

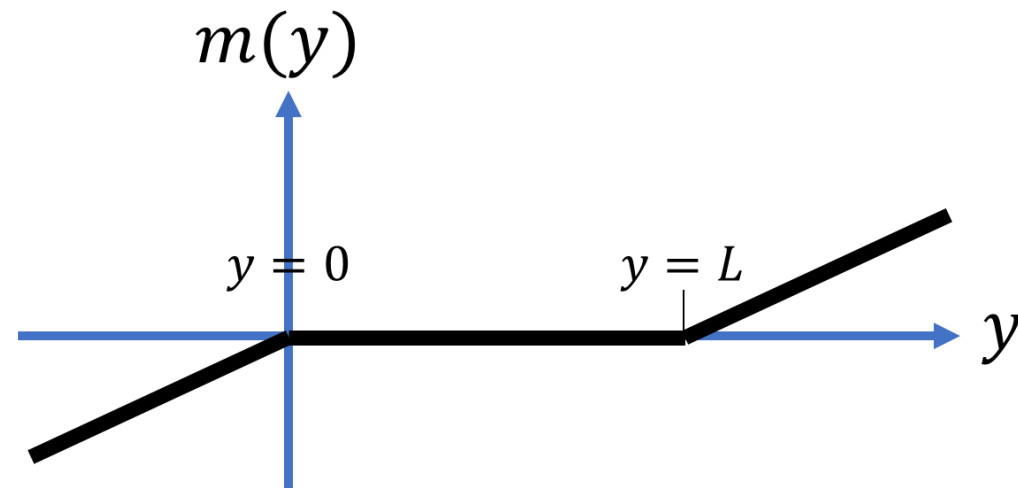
## Odd dimension

- We realize localized fermions at  $[0, L]$ .
- The bulk is anomaly-free.
- The anomaly is,

$$m(y) = \mu(y)1_N = \begin{cases} (m_0 + u'(y - L))1_N & (L < y) \\ m_0 1_N & (0 \leq y \leq L) \\ (m_0 + uy)1_N & (y < 0) \end{cases}$$

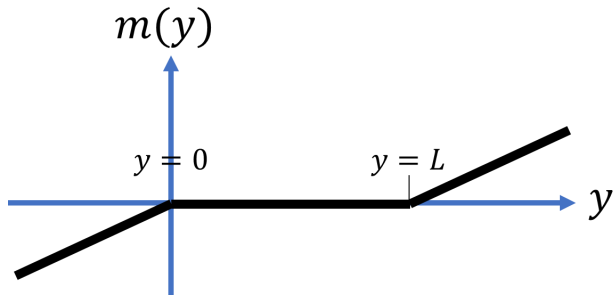
$$\log \mathcal{J} = i\kappa_- \int_{y=0} \alpha [\text{ch}(F)]_{2r} + i\kappa_+ \int_{y=L} \alpha [\text{ch}(F)]_{2r}$$

$$\kappa_- = \frac{1}{2} \text{sgn}(u), \quad \kappa_+ = \frac{1}{2} \text{sgn}(u')$$



# With boundary (2)

Even dimension



$$m(x) = \mu(y)g(x) = \begin{cases} u'(y-L)g(x) & (L < y) \\ 0 & (0 \leq y \leq L) \\ uyg(x) & (y < 0) \end{cases}$$

- The anomaly is,

$$\log \mathcal{J} = -i \int_{0 < y < L} \alpha [\text{ch}(F_+) - \text{ch}(F_-)]_{2r} - i \int_{y=L} \alpha[\omega]_{2r-1} + i \int_{y=0} \alpha[\omega]_{2r-1}$$

- $\omega$  is Chern-Simons form.
- Anomaly from bulk + CS

# Index theorem (1)

- We will discuss index theorems for the massive Dirac operator  $\mathcal{D}$ .

$$S = \int d^d x \bar{\psi}(x) \mathcal{D} \psi(x)$$

$$\begin{aligned} \mathcal{D} &= i\gamma^\mu \left\{ \partial_\mu + \begin{pmatrix} A_\mu^R & 0 \\ 0 & A_\mu^L \end{pmatrix} \right\} + \begin{pmatrix} im(x) & 0 \\ 0 & im^\dagger(x) \end{pmatrix} \\ &= \begin{pmatrix} im(x) & i\sigma^\mu(\partial_\mu + A_\mu^L) \\ i\sigma^{\mu\dagger}(\partial_\mu + A_\mu^R) & im^\dagger(x) \end{pmatrix} \\ &\equiv i \begin{pmatrix} m(x) & \not{D}_L \\ \not{D}_R & m^\dagger(x) \end{pmatrix} \end{aligned}$$

## Chern character

- Before discuss about index theorems, we define Chern character for  $\mathcal{F}$ .
- The Chern character for massive case is,

$$\text{ch}(\mathcal{F}) = \sum_{k \leq 0} \left( \frac{i}{2\pi} \right)^{\frac{k}{2}} \text{Str} [e^{\mathcal{F}}] \Big|_{k\text{-form}}$$

# Index theorem (2)

- We can write the  $U(1)$  anomaly by the Chern character.

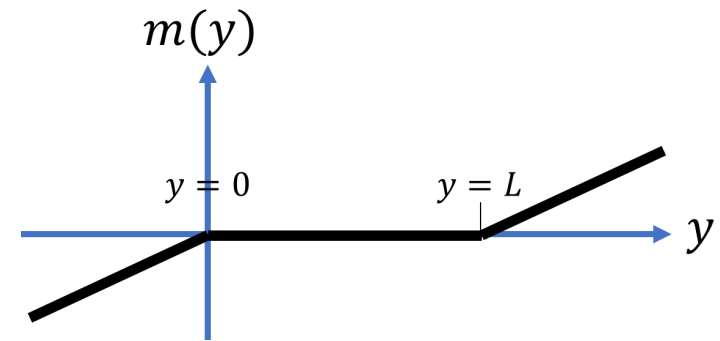
$$\log \mathcal{J} = -i \left( \frac{i}{2\pi} \right)^{\frac{d}{2}} \int \alpha(x) \text{Str} [e^{\mathcal{F}}] \Big|_{d\text{-form}} = -i \int \alpha(x) \text{ch}(\mathcal{F})$$

- The index for the massive Dirac operator is,  $\text{Ind}(\mathcal{D}) = \int \text{ch}(\mathcal{F})$

- If  $m(x) = 0$ , this index becomes Atiyah-Singer(AS) index.

- Let us consider  $2r$  dimensional system with boundary.

- The index will be Atiyah-Patodi-Singer(APS) index.
- Let's check the index!



# Index theorem (3)

## APS index theorem

- The index is,

$$\text{Ind}(\mathcal{D}) = \int_{0 < y < L} \text{ch}(\mathcal{F})|_{2r} - \frac{1}{2} \left[ \eta(i\mathcal{D}_R^{2r-1}) - \eta(i\mathcal{D}_L^{2r-1}) \right]_{y=0}^{y=L}$$

- This is the APS index theorem for the massless Dirac operators.
- To apply this form, we get well-known relation between eta invariant and Chern-Simons form.

$$\int \omega_{2r-1} = -\frac{1}{2} \left( \eta(i\mathcal{D}_R^{2r-1}) - \eta(i\mathcal{D}_L^{2r-1}) \right) \pmod{\mathbb{Z}}$$

# 5. String theory

# String theory

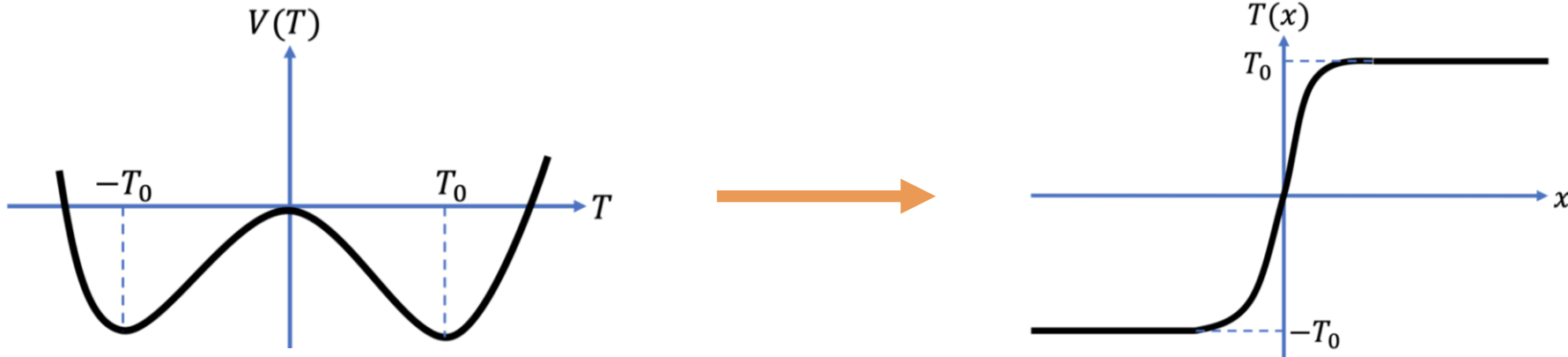
Let us see the relation between the anomaly and string theory.

- Type IIA or IIB string theory
  - 10dim theory
- In string theory, we can think  $D_p$ -branes.
  - $p + 1$  dim subspace in 10dim.
  - Open strings have their ends on D-branes.
  - Excitation modes of these open strings  $\rightarrow$  Fields on D-branes
  - Open strings on D-branes  $\rightarrow$  QFT in  $p + 1$  dim
- In some cases, the excitation modes of the strings have tachyon modes.
  - Lowest excitation modes are  $m^2 < 0$ . (Tachyon)
  - Non-BPS states have tachyons.
  - This tachyonic modes are unstable.  $\rightarrow$  Tachyon condensation



# Tachyon condensation (1)

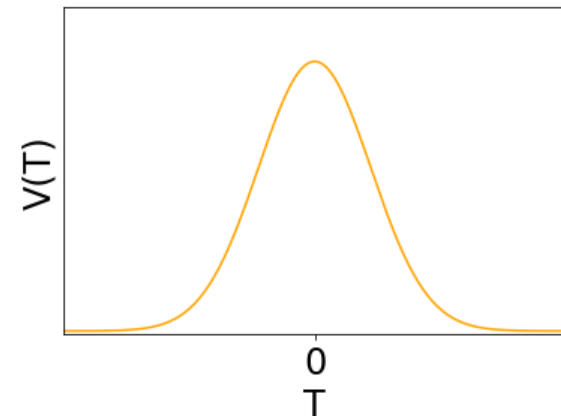
- Tachyonic modes are unstable, so the tachyons have non-zero VEV.
  - Non-trivial configuration of tachyon is also realizable.



e.g.)  $D$ -brane and anti  $D$ -brane ( $\bar{D}$ -brane) system

- Non-BPS state
- Tachyonic modes appear in  $D - \bar{D}$  string.
  - The tachyon potential is known.
  - If tachyon configuration is trivial, the  $D$ -branes disappear.

$$V(T) = e^{-T^\dagger T}$$



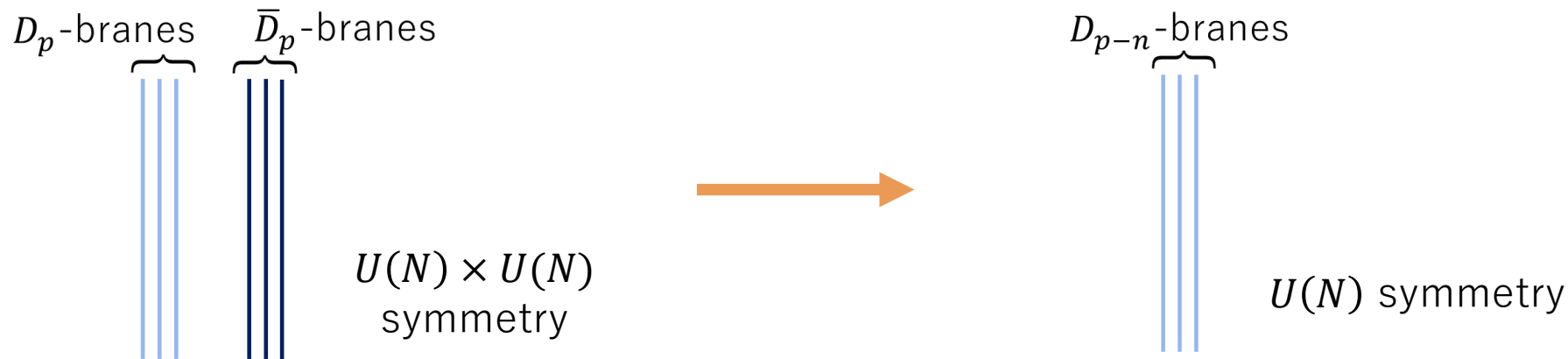
# Tachyon condensation (2)

Kink on tachyon in  $D_p - \bar{D}_p$  system

- Tachyonic kinks for this system is,

$$T(x) = u \sum_{I=1}^n \Gamma^I x^I \quad \gamma^I = \begin{pmatrix} 0 & \Gamma^I \\ \Gamma^{I\dagger} & 0 \end{pmatrix}$$

- We get  $D_{p-n}$ -branes from this tachyon.
  - If  $D_{p-n}$ -branes are non-BPS, tachyons still exist on the  $D$ -branes.
  - In this case, tachyon condensation occur again.



# Relation between the anomaly and string

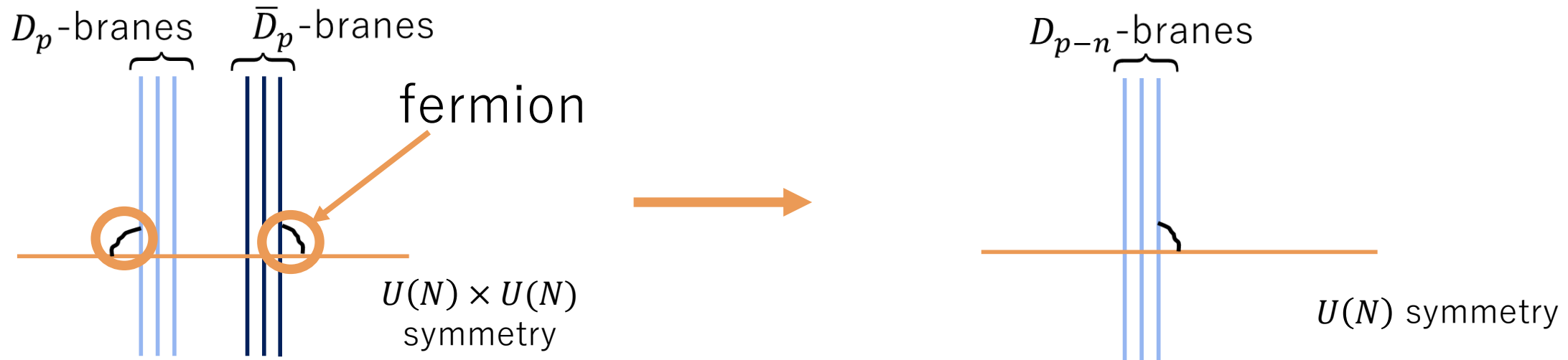
- This tachyon configuration is same for the mass defect in section 4!

$$T(x) = u \sum_{I=1}^n \Gamma^I x^I$$

$$m(x) = u \sum_{I=1}^n \Gamma^I x^I$$

- The anomalies can be understood from string theory.
  - Fermions are found where  $D$ -branes intersect.
  - This is similar to flavor symmetry on holographic QCD model.

(Sakai-Sugimoto model)



# 6. Detail of the derivation

Introduction (5)

Fujikawa method (5)

Superconnection (3)

Application (10)

String theory (4)

# The detail of the calculation (1/7)

## Dirac operators

- First, let us check 4dim case.

- The action is, 
$$S = \int d^4x \bar{\psi} \left[ i\not{D} + \begin{pmatrix} im(x) & 0 \\ 0 & im^\dagger(x) \end{pmatrix} \right] \psi \equiv \int d^4x \bar{\psi} \mathcal{D} \psi$$

- For QCD case, Dirac operator  $\mathcal{D} = i\not{D}$  is Hermitian.

- This Dirac operator  $\mathcal{D}$  is not Hermitian.

- Even for massless case, Dirac operator is not Hermitian.

- We need to take good regularization.

- Here, we choose “**covariant regularization.**”

- We follow Fujikawa-san’s textbook.

$$\begin{aligned} \mathcal{D} &= i\gamma^\mu \left\{ \partial_\mu + \begin{pmatrix} A_\mu^R & 0 \\ 0 & A_\mu^L \end{pmatrix} \right\} + \begin{pmatrix} im(x) & 0 \\ 0 & im^\dagger(x) \end{pmatrix} \\ &= \begin{pmatrix} im(x) & i\sigma^\mu(\partial_\mu + A_\mu^L) \\ i\sigma^{\mu\dagger}(\partial_\mu + A_\mu^R) & im^\dagger(x) \end{pmatrix} \\ &\equiv i \begin{pmatrix} m(x) & \not{D}_L \\ \not{D}_R & m^\dagger(x) \end{pmatrix} \end{aligned}$$

# The detail of the calculation (2/7)

## Covariant regularization

- Eigen values of  $\mathcal{D}$  are not real.
- We use eigen values of  $\mathcal{D}^\dagger\mathcal{D}$  and  $\mathcal{D}\mathcal{D}^\dagger$ , instead.
  - $\mathcal{D}^\dagger\mathcal{D}$  and  $\mathcal{D}\mathcal{D}^\dagger$  are Hermitian, so their eigenvalues can be real.

$$\mathcal{D}^\dagger\mathcal{D}\phi_n(x) = \lambda_n^2\phi_n(x), \quad (\phi_m^\dagger, \phi_n) = \int d^4x \phi_m^\dagger(x)\phi_n(x) = \delta_{m,n}$$

$$\mathcal{D}\mathcal{D}^\dagger\varphi_n(x) = \lambda_n^2\varphi_n(x), \quad (\varphi_m^\dagger, \varphi_n) = \int d^4x \varphi_m^\dagger(x)\varphi_n(x) = \delta_{m,n}$$

- $\lambda_n^2$  are eigenvalues of  $\mathcal{D}^\dagger\mathcal{D}$  and  $\mathcal{D}\mathcal{D}^\dagger$ .
- We take  $\lambda_n$  to be real.

( $\lambda_n$  are not eigenvalues of  $\mathcal{D}$  or  $\mathcal{D}^\dagger$ .)

- $\phi_n$  and  $\varphi_n$  are not independent.

$$\varphi_n(x) = \frac{1}{\lambda_n}\mathcal{D}\phi_n(x)$$

# The detail of the calculation (3/7)

## Fujikawa method

- Expand  $\psi$  and  $\bar{\psi}$  by the eigenfunctions.

$$\psi(x) = \sum_n a_n \phi_n(x), \quad \bar{\psi}(x) = \sum_n \bar{b}_n \varphi_n^\dagger(x)$$

- Rewrite the action and path integral measures.

$$S = \int d^4x \bar{\psi}(x) \mathcal{D}\psi(x) = \sum_n \lambda_n \bar{b}_n a_n$$

$$\mathcal{D}\psi \mathcal{D}\bar{\psi} = \det(\phi_n(x))^{-1} \det(\varphi_n^\dagger(x))^{-1} \prod_n da_n \prod_m d\bar{b}_m$$

- If  $\mathcal{D}$  is Hermitian, we can write the path int. measure as  $\mathcal{D}\psi \mathcal{D}\bar{\psi} = \prod_n da_n d\bar{b}_n$

# The detail of the calculation (4/7)

## Fujikawa method

- Calculate the Jacobian.

$$\begin{aligned} a_n \rightarrow a'_n &= \int d^4x \phi_n^\dagger e^{i\alpha(x)} \psi \\ &= \sum_m \left( \delta_{n,m} + i \int d^4x \phi_n^\dagger(x) \alpha(x) \phi_m(x) \right) a_m \\ \bar{b}_n \rightarrow \bar{b}'_n &= \int d^4x \bar{\psi} e^{-i\alpha(x)} \varphi_n \\ &= \sum_m \bar{b}_m \left( \delta_{n,m} - i \int d^4x \varphi_m^\dagger(x) \alpha(x) \varphi_n(x) \right) \end{aligned}$$



# The detail of the calculation (5/7)

## Fujikawa method

- Calculate the Jacobian.

$$\begin{aligned}\mathcal{J} &= \left( \det \left[ \delta_{n,m} + i \int d^4x \alpha(x) \phi_n^\dagger(x) \phi_m(x) \right] \right)^{-1} \left( \det \left[ \delta_{n,m} - i \int d^4x \alpha(x) \varphi_m^\dagger(x) \varphi_n(x) \right] \right)^{-1} \\ &= \exp \left[ - \lim_{N \rightarrow \infty} \sum_n^N i \int d^4x \alpha(x) \left\{ \phi_n^\dagger(x) \phi_n(x) - \varphi_n^\dagger(x) \varphi_n(x) \right\} \right] \\ &= \exp \left[ - \lim_{\Lambda \rightarrow \infty} \sum_n^\infty i \int d^4x \alpha(x) \left\{ \phi_n^\dagger(x) e^{-\frac{\lambda_n^2}{\Lambda^2}} \phi_n(x) - \varphi_n^\dagger(x) e^{-\frac{\lambda_n^2}{\Lambda^2}} \varphi_n(x) \right\} \right] \\ &\equiv \exp \left[ -i \int d^4x \alpha(x) \mathcal{I}(x) \right]\end{aligned}$$

# The detail of the calculation (6/7)

$$\begin{aligned}
 \log \mathcal{J} &= -i \int d^4x \alpha(x) \lim_{\Lambda \rightarrow \infty} \sum_n^{\infty} \left\{ \phi_n^\dagger(x) e^{-\frac{\lambda_n^2}{\Lambda^2}} \phi_n(x) - \varphi_n^\dagger(x) e^{-\frac{\lambda_n^2}{\Lambda^2}} \varphi_n(x) \right\} \\
 &= -i \int d^4x \alpha(x) \lim_{\Lambda \rightarrow \infty} \sum_n^{\infty} \left\{ \text{Tr} \left[ \phi_n^\dagger(x) e^{-\frac{\mathcal{D}^\dagger \mathcal{D}}{\Lambda^2}} \phi_n(x) - \varphi_n^\dagger(x) e^{-\frac{\mathcal{D} \mathcal{D}^\dagger}{\Lambda^2}} \varphi_n(x) \right] \right\} \\
 &= \dots \\
 &= \frac{i}{(2\pi)^2} \int d^4x \alpha(x) \text{tr} \left[ \epsilon^{\mu\nu\rho\sigma} \left\{ \frac{1}{8} \left( F_{\mu\nu}^R F_{\rho\sigma}^R - F_{\mu\nu}^L F_{\rho\sigma}^L \right) \right. \right. \\
 &\quad + \frac{1}{12} \left( D_\mu \tilde{m}^\dagger D_\nu \tilde{m} F_{\rho\sigma}^R - D_\mu \tilde{m} D_\nu \tilde{m}^\dagger F_{\rho\sigma}^L + F_{\mu\nu}^R D_\rho \tilde{m}^\dagger D_\sigma \tilde{m} \right. \\
 &\quad \left. \left. - F_{\mu\nu}^L D_\rho \tilde{m} D_\sigma \tilde{m}^\dagger - D_\mu \tilde{m} F_{\nu\rho}^R D_\sigma \tilde{m}^\dagger + D_\mu \tilde{m}^\dagger F_{\nu\rho}^L D_\sigma \tilde{m} \right) \right. \\
 &\quad \left. \left. + \frac{1}{24} \left( D_\mu \tilde{m}^\dagger D_\nu \tilde{m} D_\rho \tilde{m}^\dagger D_\sigma \tilde{m} - D_\mu \tilde{m} D_\nu \tilde{m}^\dagger D_\rho \tilde{m} D_\sigma \tilde{m}^\dagger \right) \right\} \right] e^{-\tilde{m}^\dagger \tilde{m}} \quad \tilde{m} = m/\Lambda
 \end{aligned}$$

# The detail of the calculation (7/7)

For general even dimensions,

$$\tilde{m} = m/\Lambda$$

$\Lambda$  is UV cut-off  
comes from  
heat kernel  
regularization.

$$\begin{aligned} \log \mathcal{J} = & -\frac{i}{(2\pi)^{\frac{d}{2}}} \int d^d x \alpha(x) \text{tr} \left[ i^{\frac{d}{2}} \epsilon^{\mu\nu\rho\sigma\dots} \left\{ \frac{1}{\left(\frac{d}{2}\right)!} \left(\frac{1}{2}\right)^{\frac{d}{2}} \left( F_{\mu\nu}^R F_{\rho\sigma}^R \dots - F_{\mu\nu}^L F_{\rho\sigma}^L \dots \right) \right. \right. \\ & + \frac{1}{\left(\frac{d}{2} + 1\right)!} \left(\frac{1}{2}\right)^{\frac{d}{2}-1} \left( D_\mu \tilde{m}^\dagger D_\nu \tilde{m} F_{\rho\sigma}^R \dots - D_\mu \tilde{m} D_\nu \tilde{m}^\dagger F_{\rho\sigma}^L \dots \right. \\ & \left. \left. + F_{\mu\nu}^R D_\rho \tilde{m}^\dagger D_\sigma \tilde{m} \dots - F_{\mu\nu}^L D_\rho \tilde{m} D_\sigma \tilde{m}^\dagger \dots - D_\mu \tilde{m} F_{\nu\rho}^R D_\sigma \tilde{m}^\dagger \dots + D_\mu \tilde{m}^\dagger F_{\nu\rho}^L D_\sigma \tilde{m} \dots + \dots \right) \right. \\ & \left. + \frac{1}{\left(\frac{d}{2} + 2\right)!} \left(\frac{1}{2}\right)^{\frac{d}{2}-2} \left( D_\mu \tilde{m}^\dagger D_\nu \tilde{m} D_\rho \tilde{m}^\dagger D_\sigma \tilde{m} \dots - D_\mu \tilde{m} D_\nu \tilde{m}^\dagger D_\rho \tilde{m} D_\sigma \tilde{m}^\dagger \dots \right) + \dots \right\} \Big] e^{-\tilde{m}^\dagger \tilde{m}} \end{aligned}$$

- This result seems very complicated...
- Can we write it more simple way?

# The detail of the calculation (8/7)

How about other regularizations?

- The overall factor  $e^{-\tilde{m}^\dagger \tilde{m}}$  is not polynomial.
  - We cannot do  $1/\Lambda$  expansion for this factor.
- It comes from the shape of the regulator??
  - I don't know how to use Pauli-Villars regularization for this system.
- Regulators :  $e^{-\frac{\mathcal{D}^\dagger \mathcal{D}}{\Lambda^2}}$  ,  $e^{-\frac{\mathcal{D} \mathcal{D}^\dagger}{\Lambda^2}}$

$$\begin{aligned} \mathcal{D}^\dagger \mathcal{D} &= -i \begin{pmatrix} m^\dagger & \mathcal{D}_R^\dagger \\ \mathcal{D}_L^\dagger & m \end{pmatrix} i \begin{pmatrix} m & \mathcal{D}_L \\ \mathcal{D}_R & m^\dagger \end{pmatrix} = \begin{pmatrix} \mathcal{D}_R^\dagger \mathcal{D}_R + m^\dagger m & m^\dagger \mathcal{D}_L + \mathcal{D}_R^\dagger m^\dagger \\ \mathcal{D}_L^\dagger m + m \mathcal{D}_R & \mathcal{D}_L^\dagger \mathcal{D}_L + m m^\dagger \end{pmatrix} \\ &= -\eta^{\mu\nu} (D_\mu^R D_\nu^R P_+ + D_\mu^L D_\nu^L P_-) - \frac{1}{4} [\gamma^\mu, \gamma^\nu] (F_{\mu\nu}^R P_+ + F_{\mu\nu}^L P_-) \\ &\quad - \gamma^\mu D_\mu m P_+ - \gamma^\mu D_\mu m^\dagger P_- + m^\dagger m P_+ + m m^\dagger P_- \end{aligned}$$

# Conclusion

- We discussed about perturbative anomaly with spacetime dependent mass.
  - $U(N_f)_L \times U(N_f)_R$  chiral symmetry for even dimension
  - $U(N_f)$  flavor symmetry for odd dimension
  - We focused on  $U(1)$  anomalies for these systems.
- The anomaly can be written by superconnection.
  - This formula comes from string theory, in particular tachyon condensation.
- There are some applications.
  - Kink, vortex, ...
  - With boundary
  - Index theorem

# Back up

Introduction (5)

Fujikawa method (5)

Superconnection (3)

Application (10)

String theory (4)

# Covariant anomaly and consistent anomaly

## Covariant anomaly

- We derived covariant anomalies.
  - Because we use covariant regularization.
- This anomaly is not written as the phase of partition functions.
- This anomaly is gauge covariant.

## Consistent anomaly

- We did not derive this anomaly, but if you use other regularizations, e.g. PV regularization, you may get consistent anomaly.
- This anomaly can be written as the phase of partition functions.
- This anomaly satisfies Wess-Zumino consistency condition.

$$Z \rightarrow Z' \quad Z' = \int \mathcal{D}\psi \mathcal{D}\bar{\psi} \mathcal{D}A_L \mathcal{D}A_R e^{-S} e^{i \int d^4x \alpha \mathcal{A}} \neq Z$$

# Bardeen-Zumino polynomial

We can interpret covariant and consistent anomalies.

- Anomalies have ambiguities.
  - In many cases, you can add local terms for the action. The anomalies have ambiguities for gauge transformation of the local counter terms.
  - Covariant and consistent anomalies are same up to the ambiguity.
- We can rewrite cov/con anomaly into con/cov anomaly.
  - For this purpose, we use Bardeen-Zumino polynomial.
- For detail, see “Anomalies in QFT”, Bertlmann.